CITY OF HOMER INVITATION TO BID / BID DOCUMENTS

Kachemak Drive (Phase III) Water and Sewer Improvements August 2016



This project is funded through an ADEC Municipal Matching Grant (MMG#40909), an EPA/DEC loan, and City of Homer Accelerated Water and Sewer Fund (HAWSP).

INVITATION TO BID By the City of Homer, Alaska



Kachemak Drive (Phase III) Water and Sewer Improvements

Sealed bids for the construction of the **Kachemak Drive (Phase III) Water and Sewer Improvements** will be received at the Office of the City Clerk, City Hall, City of Homer, 491 East Pioneer Avenue, Homer, Alaska, until **2:00 p.m. Thursday, September 1, 2016**, at which time they will be publicly opened and read. The time of receipt will be determined by the City Clerk's time stamp. Bids received after the time fixed for the receipt of the bids shall not be considered. **All bidders must submit a City of Homer Plan Holders Registration form to be on the Plan Holders List and to be considered responsive.** Plan holder registration forms and Plans and Specifications are available online at http://www.cityofhomer-ak.gov/rfps

A Pre-Bid Conference will be held at **1:30 p.m. on Monday, August 15, 2016** in the City Hall Second Floor Conference Room (491 East Pioneer Avenue) to answer bidder's questions. Immediately after, interested parties can complete a site visit with the City Engineer.

This project is funded through an ADEC Municipal Matching Grant (MMG#40909), an EPA/DEC loan, and City of Homer Accelerated Water and Sewer Fund (HAWSP). The City's local bidder's preference requirements do not apply to this contract; State and Federal prevailing wage rates will apply. The work includes, but is not limited to the following:

Construction of 4,300 LF of 12" HDPE water main (hydrants, water services and other appurtenances) and the boring of 4,200 LF of 2" and 3" HDPE low pressure sewer main (air release, cleanouts, sewer services and other appurtenances). Please direct all technical questions regarding this project to: Carey Meyer, City of Homer, Public Works Department, 3575 Heath Street, Homer, Alaska 99603 (907) 235-3170

An electronic copy of Plans and Specifications is available on the City's website http://www.cityofhomer-ak.gov/rfps or you may purchase hard copies at the Office of the City Clerk upon payment of \$150 per set (\$180 for overnight delivery). City of Homer Standard Construction Specifications 2011 Edition (containing general contract provisions) may also be downloaded from the City's web site. All fees are non-refundable. The City of Homer reserves the right to accept or reject any or all bids, to waive irregularities or informalities in the bids, and to award the contract to the lowest responsive bidder.

DATED this 29th day of July, 2016.

CITY OF HOMER

Katie Koester, City Manager

Publish: Homer News – August 4 and 11, 2016 Peninsula Clarion – August 7, 2016

Fiscal Note: 215-0002 and 215-0003 (50/50)

City of Homer

Kachemak Drive (Phase III) Water and Sewer Improvements

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City of Homer Kachemak Drive (Phase III) Water and Sewer Improvements

The City of Homer, Alaska is requesting bid proposals from qualified firms and individuals for the project described herein.

I. Scope of Services

The proposed work is located within the Homer City limits and is illustrated on the plans entitled:

Kachemak Drive (Phase III) Water and Sewer Improvements

The project consists of furnishing all labor, materials, equipment, tools, supervision and other facilities necessary for the performance of the work described herein and shown on the project drawings. The work includes but is not limited to the following:

Construction of 4,300 LF of 12" HDPE water main (hydrants, water services and other appurtenances) and the boring of 4,200 LF of 2" and 3" HDPE low pressure sewer main (air release, cleanouts, sewer services and other appurtenances).

II. General Bidding Requirements

The work must be performed by a Contractor skilled and regularly engaged in the general class or type of work called for under the Contract. The bidder must have a current contractor's license issued by the State of Alaska. The license must apply to the work described in the Invitation to Bid.

The City of Homer Standard Construction Specifications, 2011 Edition, shall supplement the project plans. A copy of the Homer Standard Construction Specifications (S.C.S.) may be obtained at the City of Homer Web Site, http://www.cityofhomer-ak.gov/publicworks, Documents.

Federal and State Prevailing Rate Wages

See appendix for specific Davis Bacon Requirements.

This project is covered by the State of Alaska, Laborer's and Mechanic's Minimum Rates of Pay, **Title 36 Public Contracts**, (**AS 36.05 & 36.10**) **Pamphlet No. 600**, **Issue 32 Issued April 1st**, **2016**. It is the responsibility of the bidder to determine the current rates of pay required and to submit the proper certified payrolls to the State Department of Labor.

In addition, Federal prevailing wage rates are applicable to this project. The higher of either the State of Alaska Department of Labor and Workforce Development Laborers' & Mechanics' Minimum Rates of Pay or the U.S. Department of Labor Wage Rates – General Decision AK20080001 shall be used for each wage classification. Contractor shall be required to submit separate State of Alaska Department of Labor Certified Payrolls and U.S. Department of Labor Certified Payrolls in accordance with the respective agency requirements, including submittal of signed Statements of Compliance.

Performance and Payment bonds in the amount of One Hundred Percent **100%** of the bid amount are required.

Bids must be submitted on the Bid Form and be received at the Office of the City Clerk, 491 E. Pioneer Avenue, Homer, Alaska 99603. **A bid bond is required.** Cashier checks in an amount equal to five percent (5%) of the bid are acceptable. Surety bonds are acceptable.

The City of Homer has a two-part bid process, Part A and Part B. Each portion of the bid must be submitted in separate envelopes. At the bid opening, Part B is opened first and must be complete and regular or Part A will not be opened and the bid will be rejected.

Bidder shall utilize the bidders check list (provided at the end of these instructions) to assure all required forms are included in their bid.

III. Instruction to Bidders

The City of Homer reserves the right to accept or reject any or all proposals, to waive irregularities or informalities in the proposals, and to award the contract to the bidder that best meets the criteria stated below.

A. Qualification of Bidders

It is the intention of the City of Homer to award this contract to the lowest responsible, responsive Bidder who furnishes satisfactory evidence they have the requisite experience, ability and sufficient capital, facilities and plant to prosecute the work successfully (and properly) and to complete it within the time allowed in the Contract at the least cost to the City of Homer for dollars spent for value received.

If the signature on the Bid is by an agent, other than an Officer of a corporation of a member of a Copartnership, a Power of Attorney must either be on file with the City Clerk prior to the Bid opening or submitted with the Bid in Part B.

B. Taxes

Attention is directed to the requirements of the General Conditions regarding the payment of taxes. All taxes that are lawfully assessed against Owner or Contractor in connection with the work shall be paid by the Contractor. The Bid prices shall include all such taxes.

The City of Homer is exempt from local sales taxes. The Contractor shall not include sales tax markup in his bid. However, in order to recoup sales tax the Contractor might pay at local vendors, the Contractor must secure a Tax Exempt card from the Kenai Peninsula Borough Tax Department.

C. Familiarization With The Work

Before submitting a Bid, each prospective Bidder shall familiarize themselves with the work, labor conditions and all laws, regulations and other factors affecting performance of the work. The Contractor shall carefully correlate his observations with the requirements of the Contract Documents and otherwise satisfy himself of the expense and difficulties attending performance of the work. The submission of a Bid shall constitute an acknowledgement that the Bidder has thoroughly examined and is familiar with the Contract Documents and the provisions thereof. The failure or neglect of a Bidder to receive or examine any of the Bid Documents shall in no way relieve the bidder from any obligations with the respect to their Bid or to the Contract. Misinterpretation or a reputed lack of knowledge concerning the Bid will not serve as a basis for a claim for additional compensation.

1. Site Conditions

Each Bidder shall visit the site of the Work and completely inform himself relative to construction hazards and procedures, the availability of lands, the character and quantity of surface and subsurface materials and utilities to be encountered, the arrangement and conditions of existing structures and facilities, the procedure necessary for maintenance of uninterrupted operations of existing facilities, the character of construction equipment and facilities needed for performance of the work, and facilities for transportation, handling and storage of materials and equipment. All such factors shall be properly investigated and considered in the preparation of the Bid.

D. Interpretation of Bid Documents

All questions about the meaning or intent of the Contract Documents shall be submitted, in writing, to the Office of the Director of Public Works, 3575 Heath St. Homer Alaska, 99603. Replies will be issued by Addenda mailed or delivered to all parties recorded by the City Clerk's Office as having received the Bidding documents. **The City of Homer will not be held responsible for questions received less than** (7) days prior to the date of opening of Bids. Only questions answered by formal written Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect. No questions will be answered the day of the bid due date.

The Bid shall contain an acknowledgement of receipt of all Addenda, the numbers of which shall be filled in on the Addendum Form, properly signed by the Bidder and placed in envelope B.

It shall be the Bidder's responsibility to inquire as to addenda issued. <u>Failure to include the Addenda</u> Form in envelope B shall result in the Bid being rejected as non-responsive.

E. Bid Bond Guarantee

Each Bid shall be accompanied by a Bid Bond duly completed on the suggested form provided by a guaranty company authorized to carry on business in the State of Alaska, along with a General Power of Attorney form, if applicable, for payment to the City in the sum of five percent (5%) of the total amount of the Bid. Failure to include the Bid Bond in envelope A of the Bid shall result in the Bid being rejected as non-responsive.

The amount payable to the City under the Bid Bond or the certified or cashier's check, as the case may be, shall be forfeited to the City in case of a failure or neglect of the Bidder to furnish, execute, and deliver to the City required Performance and Payment Bonds, Evidences of Insurance, necessary forms or material required by the Bid or failure to enter into, execute and deliver to the City the Contract on the form provided therefor, within ten (10) working days after receipt of "Notice of Intent to Award Contract" by the City that the Contract is ready for execution. The "Award of Contract" will be made upon the execution of the Contract by the Bidder and the City.

F. Return of Bid Guarantee

Within thirty (30) days after the Bids are opened, the City will return the Bid Guarantees accompanying the Bids, which are not to be considered in making the award. The bid Guarantees of the three (3) lowest responsive Bids will be held until the Contract has been fully executed after which time the Guarantees will be returned to the respective Bidders whose Bids the Guarantees accompanied.

G. Contract Time

The Contract Time is an essential part of the Contract and it will be necessary for each Bidder to satisfy the City of his ability to complete the work within the time set forth in the Bid form. Provisions for delays, liquidated damages, and extensions of time are set forth in the Standard Construction Specifications. Time is of the essence in this contract.

H. Bids

1. Preparation of Bids

Bids must be submitted on the forms provided by the city and completed in all respects as required by the Bid Documents. Bids shall include all information requested herein, and be manually signed by the Bidder or the Bidder's duly authorized representative, with the Bidder's address and phone number. If the signature is by an agent, other than an Officer of a Corporation, or a member of a Co-partnership, a Power of Attorney must be on file with the City Clerk prior to opening the Bid or submitted in envelope B of the Bid; otherwise, the Bid will be disregarded as irregular and unauthorized, and will be rejected as non-responsive. All Bids must be regular in every respect, and no alterations shall be made to the Bid form.

If erasures or changes appear on the forms, each must be initialed by the person signing the Bid. No oral, telegraphic or telephone proposals will be considered.

Bids will be received at the City Clerk's Office located at 491 East Pioneer Avenue, Homer, Alaska 99603, until the time indicated on the Invitation to Bid. Each Bid shall be submitted enclosed in a sealed, opaque envelope. The Bidder shall see that the Bid title and date of Bid opening is on the lower left-hand corner of the envelope. The City is not responsible for the premature opening of, or failure to open, a bid not properly addressed and identified. Promised overnight delivery from the Post office or private carriers usually is an inaccurate statement for Alaska and Homer Area.

No consideration will be given by the city to a claim of error unless such claim is made to the city in writing within two (2) hours after the time of Bid opening. Written verification and supporting evidence of the error shall be delivered to the City Clerk within 24 hours of the Bid Opening (not including Saturday, Sunday or legal holidays) to allow consideration of the claim for error. Supporting evidence shall be original documents, including cost breakdown sheets, supplier quotes and other documents used to compute the Bid.

It is the bidder's responsibility to see that Bids are deposited at the time and place set forth for the public opening of Bids. Bids not received by the time will not be accepted and will be returned to the Bidder in the sealed bid envelope.

II. The City of Homer Local Bidder Preference does not apply to this contract.

BIDDER'S CHECKLIST Kachemak Drive (Phase III) Water and Sewer Improvements

The following items must be completed and accompany the Bid unless noted otherwise (Note: This checklist consists of one (1) page):

Part A

- 1. BID FORM (Schedule), must be complete. The Bid form must be signed with the name and address of bidder typed or clearly printed, and a copy of the bidder's Alaska State Contract License must be included. (Part A)
- 2. BID BOND, Cashier's Check, Certified Check, or Cash in the amount of Five percent (5%) of the MAXIMUM BID must be included. (**Part A**)

Part B

- 3. Addenda Acknowledgement must be signed and included with the Bid. (Part B)
- 4. EEO-1 Certification (**Part B**)
- 5. Equal Employment Opportunity Clause form. (Part B)
- 6. DBE Minority and Women-Owned Business Enterprises (MBE/WBE) Compliance Statement (Part B)
- 7. Equal Employment Opportunity Statement of Acknowledgment (**Part B**)
- 8. Certification Regarding Debarment, Suspension and Other Responsibility Matters. (Part B)
- 9. The Anti-Discrimination Certificate. (**Part B**)
- 10. Contractor's Non-Collusion and Debarment. (Part B)
- 11. Certification by Bidder use of American Iron and Steel (**Part B**)

All Bids must contain the items listed above in complete form and must be filled out and signed.

The following documents will be required from the selected contractor **within three (3) days** of receipt of the notice of intent to award:

- 1. Minority And Women-Owned Business Enterprise Report Of Participation (Disadvantaged Business Enterprise) form must be filled out and signed.
- 2. Minority And Women-Owned Business Enterprise Contact Documentation (Disadvantaged Business Enterprise) form must be filled out and signed.
- 3. Equal Employment Statement Of Acknowledgement form filled out by each subcontractor participating in the contract.
- (See Appendix A disadvantage business enterprise overview for ongoing contract reporting requirements)

The following documents will be required from the selected contractor prior to the start of construction

4. Use of American Iron & Steel Manufacturer Certification. See Use of American Iron and Steel Reporting requirements.

IV. BID SCHEDULE

Part A

Bid Schedule Kachemak Drive Water Main Improvements (Phase III)

ITEM NO.	SPEC NO.	BID ITEM DESCRIPTION	UNIT	QUANTITY	UNIT BID PRICE	TOTAL BID PRICE
1	101	Mobilization and Demobilization	Lump Sum	1		
2	102	Construction Surveying	Lump Sum	1		
3	103	Traffic Maintenance	Lump Sum	1		
4	202	Clearing and Grubbing	Lump Sum	1		
5	204	Trench Excavation/Native Backfill	Linear Foot	4,373		
6	204	Disposal of Unsuitable Material	Cubic Yard	275		
7	205	Imported Fill (Type II)	Cubic Yard	300		
8	205	Imported Fill (Type III)	Cubic Yard	300		
9	211	Class B Bedding	Cubic Yard	155		
10	220	Prepare & Implement SWPPP	Lump Sum	1		
11	602	F&I Water Main (12-inch, HDPE, SDR 11)	Linear Foot	4,373		
12	603	F&I 12-inch Gate Valve, Valve Box and Marker	Each	7		
13	604	F&I Fire Hydrant Assembly (10' Bury)	Each	8		
14	604	F&I Fire Hydrant Assembly - Pile Supported	Each	2		
15	606	F&I 1" Water Service (Short Side)	Each	8		
16	606	F&I 1" Water Service (Long Side)	Each	20		
17	704	F&I 4" Thick Insulation Board	Board Foot	145		
18	708	Seeding (Type II)	1000 Sq Ft	200		

Grand Total Water	na rotal water

Bid Schedule Kachemak Drive Sewer Main Improvements (Phase III)

ITEM NO.	SPEC NO.	BID ITEM DESCRIPTION	UNIT	QUANTITY	UNIT BID PRICE	TOTAL BID PRICE
1	101	Mobilization and Demobilization	Lump Sum	1		
2	102	Construction Surveying	Lump Sum	1		
3	103	Traffic Maintenance	Lump Sum	1		
4	202	Clearing and Grubbing	Lump Sum	1		
5	204	Trench Excavation/Native Backfill	Linear Foot	150		
6	204	Disposal of Unsuitable Material	Cubic Yard	100		
7	205	Imported Fill (Type II)	Cubic Yard	150		
8	205	Imported Fill (Type III)	Cubic Yard	75		
9	211	Class B Bedding	Cubic Yard	50		
10	220	Prepare & Implement SWPPP	Lump Sum	1		
11	230	Furnish & Install New ARV Station - Complete	Lump Sum	1		
12	602	F&I Sewer Main (2-inch, HDPE, SDR 11)	Linear Foot	832		
13	602	F&I Sewer Main (3-inch, HDPE, SDR 11)	Linear Foot	3400		
14	603	F&I 2" Sewer Clean Out	Each	1		
15	603	F&I 3" Sewer Clean Out	Each	3		
16	604	F&I 2" Sewer Valve	Each	1		
17	604	F&I 3" Sewer Valve	Each	3		
18	604	Terminal Flushing Station	Each	1		
19	606	F&I 1 1/4" Sewer Service (Long Side)	Each	8		
20	606	F&I 1 1/4" Sewer Service (Short Side)	Each	20		
21	708	Seeding (Type II)	1000 Sq Ft	15		

	Grand Total Sewer	
	Total Bid (Water and Sewer)	
Name of Firm		
Address of Firm		
Authorized Signature		
Printed Name		
Title of Signatory		
Date of Rid		

BID BOND

KNOW ALL MEN BY THESE PRESENTS, that
Hereinafter called the PRINCIPAL, and
a Corporation duly organized under the laws of the State of Alaska having its principal place of business at
at
sum of DOLLARS (\$) for payment of which we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.
THE CONDITION OF THIS BOND IS SUCH THAT:
WHEREAS, the PRINCIPAL has herewith submitted his or its BID for
said Bid, by reference thereto, being hereby made a part hereof.
NOW, THEREFORE, if the Bid submitted by the PRINCIPAL is accepted and the Contract awarded to the PRINCIPAL, and if the PRINCIPAL shall execute the proposed Contract and shall furnish such Performance and Payment Bond as required by the Contract Documents within the time fixed by the documents, then this obligation shall be void: if the PRINCIPAL shall fail to execute the proposed Contract and furnish the Bond, the SURETY hereby agrees to pay the OBLIGEE the penal sum as liquidated damages:
Signed and sealed thisDay of, 2016.
PRINCIPAL:
BY:
SURETY:
ATTORNEY-IN-FACT:

Part B

ADDENDA ACKNOWLEDGMENT

Project Name: Kachemak Drive (Phase III) Water and Sewer Improvements

I hereby acknowledge adden	da numbers:
	_
	_
-	_
-	_
	_
	-
Name of Firm:	
Signature of Bidder:	<u> </u>
Date:	
This Acknowledgement mu	st be included with Part B of the Bid or the Bid will be considered non

responsive.

City of Homer

Equal Employment Opportunity (EEO – 1) CERTIFICATION

The following Certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7 (b) (1)) and must be submitted by BIDDERS and proposed SUBCONTRACTORS in connections with contracts and subcontracts which are subject to the Equal Opportunity Clause. Contracts and subcontracts which are exempt from the Equal Opportunity Clause are set forth in 41 CFR 60-1.5 (generally only contracts or subcontracts of \$10,000 or under are exempt.) Proposed PRIME CONTRACTORS and SUBCONTRACTORS who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports, should note the 41 CFR 60-1.7 (b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period as specified by the Federal Highway Administration; by the Director, Office of Federal Contract Compliance Programs, U.S. Department of Labor; or by the Equal Employment Opportunity Commission.

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations. The Employer Information Report EEO-1 (Standard Form 100) is not a voluntary survey. The filing of the report is in accordance with Standard Form 100 instructions and is required by Federal law. The applicable law is Section 709©, Title VII, Civil rights Act of 1964 and regulations issued by the Equal Opportunity Commission under that law are reprinted in Appendix (6). Under Section 710(b) of Title VII, the Commission may obtain an order from a United States District Court compelling a covered employer to file this report. Under Section 209 (a) of Executive Order 11246, the penalties for failure by a Federal contractor or subcontractor to comply may include termination of the Federal government contract and debarment from future Federal contracts.

It is the employer's responsibility to keep current on all EEO-1 filing requirements. All inquiries and requests for special procedures should be directed to: Office of Federal Contract Compliance Programs, Department of Labor, Federal Building/U.S. Court House, 701 C Street, Box 55, Anchorage, AK 99513. Blank reporting forms may be obtained from: The Joint Reporting committee, P.O. Box 2236, Norfolk, Virginia 23501 (804) 625-3734.

	CK APP certifie		or proposed SUBCONTRACTOR					
1.	subcon as requ	firm has participated in atractor subject to the E aired by Federal Execu 11 F.R. 12319)	Equal Opportunity Cla	use	YES	NO		
	A.	applicable filing requ	Il reports due under the irement with the Joint Opportunity Commisications.		YES	NO		
2.		irm has participated in action contract or subc		omer	YES	NO		
	A.		ll the EEO reports due irements of the city of Works.		YES	NO		
 Signatu	ure of A	authorized Representat	ive of Company	Date				
Name (of Com	pany		Phone	Number			
Addres	ss of Co	mpany		Zip Co	ode			
PROJE	ECT NA	ME			DDV 5	• .		

Shellfish/Southslope Water Main Extension and Shellfish Avenue PRV Project

This certificate (2 pages) needs to be included with the Bid Documents Part B or the Bid will be considered non-responsive.

EQUAL EMPLOYMENT OPPORTUNITY CLAUSE

During the performance of this contract, the contractor agrees to comply with OFCC Regulations 40 CFR 60.1.4 (1) through (7) as follows:

- 1. The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of this nondiscrimination clause.
- 2. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- 3. The contractor will send to each labor union or representative of workers with whom he has a collective bargaining agreement or other contract or understanding, a notice to be provided by the agency contracting officer, advising the labor union or workers' representative of the contractor's commitments under Section 202 of Executive Order 11246 of September 24, 1965 and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- 4. The contractor will comply with all provisions of executive order 11246 of September 24, 1965 and of the rules, regulations, and relevant orders of the Secretary of Labor.
- 5. The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965 and by the rules, regulations, and orders of the secretary of labor, or pursuant thereto, and will permit access of his books, records, and accounts by the contracting agency and the secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- 6. In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any such rules, regulations or orders, this contract may be canceled, terminated or suspended in whole or in part and the contractor may be declared ineligible for further government contracts in accordance with procedures authorized in executive order 11246 of September 24, 1965 and such other sanctions may be imposed and remedies invoked as provided in executive order 11246 of September 24, 1965 or by rule, regulation or order of the Secretary of Labor as otherwise provided by law.
- 7. The contractor will include the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965 so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such

action with respect to any subcontract or purchase order as the contracting agency may direct as a means of enforcing such provisions including sanctions for noncompliance: Provided, however that in the event the contractor becomes involved in, or is threatened wit, litigation with a subcontractor or

ates to enter into such litigation to protect the	ne interest of the United States.
(Signature)	(Title)
(Date)	

This form (2 pages) must be included with the Bid, Part B, or the Bid will be considered non-responsive.

Minority and Women-Owned Business Enterprises (MBE/WBE) Compliance Statement

The	foll	lowing	form	must	be	incl	luded	with	the	Bi	d, I	Part	В, (or th	e Bi	id w	ill l	oe o	consid	lered	non-	-respo	onsiv	e.

STATE OF ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION

DISADVANTAGE BUSINESS ENTERPRISES (MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES) COMPLIANCE STATEMENT

To be eligible for award of this contract, the bidder/proposer must execute and submit, as part of his or her bid proposal, this statement relating to Disadvantage Business Enterprises (Minority and Woman-Owned Business Enterprises). This statement shall be deemed a material factor in the City's evaluation of this bid proposal. Failure to complete and submit this statement, or the inclusion of a false statement, shall render the bid proposal non-responsive.

The (Company Name) acknowledges that Mino	arity/Woman
Owned Business Enterprises (MBE/WBE) goal of 5.91% participation (with a good 3.89% MBE and 2.02% WBE, Effective July 1, 2013 thru June 30, 2016) has been es this contract, and hereby assures that it will meet the goal or provide documenta that the mandatory good faith efforts have been made.	faith effort of stablished for
The undersigned certifies that this bidder/proposer is aware of and will comply wit goals of this project and all applicable federal and state statutes and regulations. Disadvantage Business Enterprises (Minority and Woman-owned Business Enterprises)	s concerning
We certify that should we be declared successful bidder/best proposer we shall submas required for award of the contract within the time limits set forth in the contract sunless otherwise specified. In addition, we acknowledge that Minority/Woman-Owi Enterprises Contract and Procurement Reports will be submitted to the City for each active construction.	specifications ned Business
We understand that if we are the successful bidder/best proposer and we fail to m and/or WBE goals, or fail to demonstrate that we have made the required good fa City can render the bid proposal non-responsive.	
Company NameRFP/Contract	
Authorized Signature	
Title	

Rev 4/13

DBE Compliance Statement

STATE OF ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION

EQUAL EMPLOYMENT OPPORTUNITY STATEMENT OF ACKNOWLEDGEMENT

This statement of acknowledgement is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)) and must be completed by each Bidder and proposed Subcontractor participating in this contract.

PLEASE CHECK THE APPROPRIATE BOXES THE Bidder proposed Subcontractor hereby CERTIFIES: PART A. Bidders and proposed subcontractors with 50 or more employees and a federal contract amounting to \$50,000 or more are required to submit one federal Standard Report Form 100 during each year the two conditions (50 employees and a \$50,000 federal contract) exist. The company named below (Part C) is exempt from the requirements of submitting the Standard Report Form 100 this year. NO (go to PART B) YES (go to PART C) PART B. The company named below (Part C) has submitted the Standard Report Form 100 this year, or intends to at this time. NO YES NOTE: Bidders and proposed Subcontractors who file Standard Report Form 100 may also be required to file Form CC-257 Monthly Employment Utilization Report if the project has significant financial impact on a community, or the bidder/subcontractor has signed an agreement to do so. At a minimum, the bidder/subcontractor is required to maintain records which reflect the reporting requirements of CC-257. Standard Report Form 100 and instructions may be obtained by writing to: EEO-1 Joint Reporting Committee P.O. Box 19100 Washington, DC 20036-9100 Telephone (866) 286-6440 Email: el.techassistance@eeoc.gov PART C. Signature of Authorized Representative of Company Date Name of Company Telephone No. Address of Company Zip Code Contract Number Project Name



EPA Project Control Nun	ıber

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY Washington, DC 20460

Certification Regarding Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of is knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of federal or State antitrust statutes or commission of embezzlement, theft, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and
- (d) Have not within a three year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Typed Name & Title of Authorized Representative		
Signature of Authorized Representative	Date	
I am unable to certify to the above statements. My explanation is attached.		

EPA Form 5700-49 (11-88

ANTI-DISCRIMINATION CERTIFICATE

The BIDDER hereby covenants, stipulates, and agrees that no person shall be discriminated against in the bidding of the services and/or materials hereinunder and that the BIDDER shall not refuse to hire any person therefore because of such person's race, creed, color, or national origin, unless based on a bonafide occupational qualification. Also, the BIDDER will in no manner discriminate against any person because of such person's race, creed, color, or national origin. Any such discrimination shall be deemed a violation of this Bid and shall render this Bid subject to forfeiture.

Contractor's Signatu	ıre:	
this instrument, on cacknowledged it as t	or have satisfactory evidence that signed bath stated that (he/she) was authorized to execute the instrument and he (title) of luntary act of such party of the uses and purposes mentioned in the instrument	t.
Dated:	, 2016	
	Notary Public for	
(C1 C4)	Residing at:	
(Seal or Stamp)	My Commission Expires:	

CONTRACTOR'S NON-COLLUSION AND DEBARMENT AFFIDAVIT

I, the undersigned, an authorized being first duly sworn on oath h collusive Bid, or made in the intestate that the said firm, association or indirectly inducted or solicite any other person or corporation sought by collusion to secure to	ereby certify that the Bid subtreest or on behalf of any perion or corporation (hereinafted any Bidder on the above to refrain from bidding; and	abmitted is a genuine ar erson not therein named ter referred to as "Firm work or supplies to put d that said Firm, has no	; and I further ") has not directly in a sham Bid, or t in any manner
I further certify that, except as a associated therewith or any positunder suspension, debarment, vagency; has not been suspended federal agency within the past 3 been indicted, convicted or had competent jurisdiction in any myears.	tion involving the administroluntary exclusion, or determined, debarred, voluntarily exclusion; does not have a propa civil judgment rendered a	ration of federal funds; mination of ineligibility uded or determined ine- posed debarment pending gainst said person or Fi	is not currently by any federal ligible by any ng; and has not rm, by a court of
Exceptions noted:			
	C' 1		
	Signed:		
	Title:		
Subscribed and sworn to before	me this	day of	, 2016.
(Seal or Stamp)			
	Notary Public for		
	Residing at:		
	My Commission Expires	:	



STATE OF ALASKA MUNICIPAL GRANTS & LOANS ALASKA CLEAN/DRINKING WATER FUND

USE OF AMERICAN IRON AND STEEL

CERTIFICATION BY BIDDER OF COMPLIANCE WITH THE USE OF AMERICAN IRON AND STEEL LAW

enacted on 1/17/2014

We, the bidding prime contractor and American iron and steel used in the Extension (Phase III), also comply with the Use of American III the U.S. Environmental Protection American III and III are the U.S. Environmental Protection American III and III are the U.S. Environmental Protection American III and III are the U.S. Environmental Protection American III and III are the U.S. Environmental Protection American III and III are the U.S. Environmental Protection American III and III are the U.S. Environmental Protection American III and III are the U.S. Environmental Protection American III are the U.S. Environmental Protection III	Project named Kachemak Driverson identified as Project Loan Notron and Steel Law, or obtain the	Clean Water - 409071 will Drinking Water - 409091
Prime Contractor Name:		
Signature of Official	Printed name	Date
Subcontractor Name	Signature of Official	<u>Date</u>

V. Contract Documents

CONTRACT

	t, made and entered into by and between the City of Homer, Alaska, a Municipal hereinafter called the "City" and
Hereinafter c	alled the "Contractor";
WITNESSET	ГН:
agreements h furnish all the the extent of	or, in consideration of the sum to be paid him by the City and of the covenants and erein contained, hereby agrees at his own cost and expense to do all the work and e materials, tools, labor and all appliances, machinery and appurtenances for City to the Bid made by the contractor, dated the day of, 2016, all in the contract documents referred to herein as:
Kachemak I	Orive (Phase III) Water and Sewer Improvements
a)	Invitation to Bid
b)	The signed copy of the Bid
c)	The Bid Bond
d)	The 2000 Homer Standard Construction Specifications
e)	All Addenda, totaling
f)	The drawings which consist of <u>31</u> sheets entitled

Kachemak Drive Phase III Sewer Improvements (16 Sheets) Kachemak Drive Phase III Water Improvements (15 Sheets)

Are hereby referred to and reference made a part of the Contract as fully and completely as if the same were fully set forth herein.

In consideration of the performance of the work as set forth in these Contract Documents, the city agrees to pay to the contractor the amounts specified bid in the Bid and to make such payments upon the Contractor's invoicing as approved by the City Engineer.

CONTRACT

CONTRACT COMPLETION TIME

CONTRACT COM EDITOR THE	<u>u</u>	
The Contractor agrees to complete to	the project, in all 1	respects November 15th, 2016
CONTRACT AMOUNT	\$ In Numbers	
	\$ In Words	
<u>LIQUIDATED DAMAGES</u> :		
the Completion of Construction. It damages and expenses the Owner operation exclusive of third party of any damages or expenses the Owner completing any portion of all of the hindrance, interference, damages on the full amount of any such delay by any liquidated damage provision	The liquidated damay incur as a redamages or claim ner may incur as Project, which detexpenses to any damages or expenses forth in the C	y will apply to the Contractor's unexcused delay in mage amount specified herein shall only apply to sult of a delay in placing the facility into use and s. The liquidated damage amount shall not cover a result of the Contractor's unexcused delay in elay results in whole or in part in delay, disruption, third party. The Contractor shall remain liable for uses suffered by any third party without limitation contract.
CITY	OF HOMER	
	By:	
	Title:	Mary K. Koester, Homer City Manager
CON	TRACTOR	
	(Contrac	etor)
	Ti	By:tle:

PERFORMANCE BOND

KNOW ALL MEN BY THESE PRESENTS: That we		
KNOW ALL MEN BY THESE PRESENTS: That we (Name of Contractor)		
\mathbf{a}		
a(Corporation, Partnership, Individual)		
hereinafter called "Principal" and		
(Surety)		
of, State of		
hereinafter called the "Surety" are held and firmly bound unto the City of Homer, hereinafter called "Owner," in the penal sum of		
"Owner," in the penal sum of old lars (\$) in lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents.		
THE CONDITIONS OF THIS OBLIGATION are such that Whereas, the Principal has or is about to enter into a certain contract with the Owner, a copy of which is hereto attached and made a part hereof for the construction of:		
NOW, THEREFORE, if the Principal shall well, truly and faithfully perform its duties, all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term thereof, and any extensions thereof which may be granted by the Owner, with or without notice to the Surety, and if it shall satisfy all claims and demands incurred under such contract, and shall fully indemnify and save harmless the Owner from all costs and damages which it may suffer by reason of failure to do so, and shall reimburse and repay the Owner all outlay and expense which the Owner may incur in making food any default, then this obligations shall be void; otherwise to remain in full force and effect.		
PROVIDED, FURTHER, that the said surety, for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or the work to be performed thereunder or the specifications accompanying the same shall in any wise affect it obligation on this bond, and it does hereby waive notice of any such change, extension f time, alteration or addition to the terms of the contract or to the work or to the specifications.		
PROVIDED, FURTHER, that no final settlement between the Owner and the Principal shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.		
IN WITNESS WHEREOF, this instrument is executed in five(5) counterparts, each one of which shall be deemed and original, this the day of, 2016.		

ATTEST:

(Principal's Corporate Secretary)	(Principal)
Affix CORPORATE SEAL if applicable	(Address-Zip Code)
(Witness as to Principal)	
(Address – Zip Code)	
A TTEST.	(Surety)
ATTEST:	By:(Attorney-in-Fact)
(Surety) Secretary	(Address-Zip Code)
(Affix SURETY'S SEAL)	
(Witness as to Surety)	
(Address-Zip Code)	

Notes:

If Principal is Partnership, all partners must execute bond. The Attorney-in-Fact, who executes this bond on behalf of the surety, must attach a copy of his Power-of-Attorney as evidence of his authority.

PAYMENT BOND

KNOW ALL MEN BY THESE PRESENTS: That we			
(Name of Contractor)			
a(Corporation, Partnership, Individual)			
hereinafter called "Principal" and(Surety)			
(Surety)			
of, State of			
hereinafter called the "Surety" are held and firmly bound unto the City of Homer,			
hereinafter called "Owner," in the penal sum of			
dollars (\$) in lawful money of the United States, for the payment of which and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, joseverally, firmly by these presents.			
THE CONDITIONS OF THIS OBLIGATIONS are such that Whereas, the Principal has or is enter into a certain contract with the Owner, a copy of which is hereto attached and made a p for the construction of:			
NOW, THEREFORE, if the Principal shall promptly make payment to all persons, firms, subcontractors and corporations furnishing material for, or performing labor in the prosecution of the work provided for in such contract, and any authorized extension or modification thereof, including all amounts due for material, lubricants, fuels, repairs on machinery, equipment and tools, consumed or used in connection with the construction of such work, and all insurance premiums on said work, and for all labor performed in such work, whether by subcontractor or otherwise, then this obligation shall be void: otherwise to remain in full for and effect.			
PROVIDED, FURTHER, that the said Surety, for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or the work to be performed thereunder or the specifications accompanying the same shall in any wise affect it obligation on this bond, and it does hereby waive notice of any such change, extension f time, alteration or addition to the terms of the contract or to the work or to the specifications.			
PROVIDED, FURTHER, that no final settlement between the Owner and the Principal shall a right of any beneficiary hereunder, whose claim may be unsatisfied.	bridge the		
IN WITNESS WHEREOF, this instrument is executed in five (5) counterparts, each one of w be deemed and original, this the day of, 2016.	hich shall		

ATTEST:

(Principal's Corporate Secretary)	(Principal)
Affix CORPORATE SEAL if applicable	(Address-Zip Code)
(Witness as to Principal)	
(Address-Zip Code)	
	(Surety)
ATTEST:	By:(Attorney-in-Fact)
(Surety) Secretary	(Address-Zip Code)
(Affix SURETY'S SEAL)	
(Witness as to Surety)	
(Address-Zip Code)	

Notes:

If Principal is Partnership, all partners must execute bond. The Attorney-in-Fact, who executes this bond on behalf of the Surety, must attach a copy of his Power-of-Attorney as evidence of his authority.

VI. Project Schedule

Kachemak Drive (Phase III) Water and Sewer Improvements

No Later Than

Pre-Bid Conference.	
Bid Opening.	2:00 Thursday September 1 st , 2016
Notice of Intent to Award	September 2 nd , 2016
Notice to Proceed	September 13 th , 2016
Construction Completion	November 15 th , 2016

VII. Supplemental Technical Specifications

City of Homer, Alaska Kachemak Drive Phase III Sewer Improvements

Supplemental Technical Specifications

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GENERAL STATEMENT

The City of Homer Standard Construction Specifications, 2011 Edition, hereinafter referred to as CHSCS, is hereby incorporated in and becomes a part of the Contract Documents for the work.

These Supplemental Technical Specifications set forth conditions and requirements unique to this Project and are supplemental to, and supersede, the CHSCS.

It shall be the responsibility of the Bidder to prepare his bid so all materials, equipment, and working arrangements shall harmoniously conform to the intent of the Contract Documents.

DIVISION 200 – EARTHWORK

Add the following New Section:

SECTION 220 - PREPARE AND IMPLEMENT SWPPP

220.1 General

This work shall consist of the necessary measures to provide temporary erosion and pollution control during the life of the Project as described in General Provisions Article 5.12 Temporary Erosion Control During Construction and detailed below, including furnishing labor, materials, and equipment to prepare and implement a Storm Water Pollution Prevention Plan (SWPPP).

220.2 Construction

The Contractor is responsible for preparing a SWPPP and protecting the waters of the United States as required by the Clean Water Act. The Contractor shall be responsible for completing work as described below:

- 1) Obtain Notice of Intent (NOI) and prepare a SWPPP. Obtain approval by the Owner.
- 2) Deliver three copies to Owner for approval and have at least one approved copy available on-site.
- 3) Maintain the SWPPP of Record.
- 4) Provide required site signage/postings.
- 5) Implement the SWPPP, including weekly inspections and site documentation. Keep copy of all records on-site.
- Construct a silt fence or other approved sediment control improvement immediately adjacent to the work as determined by the approved SWPPP.
- 7) Implement nominal/basic erosion control measures identified in the SWPPP and basic Best Management Practices (BMPs).
- 8) Any other normal requirements of the SWPPP, including but not limited to securing Owner signatures on weekly SWPPP inspection reports, submitting copies of the reports and other basic regulatory obligations.
- 9) At the end of the project file a Notice of Termination (NOT).

The City will partner with the Contractor to determine means and methods employed to protect surface and ground water and manage risk. The City and the Contractor are jointly responsible for permitting and permit compliance within the work area. The SWPPP shall encourage the installation of final erosion control measures as soon as possible. Subcontractors must certify that they have read and will abide by the conditions of the project SWPPP.

The City and the Contractor will monitor the site and determine if any special additional work is required beyond the basic work identified in the SWPPP. Any work items above and beyond that listed will be paid for on a time and material basis if additional control measures are deemed necessary by the City.

The SWPPP shall be prepared by a Certified Professional in Erosion and Sediment Control (CPESC), an individual with a current Alaska Certified Erosion and Sediment Control Lead (AK-CESCL) certification and at least three relevant years of experience, or a Professional Engineer registered in Alaska with current AK-CESCL.

The SWPPP will document that the project is in conformance with applicable Clean Water Act provisions and that work conforms to all project environmental permits conditions.

The Contractor is responsible for revising the SWPPP during construction if necessary. Contractor shall act as the Operator on the construction site as it relates to completing SWPPP work activities. Contractor shall track successes and failures of BMP implementation in inspection reports.

220.3 Method of Measurement

Temporary erosion and pollution control shall be measured by lump sum for all work described in this section and be paid by a percentage of Project completion.

220.4 Basis of Payment

Payment at the lump sum price shall be full compensation for furnishing all labor, materials, equipment, and incidentals required for temporary erosion and pollution control.

Payment shall be under the following units:

ITEM DESCRIPTION UNIT
220 Prepare and Implement SWPPP Lump Sum

DIVISION 500 – SEWER SYSTEMS

Add the following New Section:

SECTION 515 – FURNISH AND INSTALL LOW PRESSURE SEWER FORCE MAIN

515.1 General

The work covered by this section relates to sanitary sewer force mains including materials permitted, installation, and testing.

515.2 Material

The pipe and fitting material shall have a cell classification of 345434C or better in accordance with ASTM D3350. In addition, the material must exceed 1000 hours when 97 tested in accordance with the ASTM F1248, the Ring Environmental Stress Crack Resistance Test with fewer than 50 percent (50%) failures. The extruded pipe shall have impact strengths greater than three feet pounds per inch (3 ft.# / inch), in accordance with the ASTM D256 Izod Impact Test. Butt fusion of the pipe and fittings shall be performed in accordance with the pipe manufacturer's recommendations as to equipment and technique. The fusion operation shall be performed by an individual who has demonstrated the ability to fuse polyethylene pipe in the manner recommended by the pipe supplier. The individual performing the fusing procedure must hold a current certification for fusing HDPE as stated in Title 49.1 DOT Certification.

Bolts for flanged connections will meet the minimum standard ASTM A307A unless otherwise noted.

For trench installation and borehole locations, all HDPE mainline shall be installed with No. 6 bare copper locate trace wire. Trace wire will be secured to the top of the pipe with tape or other approved method at minimum ten foot intervals.

515.3 Construction

a. Materials Delivery

Pipe and appurtenances shall be handled in such a manner as to insure delivery to the trench in a sound, undamaged condition. Particular care shall be taken to not damage the pipe, pipe coating or lining. Before installation, the pipe and appurtenances shall be examined by the Engineer for defects.

b. Installation

Install pressurized sewer main lines to meet minimum ADEC mandated separation distance to a drinking water line as specified in Alaska Drinking Water Regulations (18 AAC 80.020) and Alaska Wastewater Regulations (18 AAC 72.020). Separation distance is defined as distance between outside of water pipe and outside of sewer pipe. Install new sanitary sewer main lines no closer to public water distribution lines than 10 feet in all horizontal directions. Where drinking water lines and pressurized sanitary sewer lines cross, a minimum vertical separation of 18 inches is required; and water line joints must be at least nine feet from sewer line joints.

The pipe shall not be strung out along the shoulders of the road for long distances if it causes inconvenience to the public. The amount of pipe strung at the job site shall be at the discretion of the Engineer.

Installation shall be in accordance with the requirements of AWWA C-600. The interior of the pipe and accessories shall be thoroughly cleaned of foreign matter before being lowered into the trench. The pipe shall be kept plugged during the laying / boring operation to keep the interior clean.

When work is not in progress, open ends of the pipe, fittings, and valves shall be securely plugged so that no trench water, earth or other substances will enter the pipes or fittings. Where any part of the coating or lining is damaged, the repair shall be made by the Contractor at his expense and in a manner satisfactory to the Engineer. At a sufficient distance, prior to encountering a known obstacle or connection into an existing pipe, the Contractor shall expose and verify the exact location of the obstacle or pipe so that proper alignment and/or grade may be determined before the pipe sections are laid in the trench and backfilled. The connections shall be made by using special parts and/or fittings to suit actual conditions. All connections made under pressure shall be witnessed by City of Homer Public Works personnel.

Pipe ends left for future connections shall be plugged, or capped, and anchored as shown on the drawings or as directed by the Engineer. Cutting of pipe shall be done in a neat and workmanlike manner without damage to the pipe.

c. Pipe Installation Other Than Open-Cut

For installation of pipe by auguring, jacking, or tunneling, conform to requirements of specification section 32 05 23.13 – Horizontal Directional Drilling.

d. Trench Excavation and Backfill

The Contractor shall provide all trench excavation and backfill and compaction necessary to install pipe in accordance with *Division 200 Standard Construction Specifications for Earthwork, Section 207 Trench Excavation and Backfill* of these specifications.

For trench installation and borehole locations, caution tape clearly indicating sewer will be installed two feet (2') and centered on the pipe.

e. Flushing and Testing

All newly installed sewer force mains shall be "open bore" flushed to remove any foreign matter. "Open bore" flushing shall be accomplished prior to hydrostatic testing and disinfection at each extremity of the main, including all stub-outs and dead ends. The Contractor shall furnish, install, and remove all fittings and pipes necessary to perform the flushing, at no additional cost to the City of Homer.

It will be the contractor's responsibility to notify the Engineer and the City of Homer's Public Works Department forty-eight (48) hours in advance of any flushing operations. All flushing of newly constructed mains will be done between the hours of 8:00 a.m. and 4:00 p.m. weekdays, unless otherwise authorized by the City of Homer. Any and all overtime costs incurred by the Public Works Department will be charged to the Contractor. The City of Homer will not be responsible for any flushing costs caused by the Contractor's negligence through faulty workmanship, or erosion from the flushing waters.

- 1. After pipe and appurtenance have been installed, test line and drain. Prevent damage to Work or adjacent areas. Use clean water to perform tests.
- 2. Engineer may direct tests of relatively short sections of completed lines to minimize traffic problems or potential public hazards.
- 3. Test pipe in presence of Owner.
- 4. Test pipe at 150 psig or 1.5 times design pressure of pipe, whichever is greater. Design pressure of force main shall be rated total dynamic head of lift station pump.
- 5. Maximum allowable leakage shall be as calculated by following formula:

L = (S) (D) (P0.5) / 133,200

Where: L = Leakage in gallons per hour.

S = Length of pipe in feet.

P = Inside diameter of pipe in inches.

D = Pressure in pounds per square inch.

- F. No leakage permitted on exposed pipes and fittings.
- 6. Correct defects, cracks, or leakage by replacement of defective items or by repairs as approved by Engineer.
- 7. Plug openings in force main after testing and flushing. Use cast iron plugs or blind flanges to prevent debris from entering tested pipeline.

8. Main shall be tested prior to service connections being installed. Pressure test entire system (mains and services) after all services are installed.

515.4 Method of Measurement

Measurement for furnishing and installing sewer main shall be per linear foot of horizontal distance of the various sizes as set forth in the Bid Schedule.

Measurement will be from station to station as staked in the field and as shown on the drawings, except where the grade exceeds twenty-five percent (25%), in which case measurement will be by actual pipe length.

515.5 Basis of Payment

Fittings and appurtenances as shown on the drawings or not specifically identified for payment under a separate pay item but required for normal completion of sewer main installation, will be considered incidental and shall be included in the linear foot cost of the sewer main. Trench excavation, and compaction shall be incidental to the Bid Item provided in this item of work. Imported backfill shall be paid under the appropriate pay item or by letter of agreement.

Payment shall be under the following units:

ITEM DESCRIPTION UNIT
515 Furnish and Install Sewer Force Main Linear Foot

SECTION 516 – FURNISH AND INSTALL ARV VAULT FOR LOW PRESSURE SEWER FORCE MAIN

516.1 General

Work in this Section shall consist of furnishing all labor, equipment, and materials necessary to install an Air Relief Valve (ARV) vault. This work shall include all services, equipment, materials and labor for the furnishing of this installation, including complete and proper installation, and testing.

516.2 Construction

Valve vault construction shall be completed in accordance with COH Standard Specification 607.

516.3 Method of Measurement

The ARV vault shall be measured as units complete in place.

516.4 Basis of Payment

Payment at the lump sum price shall be full compensation for furnishing all labor, materials, equipment, and incidentals required for installation.

Payment shall be under the following units:

ITEM DESCRIPTION UNIT 515 ARV Vault Lump Sum

SECTION 517 – FURNISH AND INSTALL ISOLATION VALVES FOR LOW PRESSURE SEWER FORCE MAIN

517.1 General

Work in this Section shall consist of furnishing all labor, equipment, and materials necessary to install low pressure sewer main isolation valves. This work shall include all services, equipment, materials and labor for the furnishing of this installation, including complete and proper installation, and testing.

517.2 Construction

Gate valves shall be iron body, fully bronze mounted, resilient wedge, parallel seat valves as manufactured in accordance with the requirements of AWWA C-500, Gate Valves for Ordinary Water Works Service. All valves shall be non-rising stem type with an O-ring seal and a two inch (2") square operating nut, and shall open counter clockwise. Valves shall have mechanical joint or flanged ends as specified.

Valve box construction shall be completed in accordance with COH Standard Specification 603, with the following exception: The top section shall be recessed to receive a close fitting "eared" lid with the word "Sewer" cast into it.

517.3 Method of Measurement

Isolation valves shall be measured as units complete in place.

517.4 Basis of Payment

Payment at the lump sum price shall be full compensation for furnishing all labor, materials, equipment, and incidentals required for installation.

Payment shall be under the following units:

ITEM	DESCRIPTION	UNIT
		_

515 Isolation Valves Lump Sum

DIVISION 515 – HORIZONTAL DIRECTIONAL DRILLING

Add the following New Section:

DIVISION 515 – HORIZONTAL DIRECTIONAL DRILLING

515.1 General

- 1.1 SUMMARY
- A. Work in this Section shall consist of furnishing all labor, equipment, and materials necessary to install the high-density polyethylene (HDPE) pipeline by horizontal directional drilling (HDD). This work shall include all services, equipment, materials and labor for the complete and proper installation, testing, restoration of underground utilities and environmental protection and restoration.
- 1.2 RELATED WORK SPECIFIED ELSEWHERE
- A. SECTION 502 FURNISH AND INSTALL PIPE
- B. SECTION 207 TRENCH EXCAVATION AND BACKFILL
- C. GENERAL PROVISIONS SECTION 10.05 ARTICLE 5.9 CONSTRUCTION SURVEYING BY CONTRACTOR
- 1.3 SUBMITTALS
- A. Submit the following, providing sufficient detail to allow the Engineer to judge whether the proposed equipment, materials, and procedures meet the Contract requirements. Review and acceptance of the Contractor's Submittals by the Engineer shall not be construed in any way as relieving the Contractor of its responsibilities under this Contract.
 - 1. Horizontal Directional Drilling Work Plan: Submit a general Work Plan outlining the procedure and schedule used to execute the project. The Plan should be complete with drawings and written description identifying details of the proposed method of construction, the sequence of operations to be performed during construction and schedule of all major construction activities and durations, with starting and completion dates. The Contractor shall include sketches depicting the layout and locations of equipment within the rig side work area and pipe side work area, including any proposed drilling fluid containment and recirculation pits. The plan

- shall minimize to the greatest extent possible the need to remove trees and vegetation.
- 2. Description of Equipment and Materials: Submit detailed descriptions of equipment and materials to be used for the pipeline installation. Material shall include the pipe, fittings, and any other item which is to be an installed component of the project. Descriptions of drill rig and support equipment shall include manufacturers' specifications, rig capacity, calibrations, appropriate drawings, photographs, and descriptions of any modifications since manufacture.
- 3. Surveying, Equipment and Procedures: Submit records of equipment calibrations and certifications for all equipment used for tracking the drill head.
- 4. Plans for Disposal of Spoils and Drilling Fluids: Submit plans for disposal of waste materials resulting from the pipeline construction, including drilling fluids, cuttings, waste oil, fuel, discharge water, etc.
- 5. Contingency Plans for Potential Problems: Submit contingency plans for remediation of potential problems that may be encountered during the drilling operations. The contingency plans shall address the observations that would lead to the discovery of the problem and the methods that would be used to mitigate the problem. Potential problems that shall be addressed include:
 - a. Utility strike.
 - b. Loss of circulation.
 - c. Drill pipe twisted off or broken off in borehole.
 - d. Pipe collapses or pipe deformations exceed maximum allowable tolerances.
 - e. Over-excavation or creation of voids or cavities: Descriptions of methods, equipment, and materials that would be used for grouting any such areas.
 - f. Surface Spills.
- 6. Safety Plan: The Contractor shall submit a Safety Plan, including the name of the Contractor's Site Safety Representative, emergency telephone numbers for medical facilities, and precautions for handling and disposal of any hazardous or flammable materials. The Safety Plan should include a code of safe practices and an emergency plan in accordance with OSHA requirements.

- 7. Contractor Qualifications: The Contractor shall submit a description of the qualifications of the superintendent and operator as described in this specification.
- B. The following shall be submitted as construction progresses and at the completion of construction.
 - 1. Daily Logs and Records: The Contractor shall submit complete, legible, written daily logs and records as called for in Paragraph 1.4 A of this specification and as directed by the Engineer, by noon of the following day to which the records correspond.
 - 2. Variations in Plan and Profile: The Contractor shall document any variations between the actual plan and profile of the bore path and the location shown on the plans and specifications herein. The Contractor shall notify the Engineer immediately upon discovery of any deviations.
 - 3. Pressure Test Records: The Contractor shall submit all pressure test records for both the pre-installation and post-installation tests.

1.4 QUALITY ASSURANCE

- A. Daily Logs and Records: Daily logs and records of boring activities shall be maintained by the Contractor. The position of the drill head shall be tracked and a plot of actual locations of the bore path shall be maintained and updated daily, or more frequently, as directed by the Engineer.
- B. Advance Notice and Inspections: The Contractor shall provide written notice to the Engineer at least 72 hours in advance of the planned inception of major drilling activities, including pilot bore launch, reaming / pipe pullback. The Contractor shall immediately notify the Engineer, in writing, when any significant problems are encountered or if ground conditions are considered by the Contractor to be materially and significantly different from those represented within the Contract Documents. All work by the Contractor shall be performed in the presence of the Engineer, unless Engineer grants prior written approval to perform such work in Engineer's absence.
- C. Pipe: The pipe shall be certified by the Contractor as meeting all requirements of the specifications. The fabricated pipe shall be pressure-tested by the Contractor prior to pullback and after installation is completed, in accordance with new sewer main requirements specified in SECTION 502 FURNISH AND INSTALL PIPE.

1.5 DESIGN CRITERIA

- A. The drilling equipment shall be capable of advancing through the geologic conditions to be encountered at the site, as described in the Geotechnical Investigation and as anticipated by the Contractor. The directional drilling machine shall consist of a hydraulically powered system to rotate, push and pull hollow drill pipe into the ground at a variable angle while delivering a pressurized fluid mixture to a guidable drill (bore) head.
- B. The drilling fluid shall be designed for the geologic conditions to be encountered at the site, as described in the Geotechnical Investigation and as anticipated by the Contractor. Drilling fluid shall be composed of clean water, bentonite and an appropriate additive to maintain a viscosity sufficient to suspend cutting and maintain the integrity of the bore wall.
- C. The drilling system shall include a fluid pump and separation plant that can achieve the rates of drilling fluid pumping, and slurry cleaning required by the Contractor to achieve planned production rates for the soils described in the Geotechnical Investigation, and as anticipated by the Contractor. The drilling fluid reservoir tank shall be sized for adequate storage of the drilling mud.
- D. All spoil and slurry must be contained in trucks, tanks, approved recirculation pits, or other containers at all times. Dumping of spoil or slurry on the ground, discharge into sewers, or discharge into the water bodies shall not be permitted. All spoils shall be transported and disposed of off-site at an approved disposal facility that meets all State of Alaska and local requirements.
- E. Perform all work within work areas, easements or street right of way, if shown on the Plans.
- F. Surface settlement or heave of utilities and other features above the HDD centerlines and within the zone influenced by the HDD construction shall not cause damage. The Contractor shall repair any damage resulting from settlement or heave caused by HDD activities at no additional cost to the Owner. The Contractor shall grout any voids caused by or encountered during drilling (as established in this specification (paragraph 1.3.A.5).
- G. Safety: It shall be the Contractor's sole responsibility that all work is done in conformance with all applicable federal, state, and local safety requirements. Required safety equipment and procedures shall be employed by the Contractor at all times. All materials and methods of construction shall meet the applicable requirements of the Construction Safety Orders of the State of Alaska Department of Industrial Relations and Division of Occupational Safety and Health.

H. The Contractor shall allow the Engineer access to and shall furnish necessary assistance and cooperation to aid the Engineer in observations and data and sample collection, including full access to the operator controls prior to, during, and following all HDD operations. This shall include, but not be limited to, providing visual access to real-time operator gauges, and indicators.

1.6 CONTRACTOR EXPERIENCE AND QUALIFICATIONS

- A. Construction of HDD Work requires that the Contractor have demonstrated experience in constructing pipelines at similar pipe diameters and installation lengths and depths using HDD construction practices. At least two (2) years of recent experience constructing HDD projects is required.
- B. Demonstrated Experience: Submit the following information for the most recent five HDD projects completed: project name and location; owner; name of contact person; contact person's current telephone number; HDD bore diameter, depth, and length; casing/product pipe diameter and material; superintendent; HDD driller; and description of all litigation and unresolved claims in conjunction with these contracts.
- C. Superintendent: Submit the name(s) and resume(s) of the Superintendent (s) who will be assigned to the project. The proposed Superintendent (s) shall have at least two years of appropriate HDD experience. Individual resume(s) shall provide a detailed experience summary including the following: the name of each project; description of project; position held; employer; responsibilities; and a reference and phone number of all HDD construction assignments in the last two years.
- D. HDD Operator: Submit the name(s) and resume(s) of the HDD operator(s) who will be assigned to the project. The proposed HDD operator shall have at least three years of appropriate HDD experience with installation lengths of 700 feet or longer. The individual resume(s) shall provide a detailed experience summary including the following: the name of each project; project location; Owner's representative and phone number; description of project; length, depth, and diameter of the HDD installation; diameter of the casing/carrier pipe, geotechnical conditions, and machine type and manufacturer.

515.2 Materials

A. The Contractor shall provide and install HDPE pipe in accordance with SECTION 502 FURNISH AND INSTALL PIPE. A tracer wire installation will not be required for the HDPE pipe installed by horizontal directional drilling.

- 1. The pipe thickness must conform to the most conservative design with respect to design calculations for the critical combination of internal and external pressure, pullback, and bending. The HDPE pipe dimension ratio (DR) shall not be greater than eleven (11) in any case.
- B. Water: The Contractor shall secure a suitable source of water, and shall be responsible for transporting, storing, and disposing of any water required.
- C. Drilling Fluids: The Contractor shall select drilling fluid mixture proportions to ensure continuous circulation, bore stability, reduce drag on the pipe, and completely fill the annular space between the bore and the pipe to control settlement. Management and disposal of drilling fluids shall be the Contractor's responsibility. Drilling fluids shall not be disposed of on-site or discharged to sanitary or storm sewers, wetlands or creeks.

515.3 Construction

3.1 GENERAL

- A. The Contractor shall provide adequate control of surface water and drilling fluids drainage and runoff, and provide silt fences, hay bales, and wattles to prevent surface water or drilling fluids from entering adjacent storm drains or streams.
- B. The Contractor shall not initiate HDD until all submittals are received, reviewed, and accepted by the Engineer.
- C. The Contractor shall not initiate HDD until all required permits are obtained.

3.2 PROTECTION OF UNDERGROUND UTILITIES

- A. The Contractor shall request marking of buried utilities and shall individually notify all other owners of known or suspected buried utilities to request marking of these utilities. The Contractor shall confirm that all requested locates are made prior to commencing drilling operations. Contractor shall make all diligent efforts to locate any unmarked or abandoned utilities using all available information, maps, and drawings. The Contractor shall confirm and stake all existing lines, cables, or other underground facilities including crossing utilities and utilities within twenty (20) feet laterally of the designed drilled path.
- B. The Contractor shall control drilling practices to prevent damage to existing utilities.

C. The Contractor shall be responsible for all losses and repairs occasioned by damage to underground utilities resulting from drilling operations.

3.3 WORK STAGING AREA

- A. Work Staging: The Contractor shall limit staging and work operations to the work areas, easements or right of ways if shown on the plans or as otherwise accepted in writing by the Engineer, for storage of equipment and materials, parking, pipe layout, drilling, and other work.
 - 1. Entry / Exit Area: Appropriate precautions and measures shall be employed by the Contractor to prevent erosion, surface drainage, and spillage of drilling fluids or other materials that could adversely impact the environmental quality of the site. Silt fences, hay wattles, and hay bales shall be used to line the work area to minimize erosion and contain any spillages or runoff.
 - 2. Pipe Layout Area: Layout area shall be free of stones, wood, debris and obstructions. Provide rollers under the pipe where it is pulled along a paved area or roadway.
- B. Construction Impacts: The Contractor shall maintain the work area in a manner that shall minimize adverse impacts on other public use activities. The Contractor shall proceed with work in a safe, orderly manner, while maintaining the work site free of debris and unnecessary equipment and materials.
- C. Control of Drilling Fluids: The Contractor shall control operational pressures, drilling mud weights, drilling speeds, and any other operational factors required to avoid hydrofracture fluid losses into the formation, and control drilling fluid spillage. This includes any spillages, inadvertent fluid, or slurry returns at entry and exit locations or at any intermediate point. All inadvertent returns or spills shall be promptly contained and cleaned up. The Contractor shall maintain onsite mobile spill containment and removal equipment during all drilling, prereaming, reaming, and pullback operations and shall be capable of quickly containing and removing spills. The Contractor shall immediately notify Engineer of any inadvertent returns or spills and immediately clean up the inadvertent return or spill.
- D. Combustible Materials: Combustible materials (fuel, oil, lubricants, etc.) shall be stored off-site or in a well-ventilated storage facility removed from the immediate vicinity of the drilling area by at least twenty (20) feet.

E. Removal of Temporary Facilities: At the completion of construction, the Contractor shall remove all temporary facilities installed by the Contractor. Unused soil, aggregate, and other materials shall be removed and disposed of at approved sites in accordance with all Federal, State, and Local regulations. Any damage to streets, lawns, common areas, and sidewalks shall be restored to original or better conditions. All disturbed areas shall be restored to their preconstruction condition.

3.4 HORIZONTAL DIRECTIONAL DRILLING

- A. Drill Rig Capacity: The capacity of the directional drilling rig used by the Contractor shall be adequate to install the specified pipeline.
- B. Pump Capacity: The pump used by the Contractor shall be adequate to supply the required flow rate and pressures at the anticipated drilling fluid viscosity at all times. Drilling speeds shall not exceed pump capacity.
- C. Bore Tracking and Monitoring: At all times during the pilot bore the Contractor shall provide and maintain a bore tracking system that is capable of accurately locating the position of the drill head in the x, y, and z axes. The Contractor shall record these data at least once per drill pipe length or every twenty (20) feet or thirty (30) minutes, whichever is more frequent.
 - 1. Deviations between the recorded and design bore path shall be calculated and reported on the daily report. If the deviations exceed tolerances specified in Paragraph 3.4.F.1, such occurrences shall be reported immediately to the Engineer. The Contractor shall undertake all necessary measures to correct deviations and return to design line and grade.
 - 2. Drilling Fluid Pressures and Flow Rates: Drilling fluid pressures and flow rates shall be continuously monitored and recorded by the Contractor. The pressures shall be monitored at the pump. These measurements shall be made during pilot bore drilling, reaming, and pullback operations.

 Maximum allowable drilling speeds shall be calculated for pilot boring and each reaming pass and shall not be exceeded for pilot boring or reaming passes. Measurements shall be taken every twenty (20) feet or thirty (30) minutes, whichever is more frequent.
- D. The Contractor shall employ licensed, experienced surveyors to locate the entry and exit points, and to establish horizontal and vertical datum for the bore and the pipe layout and fabrication areas.

- E. Pilot Bore: The pilot bore shall follow the design path of the bore shown on the Plans.
 - 1. Horizontal and Vertical Tolerances: Horizontal and vertical deviations shall be less than plus or minus one and one-half (1.5) feet from the design path centerline.
 - 2. Radius of Curvature: The radius of curvature shall not be less than that shown on the Plans.
 - 3. The location of the entry and exit points will be determined during construction and prior to beginning drilling. The Contractor shall be solely responsible for all work necessary to correct excessive deviations from line and grade, including re-drilling, redesigning connections, and acquiring additional easement, at no additional cost to the Owner and without schedule extension.

F. Pipe Pullback:

- 1. The pipe shall be installed by pulling it into the reamed bore path in a continuous operation, behind a final reaming tool selected by the Contractor.
- 2. The pipe shall be isolated from excessive torsional and axial stresses by a swivel device with a pre-established breakaway tensile capacity that is lower than the allowable tensile strength of the pipe.
- 3. The Contractor shall cease operations if the pipe is damaged and shall remove the pipe from the bore and repair the pipe using the manufacturer's recommended procedure or replace the damaged pipe before resuming installation.
- 4. Damage to the pipe resulting from installation is the responsibility of the Contractor, including costs for replacement and labor and materials. To confirm no damage to the pipe, upon completion of pull back and grouting, the Contractor shall perform the following test on the completed pipeline:
 - a. A sphere or pig or which is capable of allowing water to pass through it, complete with a pulling cable on either side of sphere or pig, shall be pulled through the entire length of the pipeline. If the sphere or pig cannot pass through the pipe, it shall be considered collapsed and damaged. The sphere or pig shall have an outside

diameter that is no less than 90 percent of the inside diameter of the new pipeline.

- 6. A tracer wire will not be required for the HDPE pipe installed by horizontal directional drilling.
- G. Obstructions: The Contractor shall notify the Engineer immediately in the event that any obstruction is encountered that prevents further advancement of the drill pipe, or pullback of the pre-reamer, reamer, and/or pipe. The Contractor shall make all diligent and reasonable efforts to advance past the object by drilling slowly through the object, pulling back, and drilling along a new bore path that avoids the object, or excavating and exposing and removing the object, and all other reasonable attempts to continue the bore. The Contractor shall notify the Engineer of proposed measures to attempt to advance past the object, prior to initiating the attempt. If the Contractor attempts to pullback and re-drill, the Contractor shall adhere to established line and grade tolerances, unless the Engineer approves variance, in writing, prior to the Contractor's attempt to redrill. The Contractor and Engineer shall investigate the cause and together determine an appropriate response. Appropriate response may include revisions to equipment or methods, retraction and re-drilling of a portion of the bore, or abandonment of the hole. If abandonment is deemed necessary, the Contractor shall recover, to the extent practicable, any drill pipe, product pipe, and tools in the bore, and properly abandon the bore, unless otherwise directed in writing by the Engineer. If the bore is abandoned, the Contractor shall pressure-grout the abandoned bore with a lean cement-sand grout mixture, or other approved materials. If the bore is abandoned, the Contractor shall be allowed to begin a second attempt to install the pipeline at an alternate location subject to approval, in writing, by the Engineer. The Contractor shall take all reasonable actions to complete the installation with minimal delays.
- H. Site Restoration and Demobilization: The Contractor shall remove all equipment, materials, drilling fluids, muck, waste, and debris from the site and restore the site to its original condition upon completion of the installation. Restoration and demobilization shall be completed by the Contractor within seven (7) days of the completion of the pipeline installation.
 - 1. The Engineer and/or the Owner will be responsible for obtaining easements or approvals to perform the HDD work on private property if these approvals are necessary to complete the HDD work.

515.4 Method of Measurement

Work to complete sewer pipe horizontal directional drilling described in this Section shall be measured by lump sum.

515.5 Basis of Payment

Payment for sewer pipe horizontal directional drilling shall include all Work described in this Section and shall consist of all labor, materials and equipment required to construct and install the horizontal directional drilling work as shown on the drawings and described herein.

Payment shall be made under the following units:

ITEM	DESCRIPTION	UNIT
515	Sewer Pipe Horizontal Directional Drilling	Lump Sum

DIVISION 700 MISCELLANEOUS CONSTRUCTION

SECTION 708 MATERIALS 708.2

Add the following:

Homer Type III Seed mix shall include the following:

<u>Type</u>	<u>Variety</u>	Percent of Mix (by weight)
	•	Type III
Bering Hairgrass	Norcoast	20%
Fescue	Arctared red	30%
Wheatgrass	Wainwright Slender	25%
Bluejoint	Sourdough Bluejoint Reedgra	ass 25%

Application rates shall be at a minimum of two pounds per 1000 square feet or as directed by the Engineer.

Section 710 Topsoil 710.1 General

Add the following:

Work under this section shall also include spreading stockpiled organics over areas as designated on plans.

710.3 Construction

Add the following:

Spread stockpiled material evenly over areas shown on plans to receive stockpiled material. Depth may vary depending on the amount of organics present on the site. At a minimum spread organics to 4-inches thick amending with imported topsoil as required to achieve 4-inch depth of cover.

710.4 Method of Measurement

Add the following:

Stockpiled material shall be measured and paid for as per 1000 square foot units spread over the area shown at a consistent depth.

710.5 Basis of Payment

Add the following:

ITEM DESCRIPTION UNIT 710A Spread stockpiled organics 1000 Sq. Ft.

VIII. Special Provisions

SPECIAL PROVISIONS

Kachemak Drive Water and Sewer Improvements (Phase III)

The construction contract for this project will be administered in accordance with the General Provisions of the City's Standard Construction Specifications (2011).

The Contractor shall not discriminate on the basis of race, color, national origin or sex in the performance of this contract. The Contractor shall carry out applicable requirements of 40 CFR Part 33.

AMERICAN IRON AND STEEL

Iron and steel products used in this project are required to be produced in the United States.

AMERICANS WITH DISABILIES ACT

The Contractor shall comply with Title I – Employment of the American with Disabilities Act of 1990 (P.L. 101-336) and in accordance with that Act, shall not discriminate against a qualified individual with a disability because of the disability of such individual in regard to job application procedures, the hiring, advancement, or discharge of employees, employee compensation, job training and other terms, conditions, and privileges of employment.

DESCRIPTION OF WORK

The Work included under this Contract consists of furnishing all labor, materials, equipment, supervision, and other facilities necessary to successfully complete the Work set forth in the drawings, specifications, and the terms of the Contract.

The Work that is presented in the Bid Proposal for this Contract consists of the following major elements of work:

- Construction of 12" diameter HDPE water main; including valves, services and hydrants. The main can be trenched or bored. If trenched, store surface organics excavated on one side of the trench and underlying silty soils on the other. Backfill first with silty native soils and finish backfill operations using excavated surface organics. All long services (across Kachemak Drive) shall be bored; short services shall be trenched. In areas designated as wetlands, place excavated material on fabric.
- Construction of 2" and 3" diameter low pressure sewer main; including valves, cleanouts, air release valve, and sewer services. The main shall be bored. The long services (across Kachemak Drive) shall be bored; short services shall be trenched. Any trench excavation and backfill shall be accomplished as described above.
- Associated work includes, but may not be limited to:
 - Dewatering (for water main trenches, pump water to adjacent wetlands)
 - Temporary erosion control

- Project SWPPP
- Project Health and Safety Plan
- o Traffic Control and maintenance
- Reseeding
- o Restore existing driveways, including new CMP (if required)

BASIS OF MEASUREMENT AND PAYMENT

This section describes the work and covers the basis for measurement and payment for all Work. Work performed and the materials and equipment installed shall be in accordance with the Contract Documents.

The Contract price paid for each Bid Item shall constitute full compensation for furnishing all material, equipment and supplies; and performing all labor and operations for completion of Work as specified in the Contract Documents.

Construction required to complete the Work as specified in these Contract Documents, but not specifically mentioned in this Section, shall be considered incidental to those Bid Items for which payment is made.

The existing ground elevations and topographic features indicated have been provided by the Owner. The Contractor shall satisfy itself as to the current existing site conditions prior to disturbing the original ground.

No separate payment shall be made for work in the Contract Drawings that is not specifically enumerated in the pay items listed in this section. All work not specified in these pay items shall be considered incidental to other items of work.

BID ITEMS

Water Main Improvements

Bid Item 1 – Mobilization/Demobilization

Measurement will be made on the basis of percent complete, as defined in the City of Homer Standard Construction Specifications (HSCS), Section 101. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 2 – Construction Survey

Measurement will be made on the basis of percent complete, as defined in the City of Homer Standard Construction Specifications (HSCS), Section 102. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 3 – Traffic Maintenance

Measurement will be made on the basis of percent complete, as defined in the City of Homer Standard Construction Specifications (HSCS), Section 103. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 4 - Clearing and Grubbing

Measurement will be made on the basis of percent complete, as defined in the City of Homer Standard Construction Specifications (HSCS), Section 202. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 5 – Trench Excavation/Native Backfill

Measurement for payment will be on the basis of horizontal distance of trench centerline, and shall include all labor, equipment, materials, supervision and other facilities necessary for the work. The payment shall include all excavation, trench support, dewatering, stockpiling of excavated material adjacent to the trench, backfill of excavated material, compaction, final grading, and any other associated work necessary to complete trench excavation and backfill as shown on the Drawings and as directed by the Engineer.

Bid Item 6 - Disposal of Unsuitable Material

Measurement for payment of disposal of unsuitable material, as directed by the Engineer, shall be on the basis of truck count cubic yards. Measurement and payment shall be full payment for hauling material off-site, to a Contractor provided, City approved uplands disposal site.

Bid Item 7 – Imported Fill (Type II)

Measurement for payment for imported backfill, as directed by the Engineer, shall be on the basis of truck count cubic yards, in place, compacted and graded. Refer to HSCS Section 205 for specifications and requirements for Classified Fill and Backfill. Measurement and payment shall be full payment for providing and installing Type II Imported Fill and shall be based on the cubic yard (CY) installed, compacted, graded, and in place.

Bid Item 8 – Imported Fill (Type III)

Measurement for payment for imported backfill, as directed by the Engineer, shall be on the basis of truck count cubic yards, in place, compacted and graded. Refer to HSCS Section 205 for specifications and requirements for Classified Fill and Backfill. Measurement and payment shall be full payment for providing and installing Type III Imported Fill and shall be based on the cubic yard (CY) installed, compacted, graded, and in place.

Bid Item 9 - Class B Bedding

Measurement for payment for furnishing and installing Class B bedding, as directed by the Engineer, shall be on the basis of truck count cubic yards, in place, compacted and graded. Refer to HSCS Section 211 for specifications and requirements for bedding material. Measurement and payment shall be full payment for providing and installing Type III Imported Fill and shall be based on the cubic yard (CY) installed, compacted, graded, and in place.

Bid Item 10 – Prepare and Implement SWPPP

Measurement will be made on the basis of percent complete. Scope of work is described in these Special Conditions, Article 6.10 – Air and Water Pollution Laws. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 11 – Furnish and Install Water Main (12-inch, HDPE, SDR 11)

Measurement for payment will be on the basis of horizontal distance of piping installed, and shall include all labor, equipment, materials, supervision and other facilities necessary for the work. The payment shall include all pipe and fittings, tracer wire, marking tape, flushing, disinfection, testing, and any other associated work to construct a complete and operable system as shown on the Drawings and as directed by the Engineer.

Bid Item 12 - Furnish and Install 12" Gate Valve, Valve Box and Marker

Measurement shall be the actual number of valves installed. Payment shall include full payment for all work described in HSCS, Section 603 as shown on the Drawings and as directed by the Engineer.

Bid Item 13 – Furnish and Install Fire Hydrant Assembly (10' Bury)

Measurement shall be the actual number of hydrants installed. Payment shall include full payment for all work described in HSCS, Section 604 as shown on the Drawings and as directed by the Engineer.

Bid Item 14 – Furnish and Install Pile Supported Fire Hydrant Assembly (10' Bury)

Measurement shall be the actual number of hydrants installed. Payment shall include full payment for all work described in HSCS, Section 604 as shown on the Drawings and as directed by the Engineer.

Bid Item 15 – Furnish and Install 1-inch Short Side Water Service

Measurement shall be the actual number of water services installed. Payment shall include full payment for all work described in HSCS, Section 606 as shown on the Drawings and as directed by the Engineer.

Bid Item 16 – Furnish and Install 1-inch Long Side Water Service

Measurement shall be the actual number of water services installed. Payment shall include full payment for all work described in HSCS, Section 606 as shown on the Drawings and as directed by the Engineer.

Bid Item 17 - Furnish and Install 4" thick Insulation Board

Measurement and payment will be made as defined in the City of Homer Standard Construction Specifications (HSCS), Section 704 for insulation incorporated into the project. Payment will be full compensation for all materials, labor, equipment, and incidentals necessary to install insulation over/under water mains as shown on the Drawings and as directed by the Engineer.

Bid Item 18 – Seeding (Type 1)

Measurement will be made as defined in the City of Homer Standard Construction Specifications (HSCS), Section 202. Payment per 1000 SF will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and as directed by the Engineer.

Sewer Main Improvements

Bid Item 1 – Mobilization/Demobilization

Measurement will be made on the basis of percent complete, as defined in the City of Homer Standard Construction Specifications (HSCS), Section 101. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 2 – Construction Survey

Measurement will be made on the basis of percent complete, as defined in the City of Homer Standard Construction Specifications (HSCS), Section 102. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 3 – Traffic Maintenance

Measurement will be made on the basis of percent complete, as defined in the City of Homer Standard Construction Specifications (HSCS), Section 103. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 4 - Clearing and Grubbing

Measurement will be made on the basis of percent complete, as defined in the City of Homer Standard Construction Specifications (HSCS), Section 202. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 5 - Trench Excavation/Native Backfill

Measurement for payment will be on the basis of horizontal distance of trench centerline (for sewer main boring pits, sewer main hole tie in, and other required excavations required to connect sections of bored pipe), and shall include all labor, equipment, materials, supervision and other facilities necessary for the work. Excavation for services is incidental to the service

bid item. The payment shall include all excavation, trench support, dewatering, stockpiling of excavated material adjacent to the trench, backfill of excavated material, compaction, final grading, and any other associated work necessary to complete main trench excavation and backfill as shown on the Drawings and as directed by the Engineer.

Bid Item 6 - Disposal of Unsuitable Material

Measurement for payment of disposal of unsuitable material, as directed by the Engineer, shall be on the basis of truck count cubic yards. Measurement and payment shall be full payment for hauling material off-site, to a Contractor provided, City approved uplands disposal site.

Bid Item 7 – Imported Fill (Type II)

Measurement for payment for imported backfill, as directed by the Engineer, shall be on the basis of truck count cubic yards, in place, compacted and graded. Refer to HSCS Section 205 for specifications and requirements for Classified Fill and Backfill. Measurement and payment shall be full payment for providing and installing Type II Imported Fill and shall be based on the cubic yard (CY) installed, compacted, graded, and in place.

Bid Item 8 – Imported Fill (Type III)

Measurement for payment for imported backfill, as directed by the Engineer, shall be on the basis of truck count cubic yards, in place, compacted and graded. Refer to HSCS Section 205 for specifications and requirements for Classified Fill and Backfill. Measurement and payment shall be full payment for providing and installing Type III Imported Fill and shall be based on the cubic yard (CY) installed, compacted, graded, and in place.

Bid Item 9 - Class B Bedding

Measurement for payment for furnishing and installing Class B bedding, as directed by the Engineer, shall be on the basis of truck count cubic yards, in place, compacted and graded. Refer to HSCS Section 211 for specifications and requirements for bedding material. Measurement and payment shall be full payment for providing and installing Type III Imported Fill and shall be based on the cubic yard (CY) installed, compacted, graded, and in place.

Bid Item 10 – Prepare and Implement SWPPP

Measurement will be made on the basis of percent complete. Scope of work is described in these Special Conditions, Article 6.10 – Air and Water Pollution Laws. Payment will be based on the Lump Sum (LS) price stated in the Bid Proposal. This payment will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and all other Contract Documents.

Bid Item 11 – Furnish and Install Air Release Valve

Measurement shall be the actual number of valves installed. Payment shall include full payment for all work described as shown on the Drawings (including manhole, connection to main, piping, air release valve, pipe and valve supports, excavation and backfill), and as directed by the Engineer.

Bid Item 12 – Furnish and Install Sewer Main (2-inch, HDPE, SDR 11)

Measurement for payment will be on the basis of horizontal distance of piping installed, and shall include all labor, equipment, materials, supervision and other facilities necessary for the work. The payment shall include all pipe and fittings, tracer wire, marking tape, flushing, disinfection, testing, and any other associated work to construct a complete and operable system as shown on the Drawings and as directed by the Engineer.

Bid Item 13 – Furnish and Install Sewer Main (3-inch, HDPE, SDR 11)

Measurement for payment will be on the basis of horizontal distance of piping installed, and shall include all labor, equipment, materials, supervision and other facilities necessary for the work. The payment shall include all pipe and fittings, tracer wire, marking tape, flushing, disinfection, testing, and any other associated work to construct a complete and operable system as shown on the Drawings and as directed by the Engineer.

Bid Item 14 – Furnish and Install 2" Sewer Cleanout

Measurement shall be the actual number of valves installed. Payment shall include full payment for all work as shown on the Drawings and as directed by the Engineer.

Bid Item 15 – Furnish and Install 3" Sewer Cleanout

Measurement shall be the actual number of valves installed. Payment shall include full payment for all work as shown on the Drawings and as directed by the Engineer.

Bid Item 16 – Furnish and Install 2" Sewer Valve

Measurement shall be the actual number of valves installed. Payment shall include full payment for all work as shown on the Drawings and as directed by the Engineer.

Bid Item 17 – Furnish and Install 3" Sewer Valve

Measurement shall be the actual number of valves installed. Payment shall include full payment for all work as shown on the Drawings and as directed by the Engineer.

Bid Item 18 – Furnish and Install Terminal Flushing Connection

Measurement shall be the actual number of connections installed. Payment shall include full payment for all work as shown on the Drawings and as directed by the Engineer.

Bid Item 19 – Furnish and Install 1-1/4" inch Short Side Sewer Service

Measurement shall be the actual number of sewer services installed. Payment shall include full payment for all work as shown on the Drawings and as directed by the Engineer.

Bid Item 20 – Furnish and Install 1-1/4" inch Long Side Sewer Service

Measurement shall be the actual number of sewer services installed. Payment shall include full payment for all work as shown on the Drawings and as directed by the Engineer.

Bid Item 21 – Seeding (Type 1)

Measurement will be made as defined in the City of Homer Standard Construction Specifications (HSCS), Section 202. Payment per 1000 SF will be full compensation for all materials, labor, equipment, and incidentals necessary to perform the work in conformance with the Drawings and as directed by the Engineer.

QUALITY CONTROL

The City shall be responsible for project quality control testing for compaction of trenches, including scheduling and performing all tests, hiring qualified testing personnel, retaining approved certified testing laboratories, and payment of all costs associated with the project

CONSTRUCTION SURVEYING, AS-BUILT SURVEY AND RECORD DRAWINGS

Contractor shall provide all necessary surveying and layout to perform the work in the Contract Documents.

Contractor shall perform an as-built survey and prepare Record Drawings of the completed construction project. Refer to Homer Standard Construction Specifications Article 5.9, Construction Surveying, for additional surveying and Record Drawing requirements.

The cost of preparing the as-built survey shall be incidental to the Construction Survey bid item. The as-built survey shall include, but not be limited to, the following:

Re-establish any survey monuments or permanent control points damaged or lost during construction; identifying the northing, easting, and elevations of all piping and appurtenances, valves, and final grades of the constructed facilities. All corners of the top of the new PRV vault shall be surveyed and tied to project horizontal and vertical datum. Improvements shall be located by lines and grade, using the established "basis of control" provided in the Contract Documents. The Drawings shall be prepared and stamped by a registered land surveyor (RLS) currently licensed by the State of Alaska.

The Record Drawings shall include, but not be limited to, the following:

Lines, grades, and details of all improvements, and the interface with the existing water system. Coordinates for all underground features such as piping and valves. The Record Drawings shall consist of a full size blue-line marked up in red reflecting any and all changes made from the original contract plan. Red-lined Drawings shall be submitted to the Engineer for review. The Record Drawings will not be considered complete until the Engineer issues final approval in writing.

WETLAND PERMITS

All water main work (and any water or sewer service construction) in wetland areas must be completed in accordance with the Corps of Engineer's permit (POA-2005-906), a copy of which is included in Section VIII - Appendix. Wetland areas are shown on the drawings.

CLEARING AND GRUBBING

City permits are not required to burn debris from clearing and grubbing that is less than 4 inches in diameter and is burned in no greater than a 10' x 10' x 10' pile. Larger debris or larger piles must be approved by the Fire Chief. Approvals normally require a site inspection and an evaluation of fire danger, availability of water, weather conditions, clearances to adjacent flammable areas, etc.

MODIFICATIONS TO GENERAL PROVISIONS

Article 5.25 - Unusual Work Hours

The noise level from work completed before 8:00 AM and after 8:00 PM cannot exceed 75 db at a distance of 50 feet.

Article 5.9 – Construction Survey by Contractor

Add the following paragraph: "The contractor shall submit all survey control data with each pay application; field Books with sketches and electronic survey coordinates. Record drawings shall be submitted to the Owner within 20 days of the completion of the work.

The Contractor's surveyor shall make a conscious attempt to locate all property corners and monuments along the route of work, and shall reference those corners that may be disturbed due to this work. At the completion of the project, the Contractor shall restore all disturbed property corners and monuments at no additional cost to the Owner. This work shall be performed by a land surveyor registered in the State of Alaska or under his immediate direction.

Article 6.7 - Traffic

If the contractor interrupts access to properties adjacent to the work, 48 hours prior notice shall be given to the property owners and the City of Homer. Contactor shall notify property owners, with door hangers, at least 24 hrs prior to driveway access denial. The contractor is responsible for printing and posting such notices with time and date.

Article 6.10 – Air and Water Pollution Laws

The Contractor is responsible for preparing a Storm Water Erosion Control Plan (SWPPP) and protecting the waters of the United States as required by the Clean Water Act. The Contractor shall be responsible, *under the lump sum bid*, for completing work as described below:

- 1) Obtain NOI and prepare a SWPPP. Obtain approval by the Owner.
- 2) Deliver three copies to Owner for approval and have at least one approved copy available on-site.
- 3) Maintain the SWPPP of Record
- 4) Provide required site signage/postings.

- 5) Implement the SWPPP, including weekly inspections and site documentation. Keep copy of all records on-site.
- 6) Construct a silt fence or other approved sediment control improvement immediately adjacent to the work as determined by the approved SWPPP plan.
- 7) Implement nominal/basic erosion control measures identified in the SWPPP and basic BMP's.
- 8) Any other normal requirements of the SWPPP, including but not limited to securing Owner signatures on weekly SWPPP inspection reports, submitting copies of the reports and other basic regulatory obligations.

The City will partner with the Contractor to determine means and methods employed to protect surface and ground water and manage risk. The City and the Contractor are jointly responsible for permitting and permit compliance within the work area. The SWPPP shall encourage the installation of final erosion control measures as soon as possible. Subcontractors must certify that they have read and will abide by the conditions of the project SWPPP.

The City and the Contractor will monitor the site and determine if any special additional work is required beyond the basic work identified in the SWPPP. Any work items above and beyond that listed above will be paid for on a *time and material basis* if additional control measures are deemed necessary by the City.

The City has filed a Notice of Intent (NOI) with the Alaska Department of Conservation (See Appendix for application and Permit Issuance). The Contractor shall also file a NOI using the project Name and Number contained within the Owner's permit. At end of project, Contractor shall file a Notice of Termination (NOT).

The SWPPP shall be prepared by a Certified Professional in Erosion and Sedimentation Control (CPESC); an individual with a current AK-CESCL certification and at least three relevant years of experience; or a Professional Engineer registered in Alaska with current certification as AK-CESCL

The SWPPP plan will document that the project is in conformance with applicable Clean Water Act provisions and that work conforms to all project environmental permits conditions.

Contractor is responsible for revising SWPP during construction if necessary. The Contractor will act as the Operator on the Construction site as it relates to completing SWPPP work activities. The Contractor shall track success and failures of BMP implementation in inspection reports.

Article 6.13 - Utilities

The Contractor shall protect all overhead and underground utilities as provided for in Article 6.13 of CHSCS 2011.

The City will be responsible for all costs associated with the relocation of utilities or the support of poles if required.

CONTRACTOR'S REQUEST FOR PAYMENT

CONTRACTOR'S REOUEST FOR PAYMENT

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IX. APPENDICES

Davis-Bacon Requirements

Wage Rate Requirements Under The Consolidated and Further Continuing Appropriations Act, 2013 (P.L. 113-6)

Preamble

With respect to the Clean Water and Safe Drinking Water State Revolving Funds, EPA provides capitalization grants to each State which in turn provides subgrants or loans to eligible entities within the State. Typically, the subrecipients are municipal or other local governmental entities that manage the funds. For these types of recipients, the provisions set forth under Roman Numeral I, below, shall apply. Although EPA and the State remain responsible for ensuring subrecipients' compliance with the wage rate requirements set forth herein, those subrecipients shall have the primary responsibility to maintain payroll records as described in Section 3(ii)(A), below and for compliance as described in Section I-5.

Occasionally, the subrecipient may be a private for profit or not for profit entity. For these types of recipients, the provisions set forth in Roman Numeral II, below, shall apply. Although EPA and the State remain responsible for ensuring subrecipients' compliance with the wage rate requirements set forth herein, those subrecipients shall have the primary responsibility to maintain payroll records as described in Section II-3(ii)(A), below and for compliance as described in Section II-5.

I. Requirements Under The Consolidated and Further Continuing Appropriations Act, 2013 (P.L. 113-6) For Subrecipients That Are Governmental Entities:

The following terms and conditions specify how recipients will assist EPA in meeting its Davis-Bacon (DB) responsibilities when DB applies to EPA awards of financial assistance under the FY 2013 Continuing Resolution with respect to State recipients and subrecipients that are governmental entities. If a subrecipient has questions regarding when DB applies, obtaining the correct DB wage determinations, DB provisions, or compliance monitoring, it may contact the State recipient. If a State recipient needs guidance, the recipient may contact Lorraine Fleury at fleury.lorraine@epa.gov or at 215-814-2341 of EPA, Region III Grants and Audit Management Branch for guidance. The recipient or subrecipient may also obtain additional guidance from DOL's web site at http://www.dol.gov/whd/

Applicability of the Davis- Bacon (DB) prevailing wage requirements.

Under the FY 2013 Continuing Resolution, DB prevailing wage requirements apply to the construction, alteration, and repair of treatment works carried out in whole or in part with assistance made available by a State water pollution control revolving fund and to any construction project carried out in whole or in part by assistance made available by a drinking water treatment revolving loan fund. If a subrecipient encounters a unique situation at a site that presents uncertainties regarding DB applicability, the subrecipient must discuss the situation with the recipient State before authorizing work on that site.

- 2. Obtaining Wage Determinations.
- (a) Subrecipients shall obtain the wage determination for the locality in which a covered activity subject to DB will take place prior to issuing requests for bids, proposals, quotes or other methods for soliciting contracts (solicitation) for activities subject to DB. These wage determinations shall be incorporated into solicitations and any subsequent contracts. Prime contracts must contain a provision requiring that subcontractors follow the wage determination incorporated into the prime contract.

- (i) While the solicitation remains open, the subrecipient shall monitor www.wdol.gov weekly to ensure that the wage determination contained in the solicitation remains current. The subrecipients shall amend the solicitation if DOL issues a modification more than 10 days prior to the closing date (i.e. bid opening) for the solicitation. If DOL modifies or supersedes the applicable wage determination less than 10 days prior to the closing date, the subrecipients may request a finding from the State recipient that there is not a reasonable time to notify interested contractors of the modification of the wage determination. The State recipient will provide a report of its findings to the subrecipient.
- (ii) If the subrecipient does not award the contract within 90 days of the closure of the solicitation, any modifications or supersedes DOL makes to the wage determination contained in the solicitation shall be effective unless the State recipient, at the request of the subrecipient, obtains an extension of the 90 day period from DOL pursuant to 29 CFR 1.6(c)(3)(iv). The subrecipient shall monitor www.wdol.gov on a weekly basis if it does not award the contract within 90 days of closure of the solicitation to ensure that wage determinations contained in the solicitation remain current.
- (b) If the subrecipient carries out activity subject to DB by issuing a task order, work assignment or similar instrument to an existing contractor (ordering instrument) rather than by publishing a solicitation, the subrecipient shall insert the appropriate DOL wage determination from www.wdol.gov into the ordering instrument.
- (c) Subrecipients shall review all subcontracts subject to DB entered into by prime contractors to verify that the prime contractor has required its subcontractors to include the applicable wage determinations.
- (d) As provided in 29 CFR 1.6(f), DOL may issue a revised wage determination applicable to a subrecipient's contract after the award of a contract or the issuance of an ordering instrument if DOL determines that the subrecipient has failed to incorporate a wage determination or has used a wage determination that clearly does not apply to the contract or ordering instrument. If this occurs, the subrecipient shall either terminate the contract or ordering instrument and issue a revised solicitation or ordering instrument or incorporate DOL's wage determination retroactive to the beginning of the contract or ordering instrument by change order. The subrecipient's contractor must be compensated for any increases in wages resulting from the use of DOL's revised wage determination.

3. Contract and Subcontract provisions.

- (a) The Recipient shall insure that the subrecipient(s) shall insert in full in any contract in excess of \$2,000 which is entered into for the actual construction, alteration and/or repair, including painting and decorating, of a treatment work under the CWSRF or a construction project under the DWSRF financed in whole or in part from Federal funds or in accordance with guarantees of a Federal agency or financed from funds obtained by pledge of any contract of a Federal agency to make a loan, grant or annual contribution (except where a different meaning is expressly indicated), and which is subject to the labor standards provisions of any of the acts listed in § 5.1 or the FY 2013 Continuing Resolution, the following clauses:
- Minimum wages.

(i) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (a)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in § 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph (a)(1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

Subrecipients may obtain wage determinations from the U.S. Department of Labor's web site, www.dol.gov.

- (ii)(A) The subrecipient(s), on behalf of EPA, shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The State award official shall approve a request for an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the subrecipient(s) agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), documentation of the action taken and the request, including the local wage determination shall be sent by the subrecipient (s) to the State award official. The State award official will transmit the request, to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210 and to the EPA DB Regional Coordinator concurrently. The Administrator, or an authorized representative,

will approve, modify, or disapprove every additional classification request within 30 days of receipt and so advise the State award official or will notify the State award official within the 30-day period that additional time is necessary.

- (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the subrecipient(s) do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the award official shall refer the request and the local wage determination, including the views of all interested parties and the recommendation of the State award official, to the Administrator for determination. The request shall be sent to the EPA DB Regional Coordinator concurrently. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt of the request and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(ii)(B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- (2) Withholding. The subrecipient(s), shall upon written request of the EPA Award Official or an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the (Agency) may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.
- (3) Payrolls and basic records.
- (i) Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each

such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

- (ii)(A) The contractor shall submit weekly, for each week in which any contract work is performed, a copy of all payrolls to the subrecipient, that is, the entity that receives the sub-grant or loan from the State capitalization grant recipient. Such documentation shall be available on request of the State recipient or EPA. As to each payroll copy received, the subrecipient shall provide written confirmation in a form satisfactory to the State indicating whether or not the project is in compliance with the requirements of 29 CFR 5.5(a)(1) based on the most recent payroll copies for the specified week. The payrolls shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on the weekly payrolls. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at http://www.dol.gov/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the subrecipient(s) for transmission to the State or EPA if requested by EPA, the State, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the subrecipient(s).
- (B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (1) That the payroll for the payroll period contains the information required to be provided under § 5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under § 5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
- (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

- (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.
- (D) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- (iii) The contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the State, EPA or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency or State may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(4) Apprentices and trainees--

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the

applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (iii) Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.
- (5) Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
- (6) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the EPA determines may by appropriate, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- (7) Contract termination; debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- (8) Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

- (9) Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and Subrecipient(s), State, EPA, the U.S. Department of Labor, or the employees or their representatives.
- (10) Certification of eligibility.
- (i) By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

4. Contract Provision for Contracts in Excess of \$100,000.

- (a) Contract Work Hours and Safety Standards Act. The subrecipient shall insert the following clauses set forth in paragraphs (a)(1), (2), (3), and (4) of this section in full in any contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by Item 3, above or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.
- (1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- (2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (a)(1) of this section the contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (a)(1) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (a)(1) of this section.
- (3) Withholding for unpaid wages and liquidated damages. The subrecipient, upon written request of the EPA Award Official or an authorized representative of the Department of Labor, shall withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or

any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (b)(2) of this section.

- (4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (a)(1) through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (a)(1) through (4) of this section.
- (b) In addition to the clauses contained in Item 3, above, in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in 29 CFR 5.1, the Subrecipient shall insert a clause requiring that the contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Subrecipient shall insert in any such contract a clause providing hat the records to be maintained under this paragraph shall be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the (write the name of agency) and the Department of Labor, and the contractor or subcontractor will permit such representatives to interview employees during working hours on the job.

5. Compliance Verification

- (a) The subrecipient shall periodically interview a sufficient number of employees entitled to DB prevailing wages (covered employees) to verify that contractors or subcontractors are paying the appropriate wage rates. As provided in 29 CFR 5.6(a)(6), all interviews must be conducted in confidence. The subrecipient must use Standard Form 1445 (SF 1445) or equivalent documentation to memorialize the interviews. Copies of the SF 1445 are available from EPA on request.
- (b) The subrecipient shall establish and follow an interview schedule based on its assessment of the risks of noncompliance with DB posed by contractors or subcontractors and the duration of the contract or subcontract. Subrecipients must conduct more frequent interviews if the initial interviews or other information indicated that there is a risk that the contractor or subcontractor is not complying with DB. Subrecipients shall immediately conduct interviews in response to an alleged violation of the prevailing wage requirements. All interviews shall be conducted in confidence."
- (c) The subrecipient shall periodically conduct spot checks of a representative sample of weekly payroll data to verify that contractors or subcontractors are paying the appropriate wage rates. The subrecipient shall establish and follow a spot check schedule based on its assessment of the risks of noncompliance with DB posed by contractors or subcontractors and the duration of the contract or subcontract. At a minimum, if practicable, the subrecipient should spot check payroll data within two weeks of each contractor or subcontractor's submission of its initial payroll data and two weeks prior to the completion date the contract or subcontract. Subrecipients must conduct more frequent spot checks if the initial spot check or other information indicates that there is a risk that the contractor or

subcontractor is not complying with DB. In addition, during the examinations the subrecipient shall verify evidence of fringe benefit plans and payments thereunder by contractors and subcontractors who claim credit for fringe benefit contributions.

- (d) The subrecipient shall periodically review contractors and subcontractors use of apprentices and trainees to verify registration and certification with respect to apprenticeship and training programs approved by either the U.S Department of Labor or a state, as appropriate, and that contractors and subcontractors are not using disproportionate numbers of, laborers, trainees and apprentices. These reviews shall be conducted in accordance with the schedules for spot checks and interviews described in Item 5(b) and (c) above.
- (e) Subrecipients must immediately report potential violations of the DB prevailing wage requirements to the EPA DB contact listed above and to the appropriate DOL Wage and Hour District Office listed at http://www.dol.gov/contacts/whd/america2.htm.

II. Requirements Under The Consolidated and Further Continuing Appropriations Act, 2013 (P.L. 113-6) For Subrecipients That Are Not Governmental Entities:

The following terms and conditions specify how recipients will assist EPA in meeting its DB responsibilities when DB applies to EPA awards of financial assistance under the FY2013 Continuing Resolution with respect to subrecipients that are not governmental entities. If a subrecipient has questions regarding when DB applies, obtaining the correct DB wage determinations, DB provisions, or compliance monitoring, it may contact the State recipient for guidance. If a State recipient needs guidance, the recipient may contact Lorraine Fleury at fleury.lorraine@epa.gov or at 215-814-2341 of EPA, Region III Grants and Audit Management Branch for guidance. The recipient or subrecipient may also obtain additional guidance from DOL's web site at http://www.dol.gov/whd/

<u>Under these terms and conditions, the subrecipient must submit its proposed DB wage</u>
<u>determinations to the State recipient for approval prior to including the wage determination in any</u>
solicitation, contract task orders, work assignments, or similar instruments to existing contractors.

Applicability of the Davis- Bacon (DB) prevailing wage requirements.

Under the FY 2013 Continuing Resolution, DB prevailing wage requirements apply to the construction, alteration, and repair of treatment works carried out in whole or in part with assistance made available by a State water pollution control revolving fund and to any construction project carried out in whole or in part by assistance made available by a drinking water treatment revolving loan fund. If a subrecipient encounters a unique situation at a site that presents uncertainties regarding DB applicability, the subrecipient must discuss the situation with the recipient State before authorizing work on that site.

- 2. Obtaining Wage Determinations.
- (a) Subrecipients must obtain proposed wage determinations for specific localities at www.wdol.gov. After the Subrecipient obtains its proposed wage determination, it must submit the wage determination to INSERT STATE CONTACT NAME, EMAIL, and TELEPHONE NUMBER for approval prior to inserting the wage determination into a solicitation, contract or issuing task orders, work assignments or similar

instruments to existing contractors (ordering instruments unless subsequently directed otherwise by the State recipient Award Official.

- (b) Subrecipients shall obtain the wage determination for the locality in which a covered activity subject to DB will take place prior to issuing requests for bids, proposals, quotes or other methods for soliciting contracts (solicitation) for activities subject to DB. These wage determinations shall be incorporated into solicitations and any subsequent contracts. Prime contracts must contain a provision requiring that subcontractors follow the wage determination incorporated into the prime contract.
 - (i) While the solicitation remains open, the subrecipient shall monitor www.wdol.gov. on a weekly basis to ensure that the wage determination contained in the solicitation remains current. The subrecipients shall amend the solicitation if DOL issues a modification more than 10 days prior to the closing date (i.e. bid opening) for the solicitation. If DOL modifies or supersedes the applicable wage determination less than 10 days prior to the closing date, the subrecipients may request a finding from the State recipient that there is not a reasonable time to notify interested contractors of the modification of the wage determination. The State recipient will provide a report of its findings to the subrecipient.
 - (ii) If the subrecipient does not award the contract within 90 days of the closure of the solicitation, any modifications or supersedes DOL makes to the wage determination contained in the solicitation shall be effective unless the State recipient, at the request of the subrecipient, obtains an extension of the 90 day period from DOL pursuant to 29 CFR 1.6(c)(3)(iv). The subrecipient shall monitor www.wdol.gov on a weekly basis if it does not award the contract within 90 days of closure of the solicitation to ensure that wage determinations contained in the solicitation remain current.
- (c) If the subrecipient carries out activity subject to DB by issuing a task order, work assignment or similar instrument to an existing contractor (ordering instrument) rather than by publishing a solicitation, the subecipient shall insert the appropriate DOL wage determination from www.wdol.gov into the ordering instrument.
- (c) Subrecipients shall review all subcontracts subject to DB entered into by prime contractors to verify that the prime contractor has required its subcontractors to include the applicable wage determinations.
- (d) As provided in 29 CFR 1.6(f), DOL may issue a revised wage determination applicable to a subrecipient's contract after the award of a contract or the issuance of an ordering instrument if DOL determines that the subrecipient has failed to incorporate a wage determination or has used a wage determination that clearly does not apply to the contract or ordering instrument. If this occurs, the subecipient shall either terminate the contract or ordering instrument and issue a revised solicitation or ordering instrument or incorporate DOL's wage determination retroactive to the beginning of the contract or ordering instrument by change order. The subrecipient's contractor must be compensated for any increases in wages resulting from the use of DOL's revised wage determination.

3. Contract and Subcontract provisions.

(a) The Recipient shall insure that the subrecipient(s) shall insert in full in any contract in excess of \$2,000 which is entered into for the actual construction, alteration and/or repair, including painting and

decorating, of a treatment work under the CWSRF or a construction project under the DWSRF financed in whole or in part from Federal funds or in accordance with guarantees of a Federal agency or financed from funds obtained by pledge of any contract of a Federal agency to make a loan, grant or annual contribution (except where a different meaning is expressly indicated), and which is subject to the labor standards provisions of any of the acts listed in § 5.1 or the FY 2013 Continuing Resolution, the following clauses:

- (1) Minimum wages.
- (i) All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (a)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in § 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph (a)(1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

Subrecipients may obtain wage determinations from the U.S. Department of Labor's web site, www.dol.gov. .

- (ii)(A) The subrecipient(s), on behalf of EPA, shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The State award official shall approve a request for an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and

- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the subrecipient(s) agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), documentation of the action taken and the request, including the local wage determination shall be sent by the subrecipient(s) to the State award official. The State award official will transmit the report, to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210 and to the EPA DB Regional Coordinator concurrently. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification request within 30 days of receipt and so advise the State award official or will notify the State award official within the 30-day period that additional time is necessary.
- (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the and the subrecipient(s) do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the award official shall refer the request, and the local wage determination, including the views of all interested parties and the recommendation of the State award official, to the Administrator for determination. The request shall be sent to the EPA Regional Coordinator concurrently. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt of the request and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(ii)(B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- (2) Withholding. The subrecipient(s) shall upon written request of the EPA Award Official or an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or

working on the site of the work, all or part of the wages required by the contract, the (Agency) may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

- (3) Payrolls and basic records.
- (i) Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- (ii)(A) The contractor shall submit weekly, for each week in which any contract work is performed, a copy of all payrolls to the subrecipient, that is, the entity that receives the sub-grant or loan from the State capitalization grant recipient. Such documentation shall be available on request of the State recipient or EPA. As to each payroll copy received, the subrecipient shall provide written confirmation in a form satisfactory to the State indicating whether or not the project is in compliance with the requirements of 29 CFR 5.5(a)(1) based on the most recent payroll copies for the specified week. The payrolls shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on the weekly payrolls. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at http://www.dol.gov/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the subrecipient(s) for transmission to the State or EPA if requested by EPA, the State, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the subrecipient(s).

- (B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (1) That the payroll for the payroll period contains the information required to be provided under § 5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under § 5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
- (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
- (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.
- (D) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- (iii) The contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the State, EPA or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency or State may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(4) Apprentices and trainees--

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is

not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (iii) Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.
- (5) Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

- (6) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the EPA determines may by appropriate, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- (7) Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- (8) Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
- (9) Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and Subrecipient(s), State, EPA, the U.S. Department of Labor, or the employees or their representatives.
- (10) Certification of eligibility.
- (i) By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

4. Contract Provision for Contracts in Excess of \$100,000.

- (a) Contract Work Hours and Safety Standards Act. The subrecipient shall insert the following clauses set forth in paragraphs (a)(1), (2), (3), and (4) of this section in full in any contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by Item 3, above or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.
- (1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

- (2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (b)(1) of this section the contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (b)(1) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (b)(1) of this section.
- (3) Withholding for unpaid wages and liquidated damages. The subrecipient shall upon the request of the EPA Award Official or an authorized representative of the Department of Labor, withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (a)(2) of this section.
- (4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (b)(1) through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (b)(1) through (4) of this section.
- (c) In addition to the clauses contained in Item 3, above, in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in 29 CFR 5.1, the Subrecipient shall insert a clause requiring that the contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Subrecipient shall insert in any such contract a clause providing that the records to be maintained under this paragraph shall be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the (write the name of agency) and the Department of Labor, and the contractor or subcontractor will permit such representatives to interview employees during working hours on the job.

5. Compliance Verification

(a). The subrecipient shall periodically interview a sufficient number of employees entitled to DB prevailing wages (covered employees) to verify that contractors or subcontractors are paying the appropriate wage rates. As provided in 29 CFR 5.6(a)(6), all interviews must be conducted in confidence. The subrecipient must use Standard Form 1445 (SF 1445) or equivalent documentation to memorialize the interviews. Copies of the SF 1445 are available from EPA on request.

- (b) The subrecipient shall establish and follow an interview schedule based on its assessment of the risks of noncompliance with DB posed by contractors or subcontractors and the duration of the contract or subcontract. Subrecipients must conduct more frequent interviews if the initial interviews or other information indicated that there is a risk that the contractor or subcontractor is not complying with DB. Subrecipients shall immediately conduct interviews in response to an alleged violation of the prevailing wage requirements. All interviews shall be conducted in confidence."
- (c). The subrecipient shall periodically conduct spot checks of a representative sample of weekly payroll data to verify that contractors or subcontractors are paying the appropriate wage rates. The subrecipient shall establish and follow a spot check schedule based on its assessment of the risks of noncompliance with DB posed by contractors or subcontractors and the duration of the contract or subcontract. At a minimum, if practicable the subrecipient should spot check payroll data within two weeks of each contractor or subcontractor's submission of its initial payroll data and two weeks prior to the completion date the contract or subcontract. Subrecipients must conduct more frequent spot checks if the initial spot check or other information indicates that there is a risk that the contractor or subcontractor is not complying with DB. In addition, during the examinations the subrecipient shall verify evidence of fringe benefit plans and payments thereunder by contractors and subcontractors who claim credit for fringe benefit contributions.
- (d). The subrecipient shall periodically review contractors and subcontractors use of apprentices and trainees to verify registration and certification with respect to apprenticeship and training programs approved by either the U.S Department of Labor or a state, as appropriate, and that contractors and subcontractors are not using disproportionate numbers of, laborers, trainees and apprentices. These reviews shall be conducted in accordance with the schedules for spot checks and interviews described in Item 5(b) and (c) above.
- (e) Subrecipients must immediately report potential violations of the DB prevailing wage requirements to the EPA DB contact listed above and to the appropriate DOL Wage and Hour District Office listed at http://www.dol.gov/whd/america2.htm.

Joint Reporting Committee

EQUAL EMPLOYMENT OPPORTUNITY EMPLOYER INFORMATION REPORT EEO—1

Standard Form 100 REV. 01/2006

O.M.B. No. 3048-000 EXPIRES 01/2009

Equal Employment Opportunity Commission

 Office of Federal Contract Compilance Programs (Labor)

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	a. Name o	f parent company (owns or contro	ls establishm	ent in item 2) on	nit if same as	s lat	bel						a.
Addres	s (Number	and street)											b.
City or	town		State	,				ZI	000	ie			C.
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	b. Employ	er identification No. (IRS 9-DIGIT	TAX NUMBE	₹)							\perp		f.
	c. Was an	EEO-1 report filed for this establis	shment last y	ear? Yes	□No								
		Section C—EMPLOYERS	WHO ARE R	EQUIRED TO F	ILE (To be a	nsv	verea	by a	ll em	plo	yers,)	
Yes		 Does the entire company has 	eve at least 1	00 employees ir	the payroll	peri	iod fo	r whi	ch yo	u a	re re	port	ing?
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Yes	□ No	3. Does the company or any o as provided by 41 CFR 60— and has a contract, subcont depository of Government in agent for U.S. Savings Bone if the response to question (have one).	1.5, <u>AND</u> eith ract, or purch unds in any a ds and Savin C–3 is yes, p	er (1) is a prime lase order amou mount or is a fin gs Notes?	governmen inting to \$50 ancial institu	t co ,00 rtior	ntract 0 or n	tor or nore, ch is	first or (2 an is	tier ?) se suir	r sub seve ng ar	cont s as nd pa	actor, a aying

NOTE: If the answer is yes to questions 1, 2, or 3, complete the entire form, otherwise skip to Section G.

Section D-EMPLOYMENT DATA

Employment at this establishment – Report all permanent full- and part-time employees including (perentices and on-the-job trainees unless specifically excluded as set forth in the lastructions. Enter the appropriate figures on all lines and in all columns. Blank spaces will be considered as set of

						(Re	Number of Employees (Report employees in only one category)	Number of Employees mployees in only one	ployees ly one cate	gory)					
lob							Ra	Race/Ethnicity	icity						
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PREVIOUS YEAR TOTAL 11															
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. (Omit on the Consolidated Report.) Date(s) of payroll period used:.

Section E - ESTABLISHMENT INFORMATION (Omit on the Consolidated Report.)

What is the major activity of this establishment? (Be specific, i.e., manufacturing steel castings, retail grocer, wholesale plumbing supplies, title insurance, etc. Include the specific type of product or type of service provided, as well as the principal business or industrial activity.) Section F - REMARKS

Use this Item to give any identification data appearing on the last EEO-1 report which differs from that given above, explain major changes in composition of reporting units and other pertinent information. Section G - CERTIFICATION

Check 1 All reports are accurate and were prepared in accordance with the instructions. (Check on Consolidated Report only.) one 2 This report is accurate and was prepared in accordance with the instructions.

Email Address Date Address (Number and Street) Signature Telephone No. (including Area Code and Extension) Zip Code Title 럂 Name of person to contact regarding this report Name of Certifying Official City and State

All reports and information obtained from inchividual reports will be kept confidential as required by Section 709(a) of Title VII. WILLFULLY FALSE STATEMENTS ON THIS REPORT ARE PUNISHABLE BY LAW, U.S. CODE, TITLE 18, SECTION 1001

STATE OF ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION ALASKA CLEAN WATER FUND & ALASKA DRINKING WATER FUND

DISADVANTAGE BUSINESS ENTERPRISES OVERVIEW

The loan recipient, consultant and contractor of an Alaska Clean Water or Drinking Water Fund revolving loan project are required to comply with EPA regulations (40 CFR Part 33) concerning the use of disadvantage owned businesses enterprises (DBE). Also required is compliance with EEO/Affirmative Action Regulations of the Department of Labor (see attached Statement of Acknowledgement). These regulations help ensure that economic opportunities are available to all people of this country.

The expenditure of Federal funds must reflect equal opportunity, anti-discrimination provisions of the 1964 Civil Rights Act, affirmative action and DBE or more specifically small, minority and women-owned businesses utilization under EPA's DBE program. Utilization may be through prime contracting, subcontracting, joint-venture, procurement of supplies, material or equipment, or other business participation utilized in completing a project. For all situations, contractors must take necessary and reasonable steps to ensure DBE's have the maximum opportunity to compete for and/or perform contracts. Contractors shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of projects where assistance is provided from an ADEC revolving loan fund program.

NOTE: On March 26, 2008, the Environmental Protection Agency (EPA) Office of Small Business Programs (OSBP) published its final rule, "Participation by Disadvantaged Business Enterprises in Procurement under Environmental Protection Agency Financial Assistance Agreements (DBE Rule) in the Federal Register (40 CFR part 30-40). The final rule took effect on May 25, 2008. The EPA DBE Program encompasses many of the components of the former MBE/WBE Program and also includes changes.

Some changes are:

- Creation of the Disadvantaged Business Enterprise (DBE) Program (formerly the Minority Business Enterprise/Women's Business Enterprise (MBE/WBE) Program).
- Recipients receiving a total of \$250K or less in financial assistance in a given fiscal year are exempt from this requirement.
- The "Six Affirmative Steps" and "Six Positive Efforts" were combined into the "Six Good Faith Efforts."
- A recipient must require its prime contractor to pay its subcontractor for satisfactory performance no more than 30 days from the prime contractor's receipt of payment from the recipient.
- > The loan recipient must be notified in writing by its prime contractor prior to any termination of a DBE subcontractor.

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- If a DBE subcontractor fails to complete work under the subcontract for any reason, the prime contractor must use the Six Good Faith Efforts in selecting a replacement subcontractor.
- The prime contractor must employ the Six Good Faith Efforts even if the prime has achieved its Fair Share Objectives.
- Recipients who reported quarterly under the old MBE/WBE program will now report semi-annually.
- MBE's and WBE's can no longer self-certify. They must be certified by EPA, Small Business Administration (SBA), Department of Transportation (DOT) or by state, local, Tribal or private entities whose certification criteria match EPA's. (MBEs and WBEs must be certified in order to be counted toward a recipient's MBE/WBE accomplishments.) The new requirements affect all financial assistance agreements entered into from the effective date of the rule (May 25, 2008). The new DBE rule won't affect those financial assistance agreements entered into before May 25, 2008; those will still operate under the old MBE/WBE program requirements.

SUMMARY OF GOALS

Stated simply, in meeting DBE goals under this program, the prime contractor must either 1) achieve the goal of contracting to Minority or Women-Owned Enterprises (MBE/WBE), or 2) follow the proper procedures in thoroughly documenting good faith efforts to achieve MBE/WBE goal participation. A prime contractor who is an MBE/WBE firm can also be counted towards the goal. (see attached current participation goals for the Department)

REQUIREMENTS

A. Definitions

- Disadvantaged Business Enterprise Per EPA requirements for projects funded under the Alaska Drinking Water Fund and Alaska Clean Water Fund loan programs, Disadvantage Business Enterprises only include entities owned and/or controlled by socially and economically disadvantaged individuals (as described in 4242 USC 7601 and 42 USC 4370d) which includes Women's Business Enterprises (WBE) and Minority Business Enterprises (MBE). (for more information go to: http://www.epa.gov/osbp/grants.htm)
- Minority Business Enterprise or Women Owned Business Enterprise means a small business concern which is owned and controlled by one or more minorities or women. Owned and controlled means a business:
 - Which is at least 51 percent owned by one or more minorities or women, or in the case of a publicly owned business, at least 51% of the stock is owned by one or more minorities or women;

- Whose management and daily business operations are controlled by one or more such individuals.
- Socially Disadvantage Individual means a person who is a citizen or lawful permanent resident of the United States and who is:
 - Black;
 - Hispanic;
 - Portuguese;
 - Asian American;
 - · American Indian and Alaskan Native; and
 - Members of other groups, or other individuals, found to be economically and socially disadvantaged by the United States Small Business Administration under section 8(a) of the federal Small Business Act.
- Economically Disadvantaged Individual those socially disadvantaged individuals whose ability to compete in the free enterprise system has been impaired due to diminished capital or credit opportunities, as compared to others in the same business area who are not socially disadvantaged.

B. Implementation for DBE Procurement

As part of ADEC's capitalization grants for both the ADWF and ACWF loan programs, the programs have an overall Fair Share (or utilization goal) objective of 3.89% for MBE entities and 2.02% for WBE entities for construction only (effective July 1, 2013 – June 30, 2016). The loan recipient, engineering firm responsible for construction phase services, and prime contractor are required to adopt this same fair objective. The fair share objective is not a quota, EPA cannot penalize ADEC, the loan recipient, engineering firm, of the prime contractor for not meeting MBE or WBE participation objectives.

The prime contractor and consulting engineer responsible for construction phase services are required to make the good faith efforts and apply necessary administrative requirements. If the good faith efforts are not made when subcontracts are considered for the prime construction contract or for engineering construction phase services, the ability of ADEC to fund the project, or portion thereof, may be jeopardized.

C. How to Count DBE (MBE/WBE) Goals

The proposed MBE/WBE firms to be used must be declared by the Bidder before contract award. The MBE/WBE may act as a prime contractor, subcontractor, joint venture partner, or supplier. To be counted toward a goal, the MBE/WBE must perform a commercially useful function. To calculate the minimum dollar value for MBE/WBE participation, multiply the total estimated contract price (including additives or alternates, if any) by the goal percentage.

D. How to Obtain DBE (MBE/WBE) Participation

Prior to the scheduled pre-bid conference, solicit MBE/WBE participation to meet the goal. By contract award, the Bidder must either meet the goal or have made good faith efforts to do so. Good faith efforts include, but are not limited to the following:

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- Including qualified small, minority and women's business enterprises on solicitation lists.
- Assuring that small, minority and women's businesses are solicited. If the MBE/WBE is
 only certified as a DBE, such as through the Alaska Department of Transportation, and
 the bidder has exhausted all efforts to determine the subcontractor MBE/WBE status, the
 bidder may document either category of certification to meet goal objectives.
- Dividing total requirements when economically feasible, into small tasks or quantities to permit maximum participation of small, minority and women's businesses.
- Establish delivery schedules, where requirements of the work permit, which will encourage participation by small, minority and women's businesses.
- Using the services and assistance of the Small Business Administration and the Minority Business Development Agency of the U.S. Department of Commerce, as appropriate.
- If the prime contractor or proposer awards subcontracts/procurements, require the subcontractor to take the affirmative steps 1 through 5 above.

E. How to Credit DBE (MBE/WBE) Participation

If the Bidder's firm is a qualified Minority or Women-Owned Business Enterprise, credit will be given for the portion of the contract for which the Bidder performs a commercially useful function, and for that portion that is subcontracted to other MBE/WBE firms. For example, a MBE/WBE prime contractor proposes to perform 60% of a project quoted at \$500,000, and subcontracts 20% to a majority firm and the remaining 20% to another MBE/WBE. This means the credited MBE/WBE participation will be 80% for the project (60% + 20%) or \$400,000.

F. The DBE (MBE/WBE) Reporting Package

To meet the MBE/WBE reporting requirements of the program, the following forms need to be submitted during the course of bidding, contract award, and administration of this project:

- COMPLIANCE STATEMENT acknowledges the MBE/WBE requirement by the bidder. It must be provided with the bid.
- REPORT OF PARTICIPATION documents the level of anticipated MBE/WBE participation. It is submitted after bid opening, but before contract award.
- CONTACT DOCUMENTATION documents the efforts taken to attain the MBE/WBE
 goals and it, or other documentation should be submitted with the Report of Participation
 if the bidder did not meet the established goal.
- GOOD FAITH EFFORTS Forms 6100-2, -3 and -4 that identify subcontractor participation, performance and utilization, respectively.

<u>Form 6100-2</u>: This form gives a DBE subcontractor the opportunity to describe the work the DBE subcontractor received from the prime contractor, how much the DBE subcontractor was paid, and any other concerns the DBE subcontractor might have.

This form must be provided to the DBE subcontractor. If the form is submitted by the DBE subcontractor it must be maintained in the file with the prime's contract.

<u>Form 6100-3</u>: This form captures an intended subcontractor's description of work to be performed for the prime contractor and the price of the work submitted to the prime.

This form must be completed by every DBE subcontractor and submitted as part of the bid or proposal package. It must be maintained in the file with the prime's contract.

<u>Form 6100-4</u>: This form captures the prime's intended use of an identified DBE subcontractor, and the dollar amount of the subcontract.

This form must be completed by the prime contractor and submitted as part of the bid or proposal package. It must be maintained in the file with the prime's contract.

5. CONTRACT & PROCUREMENT SEMI-ANNUAL REPORT – documents the actual MBE/WBE contracts executed by the Prime Contractor and submitted to the City. In the first week of April (reporting period, Oct – Mar) and October (reporting period, Apr – Sep), the City will submit a listing of the executed contracts (for the previous reporting) to the Alaska Department of Environmental Conservation through use of form 5700-52A. (form available at: http://www.epa.gov/osbp/pdfs/5700 52a.pdf)

G. Create and Maintain a Bidders List

Any entity that receives an ACWF or ADWF SRF loan is required to create and maintain a bidders list if the loan recipient is subject to, or chooses to follow, competitive bidding requirements. The list must include all firms that bid or quote on prime contracts, or bid or quote subcontracts, including both MBE/WBEs and non-MBE/WBEs and must be maintained until the end of the project.

H. DBE Anti-Discrimination Contract Clause

Under 40 CFR part 33, Appendix A, the following statement must be included in **every contract** issued by an ACWF/ADWF borrower to a prime contractor. The statement cannot be changed, modified, or altered in any way.

"The contractor shall not discriminate on the basis of race, color, national origin or sex in the performance of this contract. The contractor shall carry out applicable requirements of 40 CFR part 33 in the award and administration of contracts awarded under EPA financial assistance agreements. Failure by the contractor to carry out these requirements is a material breach of this contract which may result in the termination of this contract or other legally available remedies."

Minority and Women-Owned Business Enterprises (MBE/WBE) Report of Participation Documents.

The following documents and other pertaining documents required by State or Federal reporting purposes are the responsibility of the contractor to complete and submit to the City of Homer and appropriate State or Federal Agency regardless of the status of the contractor. ADEC regulations require that the contractor shall attempt to meet the goals of Minority or Woman Business Enterprise.

The Report or Participation form must be submitted to the City of Homer and reviewed and approved by the funding agency before award of the contract.

The Contact Documentation is for contractor convenience. If they cannot meet the goal, they will have the Data to show they did make the contacts. The requirement is to Contact MBE/WBE firms, there is no requirement to select them.

MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES CONTRACT & PROCUREMENT QUARTERLY REPORT are to be submitted by the Contractor Quarterly to the City of Homer.

MBE/WBE REQUIREMENTS

This contract is subject to Minority and Women-Owned Business Enterprise (MBE/WBE) Goals and Requirements. The bidder shall certify that the bidder/proposer is aware of and will comply with the specifications and all applicable federal and state statutes and regulations concerning disadvantaged business participation in the City's programs, and the MBE/WBE Requirements shall be interpreted so as to provide the greatest opportunity for MBE/WBEs to participate in this solicitation. The MBE/WBE Specifications are shown below.

Part I – GENERAL

- 1.1 State of Alaska Department of Environmental Conservation Minority and Women-Owned Business Enterprises overview.
- A. The loan recipient, consultant, and contractor of an Alaska Clean Water or Drinking Water fund loan project are required to comply with EPA regulations concerning the use of small, minority and womenowned businesses. Also required is compliance with EEO/Affirmative Action Regulations of the Department of Labor. These regulations help ensure that economic opportunities are available to all people of the country.
- B. The expenditure of Federal funds must reflect equal opportunity, anti-discrimination provisions of the 1964 Civil Rights Act, affirmative action and small, minority and women-owned business utilization. The above requirements are applicable to all contracts and subcontracts exceeding \$25,000. It is the function of the Office of Small and Disadvantaged Business Utilization and the Department of Labor, Office of Federal Contract Compliance Programs to assure that these mandates are carried out to the maximum extent practical.

Part 2 - Summary of Goal

2.1 Stated simply, the prime contractor must <u>either</u> 1) achieve the goal of contracting 4.92% of the contract value to Minority-Owned Business Enterprises (MBE) and 2.38% of the contract value to Women-Owned Business Enterprises (WBE) for a total of 7.3% MBE/WBE participation, or 2) follow the proper procedures in thoroughly documenting good faith efforts to achieve 7% MBE/WBE participation. A prime contactor that is an MBE/WBE firm can be counted towards the goal.

PART 3 – REQUIREMENTS

- A. A minority Business Enterprise or Women Owned Business Enterprise is defined as a small business concern that is owned and controlled by one or more minorities or women. Owned and controlled means a business:
- 1. Which is at least 51 percent owned by one or more minorities or women, or in the case of a publicly owned business, at least 51% of the stock is owned by one or more minorities or women;
- 2. Whose management and daily business operations are controlled by one or more such individuals.
- B. Minority means a person who is a citizen or lawful permanent resident of the United States and who is:
- 1. Black
- 2. Hispanic
- 3. Portuguese
- 4. Asian American
- 5. American Indian and Alaskan Native, and
- 6. Members of other groups, or other individuals, found to be economically and socially disadvantaged by the United States Small Business Administration under section 8(a) of the federal small business act.
- C. MBE/WBE firms that are registered with ADOT&PF and/or the Municipality of Anchorage as MBE/WBE firms satisfy the definition of MBE/WBE firms for this contract. Bidders shall utilize the Municipality of Anchorage (MOA) MBE/WBE registration list to determine whether to categorize a DBE contractor on the ADOT&PF registration list as MBE or WBE for the purposes of this contract. If an ADOT&PF registered DBE contractor is not on the MOA list, the bidder shall use their best judgment to categorize the sub-contractor as MBE or WBE for this contract.

3.2 HOW TO COUNT MBE/WBE Goals

A. The proposed MBE/WBE firms to be used must be declared by the Bidder before contract award. The MBE/WBE may act as a prime contractor, subcontractor, joint venture partner, or supplier. To be counted toward a goal, the MBE/WBE must perform a commercially useful function. To calculate the minimum dollar value for MBE/WBE participation, multiply the total estimated contract price (including additives or alternates, if any) by the goal percentage.

3.3. HOW TO OBTAIN MBE/WBE PARTICIPATION

A. Prior to the scheduled pre-bid conference, solicit MBE/WBE participation to meet the goal. By contract award, the Bidder either must meet the goal or have made good faith efforts to do so. Good faith efforts include, but are not limited to, the following:

- 1. Including qualified small, minority and women's business enterprises on solicitation lists.
- 2. Assuring that small, minority and women's businesses are solicited.
- 3. Dividing total requirements when economically feasible, into small tasks or quantities to permit maximum participation of small, minority, and women's businesses.
- 4. Establish delivery schedules, where requirements of the work permit, which will encourage participation by small, minority and women's businesses.
- 5. Using the services and assistance of the Small Business Administration and the Minority Business Development Agency of the U.S. Department of Commerce, as appropriate.
- 6. If the prime contractor or proposer awards subcontracts/procurements, require the subcontractor to take the affirmative steps 1 through 5 above.

3.4 HOW TO CREDIT MBE/WBE PARTICIPATION

A. If the Bidder's firm is a qualified Minority or Women-Owned Business Enterprise, credit will be given for the portion of the contract for which the Bidder performs a commercially useful function and for that portion that is subcontracted to other MBE/WBE firms. For example, a MBE/WBE prime contractor proposed to perform 60% of a project quoted at \$500,000, subcontracts 20% to a majority firm and the remaining 20% to another MBE/WBE. This means the credited MBE/WBE participation will be 80% for the project (60% + 20%) or \$400,000.

3.5 THE MBE/WBE REPORTING PACKAGE

- A. To meet the MBE/WBE reporting requirements of the program, the following forms need to be submitted during the course of bidding, contract award, and administration of this project:
- 1. COMPLIANCE STATEMENT acknowledges the MBE/WBE requirement by the bidder. It must be provided with the bid.
- 2. REPORT OF PARTICIPATION documents the level of anticipated MBE/WBE participation. It is submitted after bid opening, but before contract award.
- 3. CONTACT DOCUMENTATION documents the efforts taken to attain the MBE/WBE goals and it, or other documentation should be submitted with the Report of Participation if the bidder did not meet the established goal.
- 4. UTILIZATION documents actual MBE/WBE utilization. It is submitted with each pay request.
- 5. CONTRACT AND PROCUREMENT QUARTERLY REPORT documents the actual MBE/WBE contracts executed by the Prime Contractor and submitted to the City. In the first week of each quarter (January, April, July, October) the City will submit a listing of the executed contracts (for the previous quarter) to the Alaska Department of Environmental Conservation.

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBLY MATTERS

Federally debarred firms are prohibited from bidding on this project. The following form (EPA Form 5700-49) must be submitted by the successful bidder and any subcontractors before construction contract is signed.

Suspension and Debarment

Contractor shall fully comply with Subpart C of 40 CFR Part 32, entitled "Responsibilities of Participants Regarding Transactions." Contractor is responsible for ensuring that any lower tier covered transaction, as described in Subpart B of 40 CFR Part 32, entitled "Covered Transactions," includes a term or condition requiring compliance with Subpart C. Contractor is responsible for further requiring the inclusion of a similar term or condition in any subsequent lower tier covered transactions. Contractor acknowledges that failing to disclose the information required under 40 CFR 32.335 may result in the delay or negation of this assistance agreement, or pursuance of legal remedies, including suspension and debarment.

Debarment status can be accesses at www.epls.gov

STATE OF ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION

DISADVANTAGE BUSINESS ENTERPRISES (MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES) REPORT OF PARTICIPATION

RFP/Contract No.

Company Name_			Prepared By		
name and address of amount that will be eligible. A propose participation can real City by the success MBE/WBE is only	of each DBE (MBE/ William applicable to the goal. all submitted without ander the bid proposal nor sful prime contractor. A certified as a DBE, such	BE) subcontractor who Indicate whether the fidequate MBE/WBE paresponsive. One copy any changes to the list has through the Alask	will perform work user is MBE or WB: articipation or show of each executed M below must have presented a Department of Tra	prior to contract award. Punder this contract, along E, and include your own ring of good faith effort BE/WBE subcontract mustior approval by the City. Insportation, and the biddeeither category of certification.	with the contracte firm if MBE/WB: s to achieve suc t be provided to th Please note, if the r has exhausted a
Firm Name	AK Contractor's License No.	Contact Name & Phone No.	Type of Work	Contract Amount	MBE/WBE
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Rev 11/08

Project Name____

STATE OF ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION

MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES CONTACT DOCUMENTATION

Project Name	RFP/Contract No.	
Company Name	Authorized Signature/Title	
use additional sheets if needed. If you do	e to document your efforts in meeting MBE/WBE utilize not meet the MBE/WBE goal, you may return this for otices, solicitations, etc.) with your MBE/WBE Report of	m, or other supporting
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n rejected, why		

STATE OF ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION

MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES CONTRACT & PROCUREMENT QUARTERLY REPORT

Project Name_	Loan No.	Ona	Quarter	
Community Name	Authorized Signature/Title	itle		
	,			
Name & Address of Contractor or Vendor	Type of Product or Service	Date of Award	Value of Contract & Amount Paid	Type of Firm: MBE or WBE



STATE OF ALASKA MUNICIPAL GRANTS & LOANS ALASKA CLEAN/DRINKING WATER FUND

USE OF AMERICAN IRON AND STEEL

From the "Consolidated Appropriations Act, 2014" H.R. 3547 (PL113-76, enacted 1/17/2014), and as codified under section 608 of the FWPCA (Federal Water Pollution Control Act)

"SEC. 436. (a)(1) None of the funds made available by a State water pollution control revolving fund as authorized by title VI of the Federal Water Pollution Control Act (33 U.S.C. 1381 et seq.) or made available by a drinking water treatment revolving loan fund as authorized by section 1452 of the Safe Drinking Water Act (42 U.S.C. 300j–12) shall be used for a project for the construction, alteration, maintenance, or repair of a public water system or treatment works unless all of the iron and steel products used in the project are produced in the United States.

- (2) In this section, the term "iron and steel products" means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and construction materials.
- (b) Subsection (a) shall not apply in any case or category of cases in which the Administrator of the Environmental Protection Agency (in this section referred to as the "Administrator") finds that—
 - (1) applying subsection (a) would be inconsistent with the public interest;
- (2) iron and steel products are not produced in the United States in sufficient and reasonably available quantities and of a satisfactory quality; or
- (3) inclusion of iron and steel products produced in the United States will increase the cost of the overall project by more than 25 percent.
- (c) If the Administrator receives a request for a waiver under this section, the Administrator shall make available to the public on an informal basis a copy of the request and information available to the Administrator concerning the request, and shall allow for informal public input on the request for at least 15 days prior to making a finding based on the request. The Administrator shall make the request and accompanying information available by electronic means, including on the official public Internet Web site of the Environmental Protection Agency.
- (d) This section shall be applied in a manner consistent with United States obligations under international agreements.
- (e) The Administrator may retain up to 0.25 percent of the funds appropriated in this Act for the Clean and Drinking Water State Revolving Funds for carrying out the provisions described in subsection (a)(1) for management and oversight of the requirements of this section.
- (f) This section does not apply with respect to a project if a State agency approves the engineering plans and specifications for the project, in that agency's capacity to approve such plans and specifications prior to a project requesting bids, prior to the date of the enactment of this Act."



STATE OF ALASKA MUNICIPAL GRANTS & LOANS ALASKA CLEAN/DRINKING WATER FUND

USE OF AMERICAN IRON AND STEEL

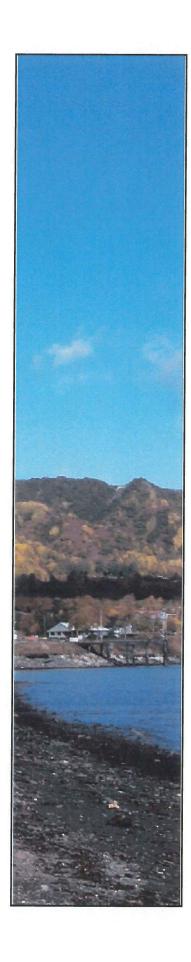
Sample Step Manufacturer Certification

(Documentation must be provided on company letterhead)

Date		
Company Name		
Company Address		
City, State Zip		
	Steel Step Manufacturer Certific	
I, (con (melting, bending, coating, galvaniz (manufacturing or fabricating) the for the project is in full compliance with EPA's State Revolving Fund Progra	zing, cutting, etc.) process for _ ollowing products and/or mater h the American Iron and Steel 1	rials shipped or provided for
Item, Products and/or Materials: 1.		
2.		
3.		
Such process took place at the follo	wing location:	(address)
If any of the above compliance state	ements change while providing	material to this project we
will immediately notify the prime c	ontractor and the engineer.	
Company representative	Signature	Date

Appendix: Sample Construction Contract Language

The Contractor acknowledges to and for the benefit of the City of ("Purchaser") and the (the "State") that it understands the goods and services under this Agreement are being funded with monies made available by the Clean Water State Revolving Fund and/or Drinking Water State Revolving Fund that have statutory requirements commonly known as "American Iron and Steel;" that requires all of the iron and steel products used in the project to be produced in the United States ("American Iron and Steel Requirement") including iron and steel products provided by the Contactor pursuant to this Agreement. The Contractor hereby represents and warrants to and for the benefit of the Purchaser and the State that (a) the Contractor has reviewed and understands the American Iron and Steel Requirement, (b) all of the iron and steel products used in the project will be and/or have been produced in the United States in a manner that complies with the American Iron and Steel Requirement, unless a waiver of the requirement is approved, and (c) the Contractor will provide any further verified information, certification or assurance of compliance with this paragraph, or information necessary to support a waiver of the American Iron and Steel Requirement, as may be requested by the Purchaser or the State. Notwithstanding any other provision of this Agreement, any failure to comply with this paragraph by the Contractor shall permit the Purchaser or State to recover as damages against the Contractor any loss, expense, or cost (including without limitation attorney's fees) incurred by the Purchaser or State resulting from any such failure (including without limitation any impairment or loss of funding, whether in whole or in part, from the State or any damages owed to the State by the Purchaser). While the Contractor has no direct contractual privity with the State, as a lender to the Purchaser for the funding of its project, the Purchaser and the Contractor agree that the State is a third-party beneficiary and neither this paragraph (nor any other provision of this Agreement necessary to give this paragraph force or effect) shall be amended or waived without the prior written consent of the State.



A report prepared for

City of Homer 3575 Heath Street Homer, AK 99603

and

Bristol Environmental and Engineering Services Corp. 111 W. 16th Avenue, Third Floor Anchorage, AK 99501-5109

Geotechnical Exploration and Engineering Recommendations

Kachemak Drive Water and Sewer Homer, Alaska

By

Susan Wilson Geologist

Saywil

Jeremit Dye

Jeremiah Drage, P.E. Civil Engineer

DMA Job No. 4248.001

November 19, 2008



Duane Miller Associates LLC

5821 Arctic Boulevard, Suite A Anchorage, AK 99518-1654 (907) 644-3200 Fax 644-0507

DMA-23

START OF PROJECT

3 84 300 E

4 61'52'27" E

NOTE: Adapted from an AutoCAD dwg dated 6/27/2007, @ Bristol Environmental and Engineering Services Corp.

Duane Miller Associates LLC Job No.: 4248.001 Date: November 2008

NOTE: Adapted from an AutoCAD dwg dated 6/27/2007, @ Bristol Environmental and Engineering Services Corp.



TEST BORING LOCATIONS Plate
Phase II: Kachemak Dr Water and Sewer 2.02
Homer, Alaska

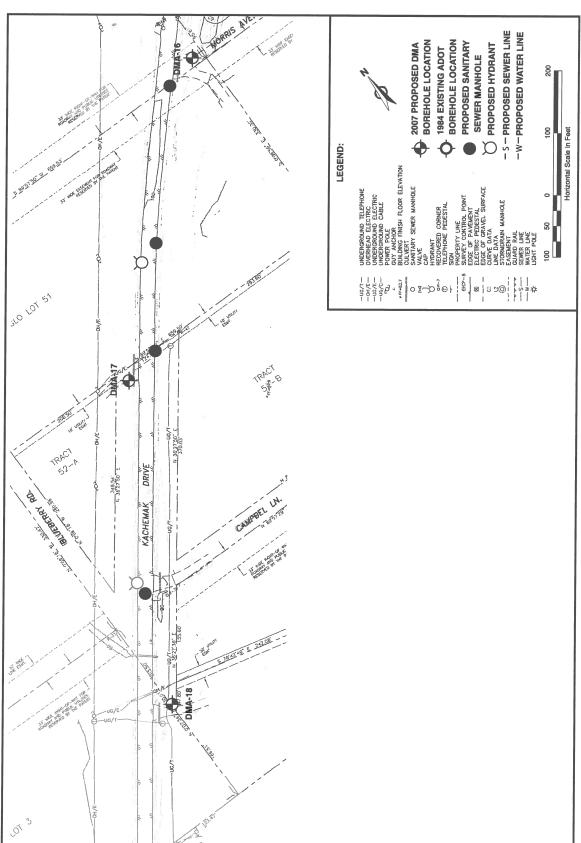
, oi r

CHECKMATE SHORES SUB

NOTE: Adapted from an AutoCAD dwg dated 6/27/2007, @ Bristol Environmental and Engineering Services Corp.

Duane Miller Associates LLC Job No.: 4248.001 Date: November 2008

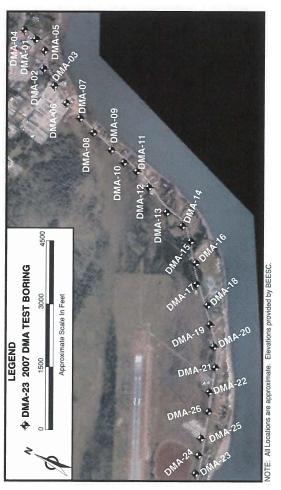
TEST BORING LOCATIONS Plate Phase II: Kachemak Dr Water and Sewer 2.03

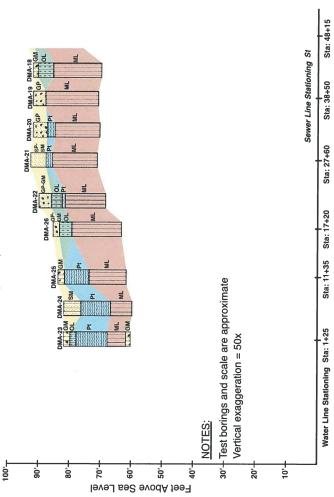


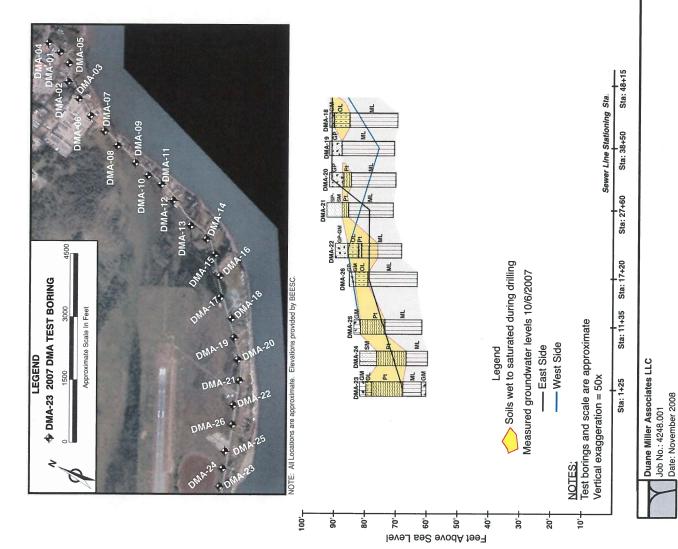
NOTE: Adapted from an AutoCAD dwg dated 6/27/2007, @ Bristol Environmental and Engineering Services Corp.



Duane Miller Associates LLC Job No.: 4248.001 Date: November 2008







GROUNDWATER CROSS SECTION Kachemak Dr Water and Sewer Homer, Alaska

Appendix A: Test Boring Logs

DUANE MILLER ASSOCIATES LLC Log of HOLE: DMA-18 Project: Kachemak Dr W & S Date Drilled: October 4, 2007 DMA Job No.: 4248.001 Logged By: N. Luzny Depth (Feet) Sampling Interval Contractor.: Hughes Drilling Equipment: CME-75 140lb auto hammer GPS Coord.: N59°38'56.5" W151°27'03.9" (WGS 84) **Blow Counts** Elevation*: Station**: 92 feet Moisture Content % (a), 43+00 PL & LL (⊢), Salinity (△) Frozen and Sampling Blows/ft (O) Other 40 >80 P200 **Tests** Description Ag SILTY SANDY GRAVEL (GM) FILL, Brown, moist, subrounded gravel to 2-inches with 30% fine to medium-grained sand Ag ORGANIC SILT (OL) Brown, wet, with fibrous organic material, fine to medium-grained sand Ssa and subrounded gravel to 0.3-inches Pp=1.0 tsf. Tv=0.24 tsf 14 ORGANIC SILT (OL) Brown, very stiff, moist, with 0 subrounded gravel to 0.25-inches and blue silt 7 (ML) interbeds 10 9 Ssa SILT (ML) Blue-gray, very stiff to hard, moist, with 13 MD 19 10-15% fine to medium-grained sand and 5-10% subrounded gravel to 0.75-inches 15 MD 47 20 Ssa 25 Test boring completed at 22 feet on 10/4/2007 Slotted PVC installed to 22 feet Groundwater measured at 1.2 feet on 10/06/2007 25 30 35

^{**} Station taken from BEESC Water Line Plan and Profile 12/20/07.



^{*} Elevations interpolated from an AutoCad drawing dated 6/27/07, Bristol Environmental and Engineering Services Corp.

DUANE MILLER ASSOCIATES LLC Log of HOLE: DMA-19 Project: Kachemak Dr W & S DMA Job No.: 4248.001 Date Drilled: October 4, 2007 Contractor.: Hughes Drilling Equipment: CME-75 140lb auto hammer Logged By: N. Luzny Depth (Feet) Sampler Type GPS Coord.: N59°38'53.4" W151°27'11.1" (WGS 84) Blow Counts Elevation*: Station**: 92 feet Moisture Content % (a), PL & LL (⊢), Salinity (△) and Sampling Blows/ft (O) Other >80 P200 40 **Tests** Description Ag SANDY GRAVEL (GP) FILL, brown, moist, subrounded gravel to 1.75-inches with 15-30% fine to coarse-grained sand Ag 4.3% SANDY SILT (ML) Brown with gray-blue mottles. Ssa very stiff, moist, with 15-20% fine-grained sand Pp=3.0 tsf, and trace gravel to 0.75-inches Tv=0.33 tsf Ssa Pp=3.5 tsf, Tv=0.41 tsf 10 hard below 10 feet 13 MD 15 Ssa 20 MD 37 20 Ssa Test boring completed at 21 feet on 10/04/2007 Slotted PVC installed to 19.7 feet Groundwater measured at 16.2 feet on 10/06/2007 25 30 35

^{**} Station taken from BEESC Water Line Plan and Profile 12/20/07.



Duane Miller Associates LLC Job No.: 4248.001 Date: November 2008

^{*} Elevations interpolated from an AutoCad drawing dated 6/27/07, Bristol Environmental and Engineering Services Corp.

DUANE MILLER ASSOCIATES LLC Log of HOLE: DMA-20 Project: Kachemak Dr W & S Date Drilled: October 4, 2007 DMA Job No.: 4248.001 Contractor.: Hughes Drilling Logged By: N. Luzny Equipment: CME-75 140lb auto hammer Depth (Feet) GPS Coord.: N59°38'50.1" W151°27'17.3" (WGS 84) Sampler Type Elevation*: Station**: 92 feet Moisture Content % (a), Graphic PL & LL (⊢), Salinity (△) and Sampling Blows/ft (O) Other 40 >80 P200 **Tests** Description SANDY GRAVEL (GP) FILL, Brown, moist, Ag subrounded gravel to 3-inches with 20-40% fine to medium-grained sand and trace organic Ag material PEAT (Pt) Brown, medium stiff, moist, fibrous, with 5 Ssa Organic Silt (OL) interbeds Pp=0.7 tsf. 2 Tv=0.13 tsf 0 SANDY SILT (ML) Blue-gray, very stiff, moist, with 15-20% fine-grained sand and trace gravel to Pp=0.9 tsf, 10 Tv=0.16 tsf 0.75-inches 10 3 Ssa MD 10 15 hard below 15 feet MD MD 17 21 20 Ssa Test boring completed at 21.5 feet on 10/4/2007 Slotted PVC installed to 19.5 feet Groundwater measure at 1.2 feet on 10/06/2007 25 30 35

^{**} Station taken from BEESC Water Line Plan and Profile 12/20/07.



Duane Miller Associates LLC Job No.: 4248.001

^{*} Elevations interpolated from an AutoCad drawing dated 6/27/07, Bristol Environmental and Engineering Services Corp.

Log of HOLE: DMA-21 Project: Kachemak Dr W & S Date Drilled: October 4, 2007 DMA Job No.: 4248.001 Contractor.: Hughes Drilling Depth (Feet) Sampling Interval Logged By: N. Luzny Equipment: CME-75 140lb auto hammer GPS Coord.: N59°38'46.2" W151°27'26.5" (WGS 84) Sampler Type Blow Counts Elevation*: Station**: 93 feet 27+60 Moisture Content % (a), PL & LL (⊢), Salinity (△) and Sampling Blows/ft (O) Other >80 P200 20 40 Tests Description Ag GRAVELLY SAND (SP-SM) FILL, Brown, moist, fine to medium-grained sand with 40% subrounded gravel to 3-inches, and Peat (Pt) interbeds Ag Ssa PEAT (Pt) Dark Brown, moist, fibrous organics 2 0 SILT (ML) Blue-gray, stiff, moist, with 15-20% Ssa 5 fine-grained sand and trace subrounded gravel Pp=1.6 tsf. to 0.5-inches Tv=0.30 tsf 10 Ssa 5 Pp=1.8 tsf, 13 Tv=0.36 tsf 21 15 Ssa hard below 15 feet 24 33 GRAVELLY SANDY SILT (ML) Blue-gray, hard, 20 moist, with 35% fine-grained sand and 15-20% gravel to 1-inch 51 Test boring completed at 21.5 feet on 10/04/2007 Slotted PVC installed to 19.6 feet Groundwater measured at 14 feet on 10/06/2007 25 30 35

^{**} Station taken from BEESC Water Line Plan and Profile 12/20/07.



Date: November 2008

DUANE MILLER ASSOCIATES LLC

^{*} Elevations interpolated from an AutoCad drawing dated 6/27/07, Bristol Environmental and Engineering Services Corp.

DUANE MILLER ASSOCIATES LLC Log of HOLE: DMA-22 Project: Kachemak Dr W & S Date Drilled: October 4, 2007 DMA Job No.: 4248.001 Depth (Feet) Sampling Interval Contractor.: Hughes Drilling Logged By: N. Luzny Equipment: CME-75 140lb auto hammer Sampler Type GPS Coord.: N59°38'43.9" W151°27'36.8" (WGS 84) Blow Counts Elevation*: Station**: 90 feet Moisture Content % (a), Graphic PL & LL (⊢), Salinity (△) and Sampling Blows/ft (O) Other >80 P200 Tests Description SANDY GRAVEL (GP-GM) FILL, Brown, Ag subrounded gravel to 2-inches with 35% fine to medium-grained sand Ag ORGANIC SILT (OL) Dark brown, medium stiff to Ssa stiff, moist, with trace sand and gravel and Peat 2 (Pt) interbeds 4 0 Ssa PEAT (Pt) Black, saturated, fibrous 2 SILT (ML) Gray-blue, medium stiff to stiff, moist. 10 3 Ssa micaceous, trace fine-grained sand Res, pH, Pp=0.8 tsf, Tv=0.19 tsf SANDY GRAVELLY SILT (ML) Gray-blue, hard, 15 moist, with 35% subangular gravel to 14 0.75-inches and 15% fine-grained sand 23 29 20 Ssi 24 Test boring completed at 21.5 feet on 10/4/2007 Slotted PVC installed to 19.5 feet Groundwater measured at 4.5 feet on 10/06/2007 25 30 35

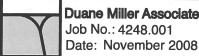
^{**} Station taken from BEESC Water Line Plan and Profile 12/20/07.



^{*} Elevations interpolated from an AutoCad drawing dated 6/27/07, Bristol Environmental and Engineering Services Corp.

DUANE MILLER ASSOCIATES LLC Log of HOLE: DMA-23 Project: Kachemak Dr W & S Date Drilled: October 5, 2007 DMA Job No.: 4248.001 Contractor.: Hughes Drilling Depth (Feet) Sampling Interval Logged By: N. Luzny Equipment: CME-75 140lb auto hammer GPS Coord.: N59°38'34.3" W151°28'11.7" (WGS 84) **Blow Counts** Elevation*: Station**: 82 feet Moisture Content % (a), PL & LL (⊢), Salinity (△) and Sampling Blows/ft (O) Other >80 P200 20 40 **Tests** Description Ag SILTY SANDY GRAVEL (GM) FILL, brown, moist, subrounded gravel to 2-inches with 15% fine to medium-grained sand Ag ORGANIC SILT (OL) Dark brown, soft, moist, with interbeds of Peat (Pt) Ssa PEAT (Pt) Brown with orange mottles, fibrous, wet 2 Ssa 2 10 Ssa SANDY SILT (ML) Blue-gray, very stiff, moist, with 15 Ssa 20% fine-grained sand Pp=2.5 tsf. Tv=0.41 tsf 20 Ssa SILTY GRAVEL (GM) Gray, moist, with fine to 40 medium sand Test boring completed at 21.5 feet on 10/05/2007 Slotted PVC installed to 19.6 feet Groundwater measrued at 14 feet on 10/06/2007 25 30 35

^{**} Station taken from BEESC Water Line Plan and Profile 12/20/07.



^{*} Elevations interpolated from an AutoCad drawing dated 6/27/07, Bristol Environmental and Engineering Services Corp.

Log of HOLE: DMA-24 Project: Kachemak Dr W & S Date Drilled: October 5, 2007 DMA Job No.: 4248.001 Contractor.: Hughes Drilling Depth (Feet) Sampling Interval Samples Logged By: N. Luzny Equipment: CME-75 140lb auto hammer GPS Coord.: N59°38'36.4" W151°28'03.1" (WGS 84) Sampler Type **Blow Counts** Elevation*: Station**: 82 feet Moisture Content % (.), Graphic Log 6 + 23PL & LL (⊢), Salinity (△) and Sampling Blows/ft (O) Other >80 P200 Tests Description SILTY GRAVELLY SAND (SM) FILL, brown, moist, Ag fine to medium-grained sand with 40% subrounded gravel to 2-inches Ag 14.2% Ssa PEAT (Pt) Brownish orange, saturated, fibrous O 2 10 2 15 SANDY SILT (ML) Blue-gray, hard, wet, with 15% 13 fine-grained sand and trace gravel to 14 0.75-inches 20 Ssa 22 28 36 Test boring completed at 22 feet on 10/5/2007 Slotted PVC installed to 19.5 feet Groundwater encountered at 5.5 feet while drilling 25 30 35

^{**} Station taken from BEESC Water Line Plan and Profile 12/20/07.



DUANE MILLER ASSOCIATES LLC

^{*} Elevations interpolated from an AutoCad drawing dated 6/27/07, Bristol Environmental and Engineering Services Corp.

Log of HOLE: DMA-25 Project: Kachemak Dr W & S Date Drilled: October 5, 2007 DMA Job No.: 4248.001 Logged By: N. Luzny Contractor.: Hughes Drilling Equipment: CME-75 140lb auto hammer Depth (Feet) Sampler Type GPS Coord.: N59°38'39.1" W151°27'54.8" (WGS 84) Blow Counts Elevation*: Station**: 84 feet Moisture Content % (a), 11 + 35Graphic Log PL & LL (⊢), Salinity (△) Frozen and Sampling Blows/ft (O) Other 40 >80 P200 **Tests** Description 0 SILTY SANDY GRAVEL (GM) FILL, brown, moist, Ag subrounded gravel to 0.75-inches with fine to medium-grained sand Ag PEAT (Pt) Brown to orange, amorphous Ssa 2 O 2 10 Ssa SILT (ML) Blue-gray, medium stiff, moist with 10% Pp=1.4 tsf, fine-grained sand and trace gravel Tv=0.28 tsf 15 SILT (ML) Blue-gray, very stiff, wet, sticky with 5% 56 1% 5 fine-grained sand and 10% subrounded to 6 subangular gravel to 0.75-inches 20 17 SANDY GRAVELLY SILT (ML) Blue-gray, hard, 21 33 moist, with 30% rounded gravel to 1-inch and 20% fine to medium-grained sand Test boring completed at 22 feet on 10/05/2007 Slotted PVC installed to 19.6 feet Groundwater encountered at 2 feet while drilling 25 30 35

^{**} Station taken from BEESC Water Line Plan and Profile 12/20/07.



DUANE MILLER ASSOCIATES LLC

^{*} Elevations interpolated from an AutoCad drawing dated 6/27/07, Bristol Environmental and Engineering Services Corp.

DUANE MILLER ASSOCIATES LLC Log of HOLE: DMA-26 Project: Kachemak Dr W & S Date Drilled: October 5, 2007 DMA Job No.: 4248.001 Contractor.: Hughes Drilling Equipment: CME-75 140lb auto hammer Logged By: N. Luzny Depth (Feet) Sampler Type GPS Coord.: N59°38'41.4" W151°27'43.3" (WGS 84) **Blow Counts** Elevation*: Station**: 86 feet Moisture Content % (a), PL & LL (⊢), Salinity (△) and Sampling Blows/ft (O) Other >80 P200 20 60 40 Tests Description Ag SANDY GRAVEL (GP-GM) FILL, Brown, loose, SA 7.32% subrounded gravel to 2-inches with 35-40% fine to medium-grained sand Ag ORGANIC SILT (OL) Orange brown with Peat (Pt) interbeds Ssa Pp=1.0 tsf. 4 Tv=0.18 tsf SILT (ML) Blue-gray, stiff, moist, micaceous with <15% fine-grained sand and trace gravel Pp=1.1 tsf, Tv=0.19 tsf 10 4 6 SANDY GRAVELLY SILT (ML) Blue-gray to gray, 15 hard, with 10-35% subangular to subrounded 23 25 gravel to 1.5-inches and 20-30% fine-grained 20 Ssa 21 35 Test boring completed at 22 feet on 10/05/2007 Slotted PVC installed to 19.6 feet Groundwater measured at 6.2 feet on 10/06/2007 25 30 35

^{**} Station taken from BEESC Water Line Plan and Profile 12/20/07.



Date: November 2008

^{*} Elevations interpolated from an AutoCad drawing dated 6/27/07, Bristol Environmental and Engineering Services Corp.

Project Permits and Approvals

The following pages are permitting authorization by the Governing agencies which outlines conditions

Under which the work shall be performed.

Corps of Engineers: Authorization documents Department of the Army Nationwide Permit #12 REGULATIONS

City of Homer Community Public Water System (PWS) PWS ID# 240456 Kachemak Drive Phase III Water Main Extension Conditional Construction Approval (PR# 9290)



DEPARTMENT OF THE ARMY

ALASKA DISTRICT, U.S. ARMY CORPS OF ENGINEERS REGULATORY DIVISION 4469 STERLING HIGHWAY, SUITE B SOLDOTNA, ALASKA 99669

March 15, 2016

Regulatory Division POA-2005-906

Mr. Carey Meyer City of Homer 3575 Heath Street Homer, Alaska 99603

Dear Mr. Meyer:

This letter responds to your February 22, 2016 application for a Department of the Army (DA) permit to discharge 5,150 cubic yards of dredged and fill material into 0.83 acre of waters of the United States to facilitate Phase III Water Improvements along Kachemak Drive. Proposed work in waters of the United States includes, installation of a 12-inch water main pipe (4,341-ft in length), and construction of four fire hydrant pads (32-ft in length by 22-ft in width, each). An additional four fire hydrant pads would be constructed in uplands, outside our regulatory jurisdiction. From the proposed wetland impacts, 0.76 acre is considered temporary (trenching and backfilling the water main), while 0.07 acre is considered permanent (pad fill for hydrants). It has been assigned file number POA-2005-906, Kachemak Bay, which should be referred to in all future correspondence with this office. The project site is located within Sections 14, 22, and 23, Township 6 S., Range 13 W., Seward Meridian; USGS Quad Map AK-Seldovia C-4; Latitude 59.6524° N., Longitude 151.4569° W.; Kenai Peninsula Borough; Homer, Alaska.

Based on our review of the information you furnished and available to us, we have determined the above project area contains waters of the United States (U.S.), including wetlands, under the Corps' regulatory jurisdiction.

DA permit authorization is necessary because your project will involve placement of dredged and fill material into waters of the U.S. under our regulatory jurisdiction.

Based upon the information and plans you provided, we hereby verify that the work described above, which would be performed in accordance with the enclosed plan (sheets 1-13), dated March 3, 2016, is authorized by Nationwide Permit (NWP) No. 12, Utility Line Activities. NWP No. 12 and its associated Regional and General Conditions

can be accessed at our website at:

www.poa.usace.army.mil/Missions/Regulatory/Permits.aspx. Regional Conditions D, E, F and G apply to your project. You must comply with all terms and conditions associated with NWP No. 12.

Further, please note General Condition 30 requires that you submit a signed certification to us once any work and required mitigation are completed. Enclosed is the form for you to complete and return to us.

The Nationwide Permits are due to expire on March 18, 2017, unless the NWP is modified, reissued, or revoked. It is incumbent upon you to remain informed of changes to the NWPs. Nothing in this letter excuses you from compliance with other Federal, State, or local statutes, ordinances, or regulations.

Please contact me via email at Michael.t.setering@usace.army.mil, by mail at the address above, or by phone at (907) 753-2627 if you have questions. For more information about the Regulatory Program, please visit our website at http://www.poa.usace.army.mil/Missions/Regulatory.aspx.

Sincerely,

Michael Setering Regulatory Specialist

Michael String

Enclosures

CF:

ADEC: james.rypkema@alaska.gov ADEC: shannon.dewandel@alaska.gov ADF&G: ginny.litchfield@alaska.gov

ADNR-DMLW: michael.walton@alaska.gov ADNR-DMLW: dnr.scro.dcom.cor@alaska.gov ANDR-DPOR: pamela.russell@alaska.gov

SHPO: oha.revcomp@alaska.gov USEPA: aooaru.r10@epamail.epa.gov NMFS: hcd.anchorage@noaa.gov USFWS: FW7_POANotices@fws.gov

KPB-KRC: KenaiRivCenter@borough.kenai.ak.us

ENCLOSURE



Permit Number: POA-2005-906

Name of Permittee: Mr. Carey Meyer

Date of Issuance: March 15, 2016

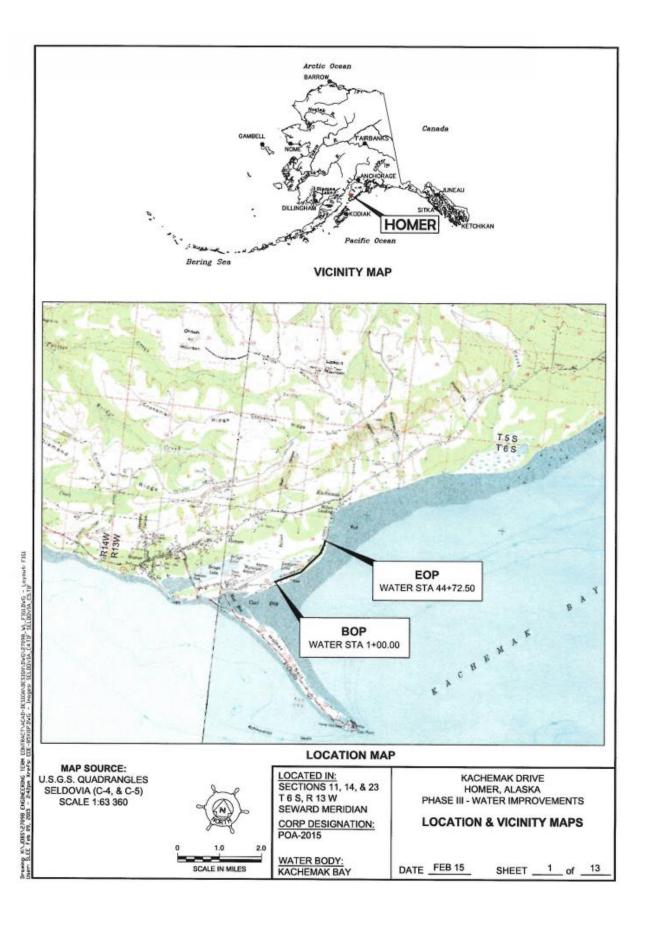
Upon completion of the activity authorized by this permit and any mitigation required by the permit, sign this certification and return it to Mr. Michael Setering at the following address:

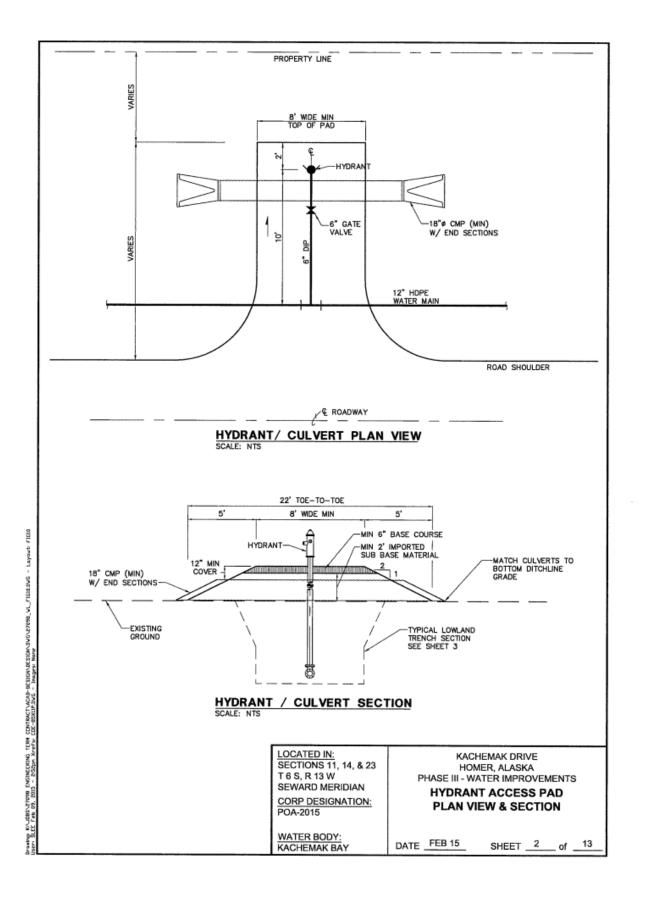
U.S. Army Corps of Engineers Alaska District Regulatory Division 44669 Sterling Highway, Suite B Soldotna, Alaska 99669-7915

Please note that your permitted activity is subject to a compliance inspection by an U.S. Army Corps of Engineers representative. If you fail to comply with this permit you are subject to permit suspension, modification, or revocation.

I hereby certify that the work authorized by the above-referenced permit has been completed in accordance with the terms and conditions of the said permit, and required mitigation was completed in accordance with the permit conditions.

Signature of Permittee	Date





FH69

CAGINCERING TERM CONTRACTIVICAD-DESIGNINGSTON/BYDY-27099_VL_F16A,BVG --PHS - 1049on Xivefin COE-85X19FBVG - Inspesi None

T 6 S, R 13 W SEWARD MERIDIAN CORP DESIGNATION: POA-2015

WATER BODY: KACHEMAK BAY

KACHEMAK DRIVE HOMER, ALASKA PHASE III - WATER IMPROVEMENTS

TYPICAL WATER LINE TRENCH **EXCAVATION AND** TYPICAL LOWLAND PIPE DETAIL

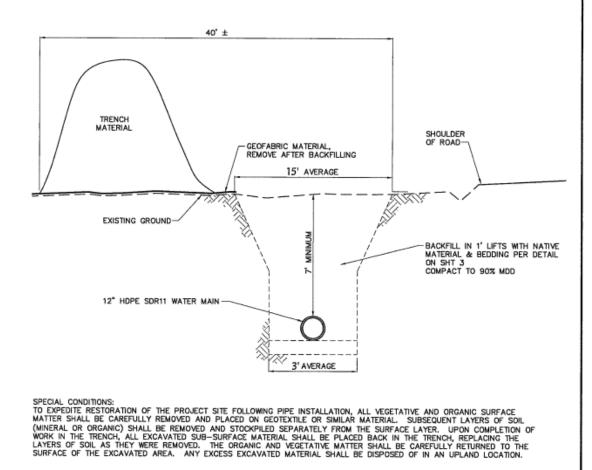
DATE FEB 15 SHEET _

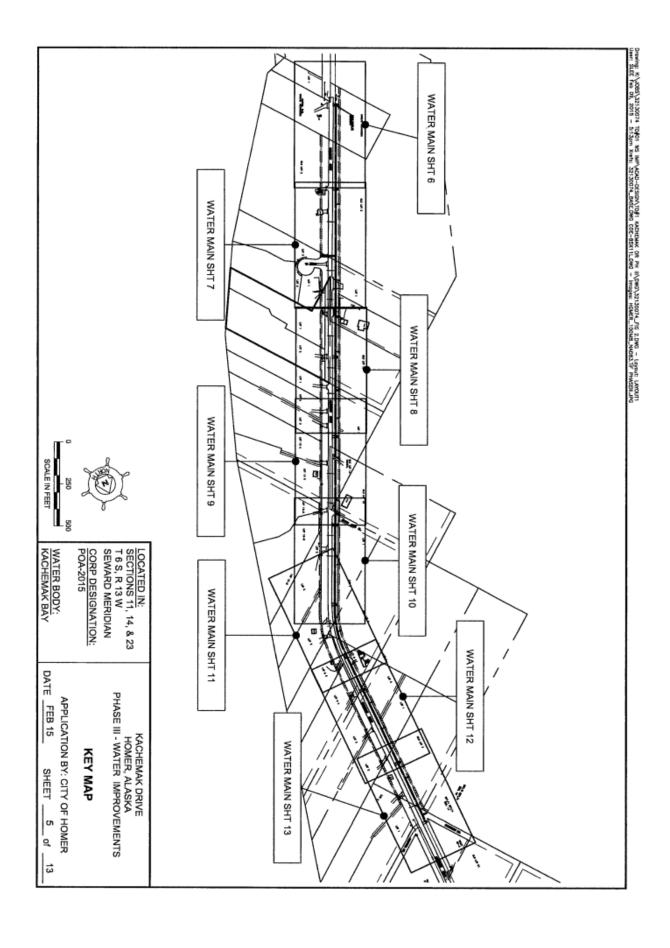
LOCATED IN: SECTIONS 11, 14, & 23

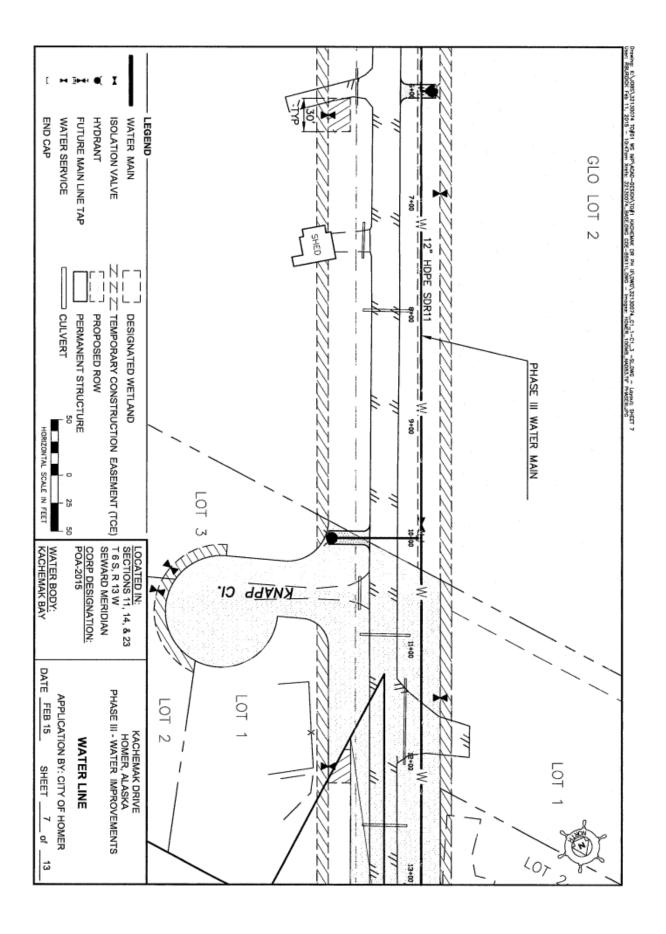
TYPICAL WATER LINE TRENCH EXCAVATION

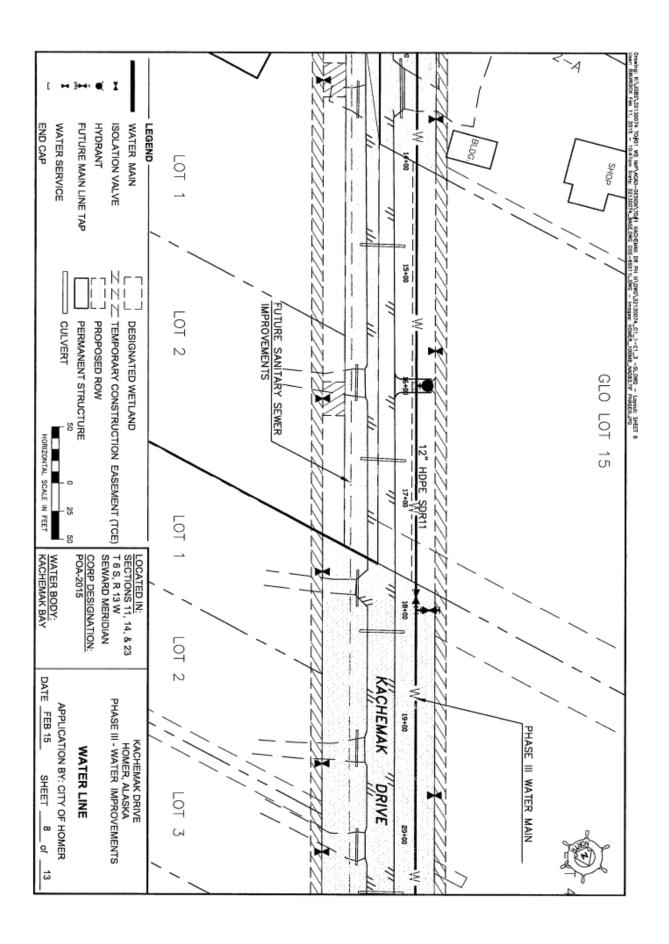
SCALE: NTS

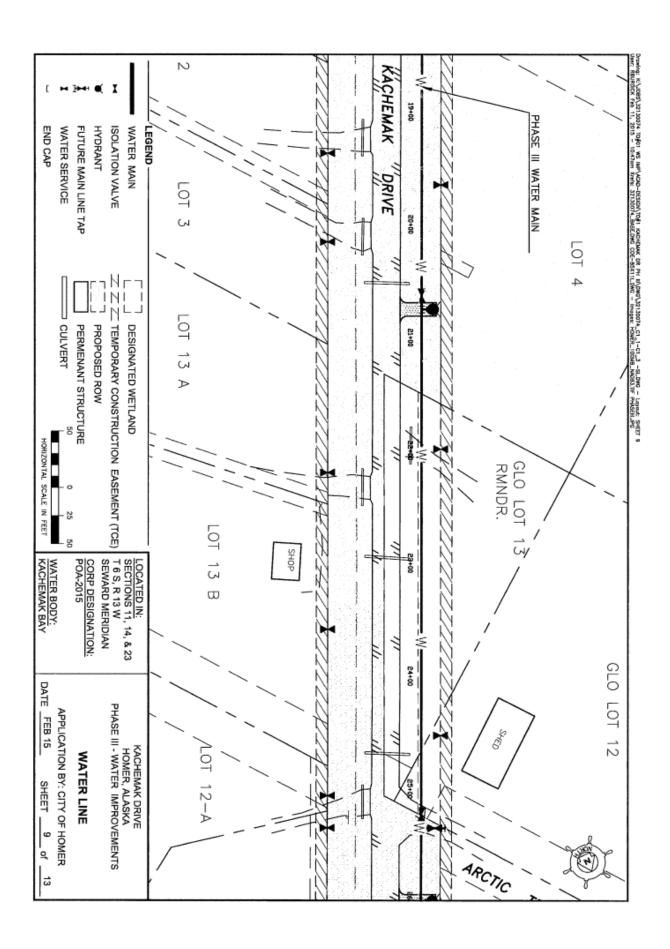
13 _ of _

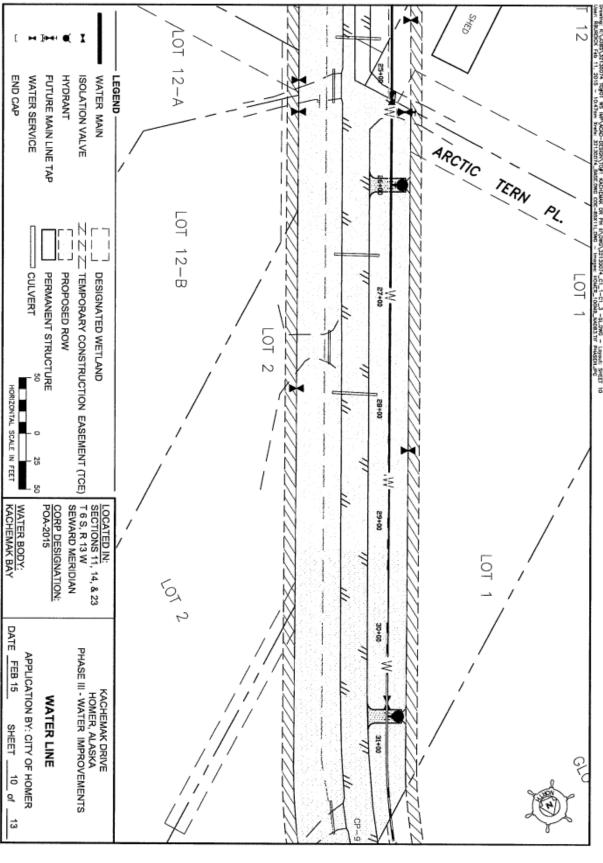


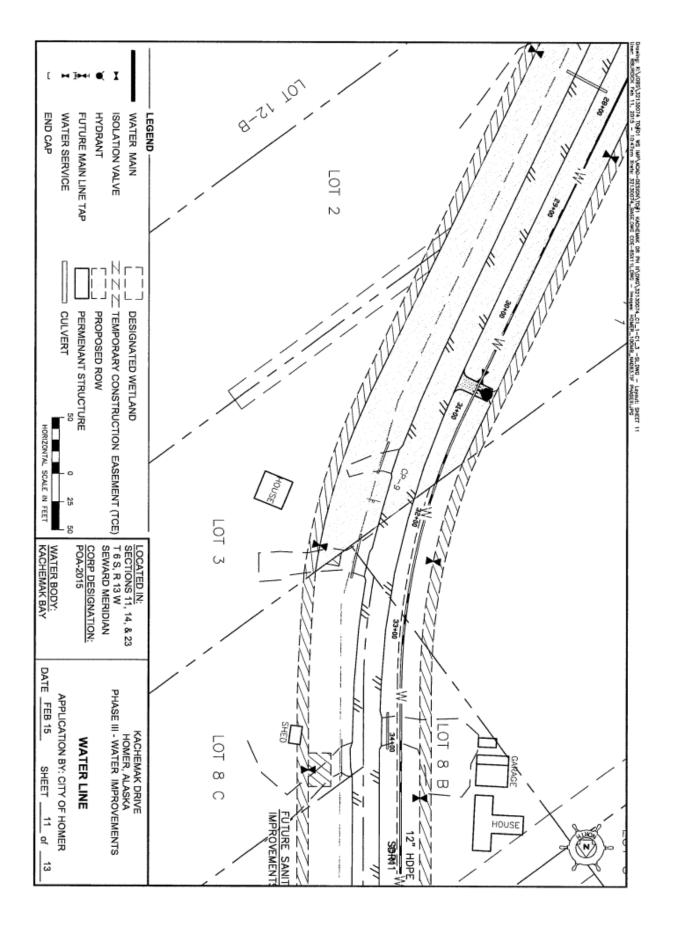


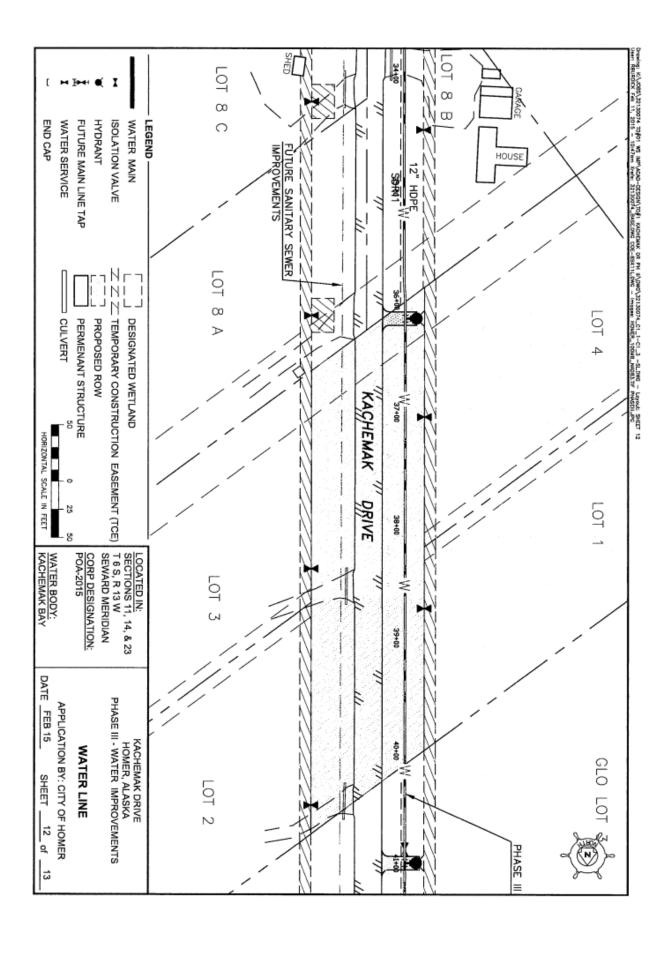


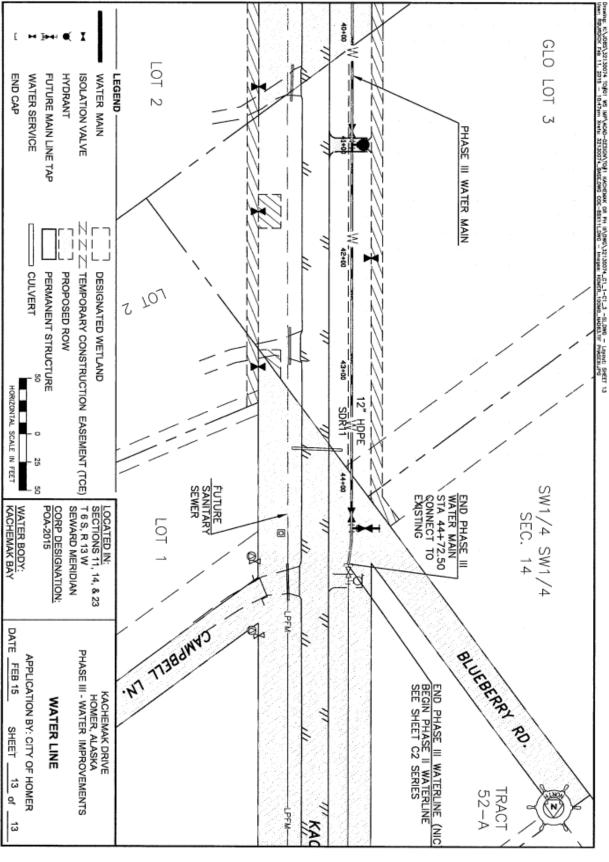














Department of Environmental Conservation

DIVISION OF ENVIRONMENTAL HEALTH
Drinking Water Program
Kenai/Southeast Area Office

43335 Kalifornsky Beach Rd. Suite 11 Soldotna, Alaska 99669 Main: 907.262.5210 Fax: 907.262.2294

August 4, 2014

Mr. Carey Meyer Public Works Director City of Homer 3575 Heath Street Homer, AK 99603

RE:

City of Homer Community Public Water System (PWS) PWS ID# 240456

Kachemak Drive Phase III Water Main Extension Conditional Construction Approval (PR# 9290)

Dear Mr. Meyer:

We have reviewed the plans, specifications and the request for approval to construct the Kachemak Drive Phase III water main extension. This information was received in this office on June 20, 2014 and additional information received August 1, 2014. Conditional construction approval is granted. This letter and the enclosed "Construction and Operation Certificate" are the written approval required by State of Alaska Drinking Water Regulations, 18 AAC 80. Please use the noted public water system identification (PWSID#) and project numbers (PR#) to identify this project.

Please review the conditions listed below and assure compliance with all conditions before beginning construction. Interim and Final Approvals to Operate <u>may be withheld</u> unless all conditions are met.

Project-specific conditions of approval:

The water system extension is 4,373 linear feet and is designed to serve thirty four service
connections. The submittal provided August 1, 2014 calculated an additional peak demand to the
system of 13 gpm. The water line was designed for a 3,000 gpm fire flow. This approval is limited to
the construction of:

WATER MAIN EXTENSION SUMMARY		
CLASSIFICATION	COMMUNITY	PWSID# 240456
PIPING	4,373 LINEAR FT	12 INCH HDPE SDR11
ADDITIONAL SYSTEM PEAK DEMAND	13 GPM	BASED ON 34 ADDITIONAL SERVICE CONNECTIONS

 Note 1 for the Water Service Connect detail on Sheet C3.1 specifies that a Mueller H-15214 draining curb stop will be used. As stated in the August 1, 2014 submittal, final plan sets should be changed to specify a Mueller H-15204 (a similar model curb stop without a drain) or approved equal.

General conditions of approval:

- 1. A minimum separation distance of 10 feet must be maintained between all drinking water lines and wastewater sewer lines and appurtenances (including sewer manholes), as measured from the closest edges of each component. If this distance cannot be met, a separation distance waiver must be requested and granted prior to construction. Record drawings for the project must include details adequate to confirm that the prescriptive requirements for crossings of water and sewer mains have been met during construction.
- 2. All materials used in this water system that will contact potable water must be approved for that use by NSF International, Underwriter Laboratories (UL), or an equivalent organization that evaluates products using NSF/ANSI Standard 61. In the absence of NSF/ANSI 61 certification, materials must be approved by the Department on a case-by-case basis prior to construction.
- Deviations from approved plans which affect capacity, flow, operation, major design of units, materials of major system components, or separation distances, must be approved in writing by this office prior to their implementation.
- 4. Water containing chlorine used during the disinfection process must be properly managed. This will require chlorine neutralization or disposal in an appropriate wastewater treatment and disposal system. This water must be managed to avoid adverse effects on groundwater, surface water or the environment.
- 5. This approval is valid for two years from the date of this letter. If the applicant fails to construct, install, alter, renovate, or improve the water system within two years, the approval is void and the plans and information required under 18 AAC 80.210(a) must be resubmitted for Department review and approval. If during the two-year period, the site conditions, plans, and information, and requirements of this chapter do not change, and if the applicant pays the fee required by 18 AAC 80.1910(b)(12), the Department may grant the applicant an extension.
- This approval is also contingent upon your receipt of any other state, federal or local authorizations
 which are required for your project. You are required to obtain all other necessary authorizations
 before proceeding with your project.

Interim Approval to Operate request requirements:

Upon completion of construction and *prior to serving water*, provide the following information with a request for Interim Approval to Operate:

The project engineer must certify:

- construction has been completed under the direction of a registered engineer in accordance with the DEC approved plans;
- only lead-free and NSF/ANSI 61 listed materials were used in the construction and only NSF/ANSI 60 listed chemicals are provided for water treatment;
- the system was successfully pressure tested;
- the system was properly disinfected and verified to be free of Coliform bacteria in accordance
 with applicable AWWA standards or methods approved by the department prior to
 construction. Please assure that the proper number of Coliform samples, taken at the proper
 locations over the appropriate time period, have been completed and the results submitted to the
 department as verification;

X. Submittals

(Due within two (2) days after bid)

CONTRACTOR'S QUESTIONNAIRE

NOTICE TO CONTRACTORS

Prior to Award, this questionnaire shall be completely filled out for the project upon which a bid is submitted.

A.	FINANCIAL			
	1.	Have you ever failed to complete a contract on account of insufficient resources?		
	2.	Have you made sufficient arrangements to finance the work?		
		If so, with whom and for what amount?		
		If so, with what company?		
B.	EQU	JIPMENT		
	1.	Set forth below the equipment which you have available for the work which you propose to do. This equipment should be listed in detail (General statements will not be accepted).		
	NO.	ITEMS TYPE SIZE/CAPACITY PRESENT VALUE		
	2.	Do you thoroughly understand that in case the contract is awarded to you, you may be required to use any or all of the equipment listed on the work covered by this contract?		
	3.	Do you propose to purchase any equipment for use on this project should contract be awarded to you? If so, state type, quantity and approximate cost.		
	4.	Do you propose to rent any equipment for this work? If so, state type, quantity, and reason for renting		
	5.	Have you made contracts or received firm offers for all necessary materials with the prices used in preparing your proposal?		

	Approximate value \$ Percent of total bid
F	PERIENCE
	How many years has your organization been in business as a general contractor under your present business name?
	How many years experience in construction work has your organization had:
	a) as a General Contractor b) as a Subcontractor
	List previous contracts you have completed of a similar nature to this proposed contract:
	a)
	List projects which you currently have under contract or expect to have under contract during the life of this contract:
	List projects which you currently have under contract or expect to have under contract during
	List projects which you currently have under contract or expect to have under contract during the life of this contract: a)
	List projects which you currently have under contract or expect to have under contract during the life of this contract: a) b) c)
	List projects which you currently have under contract or expect to have under contract during the life of this contract: a)
	List projects which you currently have under contract or expect to have under contract during the life of this contract: a)
	List projects which you currently have under contract or expect to have under contract during the life of this contract: a)

JOINT VENTURE

- 1. Joint Venture Agreement
- 2. A statement signed by authorized person of each party to the joint venture.
- 3. Each party to the joint venture shall comply with the requirements for corporations, partnerships or individuals, as applicable.

PARTNERSHIP

- 1. Partnership Agreement
- 2. Statement signed by all partners granting authority to the partner signing the Bid.

CORPORATIONS

- 1. Articles of Incorporation **most recent.**
- 2. By-Laws **most recent.**
- 3. Resolution of the Board of Directors granting the authority to the officer signing on behalf of the corporation.

XI. State of Alaska Labor Rates and Federal Davis-Bacon Labor Rates

General Decision Number: AK160001 07/29/2016 AK1

Superseded General Decision Number: AK20150001

State: Alaska

Construction Types: Building and Heavy

Counties: Alaska Statewide.

BUILDING AND HEAVY CONSTRUCTION PROJECTS (does not include residential construction consisting of single family homes and apartments up to and including 4 stories)

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.15 for calendar year 2016 applies to all contracts subject to the Davis-Bacon Act for which the solicitation was issued on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.15 (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2016. The EO minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Modification	Number	Publication Dat	te
0		01/08/2016	
1		01/22/2016	
2		02/26/2016	
3		03/04/2016	
4		04/01/2016	
5		04/15/2016	
6		04/29/2016	
7		06/10/2016	
8		06/17/2016	
9		07/01/2016	
10		07/15/2016	
11		07/29/2016	

ASBE0097-001 01/01/2016

Rates Fringes

Asbestos Workers/Insulator (includes application of all insulating materials protective coverings, coatings and finishings to all types of mechanical

systems).....\$ 37.38

ASBE0097-002 01/01/2016

Rates Fringes

HAZARDOUS MATERIAL HANDLER (includes preparation,

wetting, stripping, removal scrapping, vacuming, bagging, and disposing of all insulation materials, whether they contain asbestos or not, from mechanical systems)......\$ 37.38

rrom mechanical systems).......\$ 37.38 19.55

Rates Fringes

BOIL0502-002 01/01/2013

Rates Fringes

BOILERMAKER.....\$ 42.97 26.60

BRAK0001-002 06/30/2016

	3
Bricklayer, Blocklayer, Stonemason, Marble Mason,	
Tile Setter, Terrazzo Worker\$ 39.81	19.01
Tile & Terrazzo Finisher\$ 33.94	19.01

CARP1501-001 09/01/2014

Rates Fringes
MILLWRIGHT.....\$35.74 21.29

CARP2520-003 09/01/2014

	Rates	Fringes
Diver		
Stand-by\$	41.65	23.34
Tender\$	40.65	23.34
Working\$	81.45	23.34
Piledriver		
Carpenter\$	37.34	23.34
Piledriver; Skiff Operator		
and Rigger\$	37.34	23.34
Sheet Stabber\$	36.59	22.59
Welder\$	42.90	23.34

DEPTH PAY PREMIUM FOR DIVERS BELOW WATER SURFACE:

50-100 feet \$1.00 per foot 101 feet and deeper \$2.00 per foot

ENCLOSURE PAY PREMIUM WITH NO VERTICAL ASCENT: 5-50 FEET \$1.00 PER FOOT/DAY 51-100 FEET \$2.00 PER FOOT/DAY 101 FEET AND ABOVE \$3.00 PER FOOT/DAY

SATURATION DIVING:

The standby rate applies until saturation starts. The saturation diving rate applies when divers are under pressure continuously until work task and decompression are complete. the diver rate shall be paid for all saturation hours.

WORK IN COMBINATION OF CLASSIFICATIONS:

Employees working in any combination of classifications within the diving crew (except dive supervisor) in a shift are paid in the classification with the highest rate for that shift.

CARP4059-001	09/01/	2014
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	Rates	Fringes
CARPENTER CarpenterLather/Drywall Applicator	•	23.34 22.59
ELEC1547-004 04/01/2016		
	Rates	Fringes
CABLE SPLICER	•	3%+24.96 3%+24.36

ELEC1547-005 04/01/2016

Line Construction

1	Rates	Fringes
CABLE SPLICER\$ Linemen (Including Equipment	52.27	3%+30.33
Operators, Technician)\$	50.52	3%+30.33
Powderman\$	48.52	3%+30.33
TREE TRIMMER\$	35.84	3%+22.56

ELEV0019-002 01/01/2016

		Rates	Fringes
ELEVATOR	MECHANIC	\$ 52.50	29.985+a+b

FOOTNOTE: a. Employer contributes 8% of the basic hourly rate for over 5 year's service and 6% of the basic hourly rate for 6 months to 5 years' of service as vacation paid credit. b. Eight paid holidays:

New Year's Day; Memorial Day; Independence Day;

Labor Day; Veteran's Day; Thanksgiving Day; Friday after Thanksgiving and Christmas Day

ENGI0302-002 04/01/2016

F	Rates	Fringes
POWER EQUIPMENT OPERATOR		
GROUP 1\$	40.03	22.10
GROUP 1A\$	41.79	22.10
GROUP 2\$	39.26	22.10
GROUP 3\$	38.54	22.10
GROUP 4\$	32.33	22.10
TUNNEL WORK		
GROUP 1\$	44.03	22.10

GROUP	1A\$	45.97	22.10
GROUP	2\$	43.19	22.10
GROUP	3\$	42.39	22.10
GROUP	4\$	35.56	22.10

POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Asphalt Roller: Breakdown, Intermediate, and Finish; Back Filler; Barrier Machine (Zipper); Beltcrete with power pack and similar conveyors; Bending Machine; Boat Coxwains; Bulldozers; Cableways, Highlines and Cablecars; Cleaning Machine; Coating Machine; Concrete Hydro Blaster; Cranes-45 tons and under or 150 foot boom and under (including jib and attachments): (a) Hydralifts or Transporters, all track or truck type, (b) Derricks; Crushers; Deck Winches-Double Drum; Ditching or Trenching Machine (16 inch or over); Drilling Machines, core, cable, rotary and exploration; Finishing Machine Operator, Concrete Paving, Laser Screed, Sidewalk, Curb and Gutter Machine; Helicopters; Hover Craft, Flex Craft, Loadmaster, Air Cushion, All Terrain Vehicle, Rollagon, Bargecable, Nodwell, and Snow Cat; Hydro Ax: Feller Buncher and similar; Loaders (2 1/2 yards through 5 yards, including all attachments): Forklifts with telescopic boom and swing attachment, Overhead and front end, 2 1/2 yards through 5 yards, Loaders with forks or pipe clamps; Loaders, elevating belt type, Euclid and similar types; Mechanics, Bodyman; Micro Tunneling Machine; Mixers: Mobile type w/hoist combination; Motor Patrol Grader; Mucking Machines: Mole, Tunnel Drill, Horizontal/Directional Drill Operator, and/or Shield; Operator on Dredges; Piledriver Engineers, L. B. Foster, Puller or similar Paving Breaker; Power Plant, Turbine Operator, 200 k.w. and over (power plants or combination of power units over 300 k.w.); Scrapers-through 40 yards; Service Oiler/Service Engineer; Sidebooms-under 45 tons; Shot Blast Machine; Shovels, Backhoes, Excavators with all attachments, and Gradealls (3 yards and under), Spreaders, Blaw Knox, Cedarapids, Barber Greene, Slurry Machine; Sub-grader (Gurries, Reclaimer, and similar types); Tack tractor; Truck mounted Concrete Pumps, Conveyor, Creter; Water Kote Machine; Unlicensed off road hauler

GROUP 1A: Camera/Tool/Video Operator (Slipline), Cranes-over 45 tons or 150 foot (including jib and attachments): (a) Clamshells and Draglines (over 3 yards), (b) Tower cranes; Licensed Water/Waste Water Treatment Operator; Loaders over 5 yds.; Certified Welder, Electrical Mechanic, Camp Maintenance Engineer, Mechanic (over 10,000 hours); Motor Patrol Grader, Dozer, Grade Tractor, Roto-mill/Profiler (finish: when finishing to final grade and/or to hubs, or for asphalt); Power Plants: 1000 k.w. and over; Quad; Screed; Shovels, Backhoes, Excavators with all attachments (over 3 yards), Sidebooms over 45 tons; Slip Form Paver, C.M.I. and similar types; Scrapers over 40 yards;

GROUP 2: Boiler-fireman; Cement Hog and Concrete Pump Operator; Conveyors (except as listed in group 1); Hoist on steel erection; Towermobiles and Air Tuggers; Horizontal/Directional Drill Locator; Licensed Grade Technician; Loaders, (i.e., Elevating Grader and Material Transfer Vehicle); Locomotives: rod and geared engines; Mixers; Screening, Washing Plant; Sideboom (cradling rock drill regardless of size); Skidder; Trencing Machine under 16 inches; Waste/ Waste Water Treatment Operator.

GROUP 3: "A" Frame Trucks, Deck Winches: single power drum; Bombardier (tack or tow rig); Boring Machine; Brooms-power; Bump Cutter; Compressor; Farm tractor; Forklift, industrial type; Gin Truck or Winch Truck with poles when used for hoisting; Grade Checker and Stake Hopper; Hoist, Air Tuggers, Elevators; Loaders: (a) Elevating-Athey, Barber Green and similar types (b) Forklifts or Lumber Carrier (on construction job site) (c) Forklifts with Tower (d) Overhead and Front-end, under 2 1/2 yds. Locomotives:Dinkey (air, steam, gas and electric) Speeders; Mechanics (light duty); Oil, Blower Distribution; Post Hole Diggers, mechanical; Pot Fireman (power agitated); Power Plant, Turbine Operator, under 200 k.w.; Pumps-water; Roller-other than Plantmix; Saws, concrete; Skid Steer with all attachments; Straightening Machine; Tow Tractor

GROUP 4: Rig Oiler/Crane Assistant Engineer; Parts and Equipment Coordinator; Swamper (on trenching machines or shovel type equipment); Spotter; Steam Cleaner; Drill Helper.

FOOTNOTE: Groups 1-4 receive 10% premium while performing tunnel or underground work. Rig Oiler/Crane Assistant Engineer shall be required on cranes over 85 tons or over 100 feet of boom.

IRON0751-003 07/01/2015

	Rates	Fringes
Ironworkers: BRIDGE, STRUCTURAL, ORNAMENTAL, REINFORCING MACHINERY MOVER, RIGGER, SHEETER, STAGE RIGGER,		
BENDER OPERATOR	\$ 32.75 \$ 33.75 \$ 33.49	28.05 28.05 28.05 28.05
HELICOPTER, TOWER	\$ 37.25 	28.05

LABO0341-005 04/01/2016

Rat	es Fr	inges
Laborers: South of the 63rd Parallel & West of Longitude		
138 Degrees		
GROUP 1\$ 30	.00	26.17
GROUP 2\$ 31	.00	26.17
GROUP 3\$ 31 GROUP 3A\$ 35		26.17 26.17

GROUP 3B\$ 38.72	23.46
GROUP 4\$ 19.57	26.17
TUNNELS, SHAFTS, AND RAISES	
GROUP 1\$ 33.00	26.17
GROUP 2\$ 34.10	26.17
GROUP 3\$ 35.09	26.17
GROUP 3A\$ 38.70	26.17
GROUP 3B\$ 42.59	23.46

LABORERS CLASSIFICATIONS

GROUP 1: Asphalt Workers (shovelman, plant crew); Brush Cutters; Camp Maintenance Laborer; Carpenter Tenders; Choke Setters, Hook Tender, Rigger, Signalman; Concrete Laborer (curb and gutter, chute handler, grouting, curing, screeding); Crusher Plant Laborer; Demolition Laborer; Ditch Diggers; Dump Man; Environmental Laborer (asbestos (limited to nonmechanical systems), hazardous and toxic waste, oil spill); Fence Installer; Fire Watch Laborer; Flagman; Form Strippers; General Laborer; Guardrail Laborer, Bridge Rail Installers; Hydro-Seeder Nozzleman; Laborers (building); Landscape or Planter; Laying of Decorative Block (retaining walls, flowered decorative block 4 feet and below); Material Handlers; Pneumatic or Power Tools; Portable or Chemical Toilet Serviceman; Pump Man or Mixer Man; Railroad Track Laborer; Sandblast, Pot Tender; Saw Tenders; Scaffold Building and Erecting; Slurry Work; Stake Hopper; Steam Point or Water Jet Operator; Steam Cleaner Operator; Tank Cleaning; Utiliwalk, Utilidor Laborer and Conduit Installer; Watchman (construction projects); Window Cleaner

GROUP 2: Burning and Cutting Torch; Cement or Lime Dumper or Handler (sack or bulk); Choker Splicer; Chucktender (wagon, airtrack and hydraulic drills); Concrete Laborers (power buggy, concrete saws, pumpcrete nozzleman, vibratorman); Culvert Pipe Laborer; Cured in place Pipelayer; Environmental Laborer (marine work, oil spill skimmer operator, small boat operator); Foam Gun or Foam Machine Operator; Green Cutter (dam work); Gunnite Operator; Hod Carriers; Jackhammer or Pavement Breakers (more than 45 pounds); Laying of Decorative Block (retaining walls, flowered decorative block above 4 feet); Mason Tender and Mud Mixer (sewer work); Pilot Car; Plasterer, Bricklayer and Cement Finisher Tenders; Power Saw Operator; Railroad Switch Layout Laborer; Sandblaster; Sewer Caulkers; Sewer Plant Maintenance Man; Thermal Plastic Applicator; Timber Faller, chain saw operator, filer; Timberman

GROUP 3: Alarm Installer; Bit Grinder; Guardrail Machine Operator; High Rigger and tree topper; High Scaler; Multiplate; Slurry Seal Squeegee Man

GROUP 3A: Asphalt Raker, Asphalt Belly dump lay down; Drill Doctor (in the field); Drillers (including, but not limited to, wagon drills, air track drills; hydraulic drills); Powderman; Pioneer Drilling and Drilling Off Tugger (all type drills); Pipelayers

GROUP 3B: Grade checker (setting or transfering of grade

marks, line and grade)

GROUP 4: Final Building Cleanup

TUNNELS, SHAFTS, AND RAISES CLASSIFICATIONS

GROUP 1: Brakeman; Muckers; Nippers; Topman and Bull Gang; Tunnel Track Laborer

GROUP 2: Burning and Cutting Torch; Concrete Laborers; Jackhammers; Nozzleman, Pumpcrete or Shotcrete.

GROUP 3: Miner; Retimberman

GROUP 3A: Asphalt Raker, Asphalt Belly dump lay down; Drill Doctor (in the field); Drillers (including, but not limited to, wagon drills, air track drills; hydraulic drills); Powderman; Pioneer Drilling and Drilling Off Tugger (all type drills); Pipelayers.

GROUP 3B: Grade checker (setting or transfering of grade marks, line and grade)

Tunnel shaft and raise rates only apply to workers regularly employed inside a tunnel portal or shaft collar.

^{*} LABO0942-001 04/01/2016

1	Rates	Fringes
Laborers: North of the 63rd		
Parallel & East of Longitude		
138 Degrees		
GROUP 1\$	30.00	26.17
GROUP 2\$	31.00	26.17
GROUP 3\$	31.90	26.17
GROUP 3A\$	35.18	26.17
GROUP 3B\$	38.72	23.46
GROUP 4\$	19.57	26.17
TUNNELS, SHAFTS, AND RAISES		
GROUP 1\$	33.00	26.17
GROUP 2\$	34.10	26.17
GROUP 3\$	35.09	26.17
GROUP 3A\$	38.70	26.17
GROUP 3B\$	42.59	23.46

LABORERS CLASSIFICATIONS

GROUP 1: Asphalt Workers (shovelman, plant crew); Brush Cutters; Camp Maintenance Laborer; Carpenter Tenders; Choke Setters, Hook Tender, Rigger, Signalman; Concrete Laborer(curb and gutter, chute handler, grouting, curing, screeding); Crusher Plant Laborer; Demolition Laborer; Ditch Diggers; Dump Man; Environmental Laborer (asbestos (limited to nonmechanical systems), hazardous and toxic waste, oil spill); Fence Installer; Fire Watch Laborer; Flagman; Form Strippers; General Laborer; Guardrail Laborer, Bridge Rail Installers; Hydro-Seeder Nozzleman; Laborers (building); Landscape or Planter; Laying of

Decorative Block (retaining walls, flowered decorative block 4 feet and below); Material Handlers; Pneumatic or Power Tools; Portable or Chemical Toilet Serviceman; Pump Man or Mixer Man; Railroad Track Laborer; Sandblast, Pot Tender; Saw Tenders; Scaffold Building and Erecting; Slurry Work; Stake Hopper; Steam Point or Water Jet Operator; Steam Cleaner Operator; Tank Cleaning; Utiliwalk, Utilidor Laborer and Conduit Installer; Watchman (construction projects); Window Cleaner

GROUP 2: Burning and Cutting Torch; Cement or Lime Dumper or Handler (sack or bulk); Choker Splicer; Chucktender (wagon, airtrack and hydraulic drills); Concrete Laborers (power buggy, concrete saws, pumpcrete nozzleman, vibratorman); Culvert Pipe Laborer; Cured in place Pipelayer; Environmental Laborer (marine work, oil spill skimmer operator, small boat operator); Foam Gun or Foam Machine Operator; Green Cutter (dam work); Gunnite Operator; Hod Carriers; Jackhammer or Pavement Breakers (more than 45 pounds); Laying of Decorative Block (retaining walls, flowered decorative block above 4 feet); Mason Tender and Mud Mixer (sewer work); Pilot Car; Plasterer, Bricklayer and Cement Finisher Tenders; Power Saw Operator; Railroad Switch Layout Laborer; Sandblaster; Sewer Caulkers; Sewer Plant Maintenance Man; Thermal Plastic Applicator; Timber Faller, chain saw operator, filer; Timberman

GROUP 3: Alarm Installer; Bit Grinder; Guardrail Machine Operator; High Rigger and tree topper; High Scaler; Multiplate; Slurry Seal Squeegee Man

GROUP 3A: Asphalt Raker, Asphalt Belly dump lay down; Drill Doctor (in the field); Drillers (including, but not limited to, wagon drills, air track drills; hydraulic drills); Powderman; Pioneer Drilling and Drilling Off Tugger (all type drills); Pipelayers

GROUP 3B: Grade checker (setting or transfering of grade marks, line and grade)

GROUP 4: Final Building Cleanup

TUNNELS, SHAFTS, AND RAISES CLASSIFICATIONS

GROUP 1: Brakeman; Muckers; Nippers; Topman and Bull Gang; Tunnel Track Laborer

GROUP 2: Burning and Cutting Torch; Concrete Laborers; Jackhammers; Nozzleman, Pumpcrete or Shotcrete.

GROUP 3: Miner; Retimberman

GROUP 3A: Asphalt Raker, Asphalt Belly dump lay down; Drill Doctor (in the field); Drillers (including, but not limited to, wagon drills, air track drills; hydraulic drills); Powderman; Pioneer Drilling and Drilling Off Tugger (all type drills); Pipelayers.

GROUP 3B: Grade checker (setting or transfering of grade marks, line and grade)

Tunnel	shaft	and	raise	rates	only	apply	to	workers	regularly
employe	d ins:	ide a	a tunne	el port	al or	shaft	. c	ollar.	

PAIN1959-001 09/01/2015

NORTH OF THE 63RD PARALLEL

	Rates	Fringes
PAINTER		
BRUSH/ROLLER PAINT OR WALL		
COVERER	.\$ 32.07	20.01
TAPING, TEXTURING,		
STRUCTURAL PAINTING,		
SANDBLASTING, POT TENDER,		
FINISH METAL, SPRAY,		
BUFFER OPERATOR, RADON		
MITIGATION, LEAD BASED		
PAINT ABATEMENT, HAZARDOUS		
MATERIAL HANDLER	.\$ 32.59	20.01

PAIN1959-002 02/01/2016

SOUTH OF THE 63RD PARALLEL

	Rates	Fringes
PAINTER Brush, Roller, Sign, Paper and Vinyl, Swing Stage, Hand Taper/Drywall,		
Structural Steel, and Commercial Spray	.\$ 30.31	19.76
Machine Taper/Drywall Spray-Sand/Blast, Epoxy		19.76
and Tar Applicator	.\$ 31.66 	19.76

PAIN1959-003 01/01/2016

NORTH OF THE 63RD PARALLEL

GLAZIER.....\$ 37.88

	Rates	Fringes
GLAZIER	\$ 37.88	20.74
PAIN1959-004 07/01/2012		
	Rates	Fringes
FLOOR LAYER: Carpet	\$ 30.09	13.02
PAIN1959-006 01/01/2016		
SOUTH OF THE 63RD PARALLEL		
	Rates	Fringes

20.74

PLAS0867-001 02/01/2016		
	Rates	Fringes
PLASTERER		
North of the 63rd parallel. South of the 63rd parallel.		20.41
PLAS0867-004 02/01/2016		
	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER North of the 63rd parallel.		20.41
South of the 63rd parallel.	.\$ 37.00 	20.41
* PLUM0262-002 07/01/2016		
East of the 141st Meridian		
	Rates	Fringes
Plumber; Steamfitter	.\$ 37.52	26.47
PLUM0367-002 07/01/2015		
South of the 63rd Parallel		
	Rates	Fringes
Plumber; Steamfitter	.\$ 40.00	21.70
* PLUM0375-002 07/01/2016		
North of the 63rd Parallel		
	Rates	Fringes
Plumber; Steamfitter	.\$ 40.81	24.25
PLUM0669-002 01/01/2015		
	Rates	Fringes
SPRINKLER FITTER		22.57
SHEE0023-003 07/01/2015		
South of the 63rd Parallel		
	Rates	Fringes
SHEET METAL WORKER		22.38
SHEE0023-004 07/01/2015		
North of the 63rd Parallel		
	Rates	Fringes

SHEET METAL WORKER.....\$ 45.93 21.44

TEAM0959-003 09/01/2015

		Rates	Fringes
TRUCK DRIV	ER		
GROUP	1	\$ 39.29	21.17
GROUP	1A	\$ 40.56	21.17
GROUP	2	\$ 38.03	21.17
GROUP	3	\$ 37.21	21.17
GROUP	4	\$ 36.63	21.17
GROUP	5	\$ 35.87	21.17

GROUP 1: Semi with Double Box Mixer; Dump Trucks (including rockbuggy and trucks with pups) over 40 yards up to and including 60 yards; Deltas, Commanders, Rollogans and similar equipment when pulling sleds, trailers or similar equipment; Boat Coxswain; Lowboys including attached trailers and jeeps, up to and including 12 axles; Ready-mix over 12 yards up to and including 15 yards); Water Wagon (250 Bbls and above); Tireman, Heavy Duty/Fueler

GROUP 1A: Dump Trucks (including Rockbuggy and Trucks with pups) over 60 yards up to and including 100 yards; Jeeps (driver under load)

GROUP 2: Turn-O-Wagon or DW-10 not self-loading; All Deltas, Commanders, Rollogans, and similar equipment; Mechanics; Dump Trucks (including Rockbuggy and Trucks with pups) over 20 yards up to and including 40 yards; Lowboys including attached trailers and jeeps up to and including 8 axles; Super vac truck/cacasco truck/heat stress truck; Ready-mix over 7 yards up to and including 12 yards; Partsman; Stringing Truck

GROUP 3: Dump Trucks (including Rockbuggy and Trucks with pups) over 10 yards up to and including 20 yards; batch trucks 8 yards and up; Oil distributor drivers; Oil Distributor Drivers; Trucks/Jeeps (push or pull); Traffic Control Technician

GROUP 4: Buggymobile; Semi or Truck and trailer; Dumpster; Tireman (light duty); Dump Trucks (including Rockbuggy and Truck with pups) up to and including 10 yards; Track Truck Equipment; Grease Truck; Flat Beds, dual rear axle; Hyster Operators (handling bulk aggregate); Lumber Carrier; Water Wagon, semi; Water Truck, dual axle; Gin Pole Truck, Winch Truck, Wrecker, Truck Mounted "A" Frame manufactured rating over 5 tons; Bull Lifts and Fork Lifts with Power Boom and Swing attachments, over 5 tons; Front End Loader with Forks; Bus Operator over 30 passengers; All Terrain Vehicles; Boom Truck/Knuckle Truck over 5 tons; Foam Distributor Truck/dual axle; Hydro-seeders, dual axle; Vacuum Trucks, Truck Vacuum Sweepers; Loadmaster (air and water); Air Cushion or similar type vehicle; Fire Truck/Ambulance Driver; Combination Truck-fuel and grease; Compactor (when pulled by rubber tired equipment); Rigger (air/water/oilfield); Ready Mix, up to and including 7

yards;

GROUP 5: Gravel Spreader Box Operator on Truck; Flat Beds, single rear axle; Boom Truck/Knuckle Truck up to and including 5 tons; Pickups (Pilot Cars and all light duty vehicles); Water Wagon (Below 250 Bbls); Gin Pole Truck, Winch Truck, Wrecker, Truck Mounted "A" Frame, manufactured rating 5 tons and under; Bull Lifts and Fork Lifts (fork lifts with power broom and swing attachments up to and including 5 tons); Buffer Truck; Tack Truck; Farm type Rubber Tired Tractor (when material handling or pulling wagons on a construction project); Foam Distributor, single axle; Hydro-Seeders, single axle; Team Drivers (horses, mules and similar equipment); Fuel Handler (station/bulk attendant); Batch Truck, up to and including 7 yards; Gear/Supply Truck; Bus Operator, Up to 30 Passengers; Rigger/Swamper

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the "SU" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the

Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION



Title 36. Public Contracts AS 36.05 & AS 36.10 Wage & Hour Administration Pamphlet No. 600

ALASKA DEPARTMENT OF LABOR & WORKFORCE DEVELOPMENT





Department of Labor and Workforce Development

Office of the Commissioner

Post Office Box 111149 Juneau, Alaska 99811 Main: 907.465.2700 fax: 907.465-2784

April 1, 2016

TO ALL CONTRACTING AGENCIES:

At the Alaska Department of Labor and Workforce Development, our goal is putting Alaskans to work. This pamphlet is designed to help contractors awarded public construction contracts understand the most significant laws of the State of Alaska pertaining to prevailing wage and resident hire requirements.

This pamphlet identifies current prevailing wage rates and resident hire classifications for public construction contracts (any construction projects awarded by the State of Alaska or its political subdivisions, such as local governments and certain non-profit organizations). Because these rates may change, this publication is printed in the spring and fall of every year, so please be sure you are using the appropriate rates. The rates published in this edition become effective April 1, 2016.

All projects with a final bid date of April 11, 2016, or later, must pay the prevailing wage rates contained in this pamphlet. As the law now provides, these rates will remain stable during the life of a contract or for 24 calendar months, whichever is shorter. **The 24-month period begins on the date the prime contract is awarded.** Upon expiration of the initial 24-month period, the <u>latest</u> wage rates issued by the department shall become effective for a subsequent 24-month period or until the original contract is completed, whichever occurs first. This process shall be repeated until the original contract is completed.

The term "original contract" means the signed contract that resulted from the original bid and any amendments, including changes of work scope, additions, extensions, change orders, and other instruments agreed to by the parties that have not been subject to subsequent open bid procedures.

If a higher federal rate is required due to partial federal funding or other federal participation, the higher rate must be paid.

For additional copies of this pamphlet, contact the nearest office of the Division of Labor Standards and Safety, Wage and Hour office or the Web address at: http://labor.state.ak.us/lss/pamp600.htm

For questions regarding prevailing wage or resident hire requirements, please contact the nearest Wage and Hour office. These offices are listed on Page xi.

Sincerely,

Heidi Drygas Commissioner

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Note to Readers: The statutes and administrative regulations listed in this publication were taken from the official codes, as of the effective date of the publication. However, there may be errors or omissions that have not been identified and changes that occurred after the publication was printed. This publication is intended as an informational guide only and is not intended to serve as a precise statement of the statutes and regulations of the State of Alaska. To be certain of the current laws and regulations, please refer to the official codes.

EXCERPTS FROM ALASKA LAW

(The following statute (36.05.005) applies to projects bid on or after October 20, 2011)

Sec. 36.05.005. Applicability.

This chapter applies only to a public construction contract that exceeds \$25,000.

Sec. 36.05.010. Wage rates on public construction.

A contractor or subcontractor who performs work on a public construction contract in the state shall pay not less than the current prevailing rate of wages for work of a similar nature in the region in which the work is done. The current prevailing rate of wages is that contained in the latest determination of prevailing rate of wages issued by the Department of Labor and Workforce Development at least 10 days before the final date for submission of bids for the contract. The rate shall remain in effect for the life of the contract or for 24 calendar months, whichever is shorter. At the end of the initial 24-month period, if new wage determinations have been issued by the department, the latest wage determination shall become effective for the next 24-month period or until the contract is completed, whichever occurs first. This process shall be repeated until the contract is completed.

Sec. 36.05.040. Filing schedule of employees, wages paid, and other information.

All contractors or subcontractors who perform work on a public construction contract for the state or for a political subdivision of the state shall, before the Friday of every second week, file with the Department of Labor and Workforce Development a sworn affidavit for the previous reporting period, setting out in detail the number of persons employed, wages paid, job classification of each employee, hours worked each day and week, and other information on a form provided by the Department of Labor and Workforce Development.

Sec. 36.05.045. Notice of work and completion; withholding of payment.

- (a) Before commencing work on a public construction contract, the person entering into the contract with a contracting agency shall designate a primary contractor for purposes of this section. Before work commences, the primary contractor shall file a notice of work with the Department of Labor and Workforce Development. The notice of work must list work to be performed under the public construction contract by each contractor who will perform any portion of work on the contract and the contract price being paid to each contractor. The primary contractor shall pay all filing fees for each contractor performing work on the contract, including a filing fee based on the contract price being paid for work performed by the primary contractor's employees. The filing fee payable shall be the sum of all fees calculated for each contractor. The filing fee shall be one percent of each contractor's contract price. The total filing fee payable by the primary contractor under this subsection may not exceed \$5,000. In this subsection, "contractor" means an employer who is using employees to perform work on the public construction contract under the contract or a subcontract.
- (b) Upon completion of all work on the public construction contract, the primary contractor shall file with the Department of Labor and Workforce Development a notice of completion together with payment of any additional filing fees owed due to increased contract amounts. Within 30 days after the department's receipt of the primary contractor's notice of completion, the department shall inform the contracting agency of the amount, if any, to be withheld from the final payment.
- (c) A contracting agency
 - (1) may release final payment of a public construction contract to the extent that the agency has received verification from the Department of Labor and Workforce Development that
 - (A) the primary contractor has complied with (a) and (b) of this section;
 - (B) the Department of Labor and Workforce Development is not conducting an investigation under this title; and
 - (C) the Department of Labor and Workforce Development has not issued a notice of a violation of this chapter to the primary contractor or any other contractors working on the public construction contract; and

- (2) shall withhold from the final payment an amount sufficient to pay the department's estimate of what may be needed to compensate the employees of any contractors under investigation on this construction contract, and any unpaid filing fees.
- (d) The notice and filing fee required under (a) of this section may be filed after work has begun if
 - (1) The public construction contract is for work undertaken in immediate response to an emergency; and
 - (2) The notice and fees are filed not later than 14 days after the work has begun.
- (e) A false statement made on a notice required by this section is punishable under AS 11.56.210.

Sec. 36.05.060. Penalty for violation of this chapter.

A contractor who violates this chapter is guilty of a misdemeanor and upon conviction is punishable by a fine of not less than \$100 nor more than \$1,000, or by imprisonment for not less than 10 days nor more than 90 days, or by both. Each day a violation exists constitutes a separate offense.

Sec. 36.05.070. Wage rates in specifications and contracts for public works.

- (a) The advertised specifications for a public construction contract that requires or involves the employment of mechanics, laborers, or field surveyors must contain a provision stating the minimum wages to be paid various classes of laborers, mechanics, or field surveyors and that the rate of wages shall be adjusted to the wage rate under <u>AS 36.05.010</u>.
- (b) Repealed by §17 ch 142 SLA 1972.
- (c) A public construction contract under (a) of this section must contain provisions that
 - (1) the contractor or subcontractors of the contractor shall pay all employees unconditionally and not less than once a week;
 - (2) wages may not be less than those stated in the advertised specifications, regardless of the contractual relationship between the contractor or subcontractors and laborers, mechanics, or field surveyors;
 - (3) the scale of wages to be paid shall be posted by the contractor in a prominent and easily accessible place at the site of the work;
 - (4) the state or a political subdivision shall withhold so much of the accrued payments as is necessary to pay to laborers, mechanics, or field surveyors employed by the contractor or subcontractors the difference between
 - (A) the rates of wages required by the contract to be paid laborers, mechanics, or field surveyors on the work; and
 - (B) the rates of wages in fact received by laborers, mechanics, or field surveyors.

Sec. 36.05.080. Failure to pay agreed wages.

Every contract within the scope of AS 36.05.070 shall contain a provision that if it is found that a laborer, mechanic, or field surveyor employed by the contractor or subcontractor has been or is being paid a rate of wages less than the rate of wages required by the contract to be paid, the state or its political subdivision may, by written notice to the contractor, terminate the contractor's right to proceed with the work or the part of the work for which there is a failure to pay the required wages and to prosecute the work to completion by contract or otherwise, and the contractor's sureties are liable to the state or its political subdivision for excess costs for completing the work.

Sec. 36.05.090. Payment of wages from withheld payments and listing contractors who violate contracts.

- (a) The state disbursing officer in the case of a state public construction contract and the local fiscal officer in the case of a political subdivision public construction contract shall pay directly to laborers, mechanics, or field surveyors from accrued payments withheld under the terms of the contract the wages due laborers, mechanics, or field surveyors under <u>AS 36.05.070.</u>
- (b) The state disbursing officer or the local fiscal officer shall distribute to all departments of the state government and to all political subdivisions of the state a list giving the names of persons who have disregarded their obligations to employees. A person appearing on this list and a firm, corporation,

partnership, or association in which the person has an interest may not work as a contractor or subcontractor on a public construction contract for the state or a political subdivision of the state until three years after the date of publication of the list. If the accrued payments withheld under the contract are insufficient to reimburse all the laborers, mechanics, or field surveyors with respect to whom there has been a failure to pay the wages required under <u>AS 36.05.070</u>, the laborers, mechanics, or field surveyors have the right of action or intervention or both against the contractor and the contractor's sureties conferred by law upon persons furnishing labor or materials, and in the proceedings it is not a defense that the laborers, mechanics, or field surveyors accepted or agreed to accept less than the required rate of wages or voluntarily made refunds.

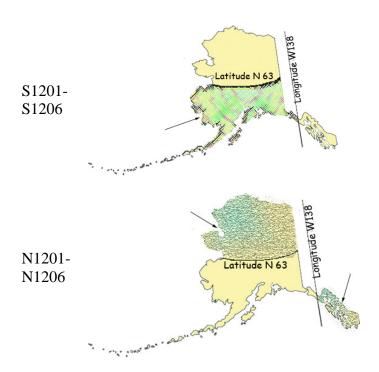
Sec. 36.05.900. Definition.

In this chapter, "contracting agency" means the state or a political subdivision of the state that has entered into a public construction contract with a contractor.

ADDITIONAL INFORMATION

LABORER CLASSIFICATION CLARIFICATION

The laborer rates categorized in class code S1201-S1206 apply in one area of Alaska; the area that is south of N63 latitude and west of W138 Longitude. The laborer rates categorized in class code N1201-N1206 apply in two areas of Alaska; the Alaska areas north of N63 latitude and east of W138 longitude. The following graphic representations should assist with clarifying the applicable wage rate categories:



ACCOMMODATIONS AND PER DIEM

The Alaska Department of Labor and Workforce Development has adopted a per diem requirement for blocklayers, bricklayers, carpenters, dredgemen, heat & frost insulators/asbestos workers, ironworkers, laborers, operative plasterers & cement masons, painters, piledrivers, power equipment operators, roofers, surveyors, truck

drivers/surveyors, and tunnel workers. This per diem rate creates an allowable alternative to providing board and lodging under the following conditions:

Employer-Provided Camp or Suitable Accommodations

Unless otherwise approved by the Commissioner, the employer shall ensure that a worker who is employed on a project that is 65 road miles or more from the international airport in either Fairbanks, Juneau or Anchorage or is inaccessible by road in a 2-wheel drive vehicle and who is not a domiciled resident of the locality of the project shall receive meals and lodging. Lodging shall be in accordance with all applicable state and federal laws. In cases where the project site is not road accessible, but the employee can reasonably get to the project worksite from their permanent residence within one hour, the Commissioner may waive these requirements for that employee upon a written request from the employer.

The term "domiciled resident" means a person living within 65 road miles of the project, or in the case of a highway project, the mid-point of the project, for at least 12 consecutive months prior to the award of the project. However, if the employer or person provides sufficient evidence to convince the department that a person has established a permanent residence and an intent to remain indefinitely within the distance to be considered a "domiciled resident," the employer shall not be required to provide meals and lodging or pay per diem.

Where the employer provides or furnishes board, lodging or any other facility, the cost or amount thereof shall not be considered or included as part of the required prevailing wage basic hourly rate and cannot be applied to meet other fringe benefit requirements. The taxability of employer provided board and lodging shall be determined by the appropriate taxation enforcement authority.

Per Diem

Employers are encouraged to use commercial facilities and lodges; however, when such facilities are not available, per diem in lieu of meals and lodging must be paid at the basic rate of \$75.00 per day, or part thereof, the worker is employed on the project. Per diem shall not be allowed on highway projects west of Livengood on the Elliott Highway, at Mile 0 of the Dalton Highway to the North Slope of Alaska, north of Mile 20 on the Taylor Highway, east of Chicken, Alaska, on the Top of the World Highway and south of Tetlin Junction to the Alaska-Canada border.

The above-listed standards for room and board and per diem only apply to the crafts as identified in Pamphlet 600, *Laborers' and Mechanics' Minimum Rates of Pay*. Other crafts working on public construction projects shall be provided room and board at remote sites based on the department's existing policy guidelines. In the event that a contractor provides lodging facilities, but no meals, the department will accept payment of \$36 per day for meals to meet the per diem requirements.

** NEW ** APPRENTICE HIRING REQUIREMENTS

On November 5, 2015, Governor Walker signed Administrative Order No. 278 to help ensure that there is an adequate pool of well-trained Alaskan construction workers to satisfy the industry needs. AO 278 replaced AO 226 and established a 15 percent goal for hiring federally registered apprentices in certain job categories on all public construction projects awarded by the Alaska Department of Transportation and Public Facilities and the Alaska Department of Administration that exceed \$2.5 million. The Order requires the commissioners of DOTPF and DOA to strive to require not less than 15 percent labor hours on a qualified project are performed by federally registered apprentices in the following classifications:

Boilermakers Elevator Constructors & Mechanics
Bricklayers Insulation Workers
Carpenters Ironworkers

Carpenters Ironworkers
Cement Masons Laborers

Roofers Sheetmetal Workers

Plumbers and Pipefitters

Surveyors

vi

Culinary WorkersMechanicsSprinkler FittersElectriciansMillwrightsTruck DriversEquipment OperatorsPaintersTug Boat Workers

Piledriving Occupations Welders

A federally registered apprentice is enrolled in an apprentice training program under 29 U.S.C. 50 and 29 C.F.R. 29.1 – 29.13. Contractors will be expected to file apprentice utilization forms throughout the project or utilize the online certified payroll filing system available on the My Alaska website. A copy of AO 278 may be viewed in its entirety at http://gov.state.ak.us/admin-orders/278.html or call any Wage and Hour office to receive a copy.

APPRENTICE RATES

Apprentice rates at less than the minimum prevailing rates may be paid to apprentices according to an apprentice program which has been registered and approved by the Commissioner of the Alaska Department of Labor and Workforce Development in writing or according to a bona fide apprenticeship program registered with the U.S. Department of Labor, Office of Apprenticeship Training. Any employee listed on a payroll at an apprentice wage rate who is not registered as above shall be paid the journeyman prevailing minimum wage in that work classification. Wage rates are based on prevailing crew makeup practices in Alaska and apply to work performed regardless of either the quality of the work performed by the employee or the titles or classifications which may be assigned to individual employees.

FRINGE BENEFIT PLANS

Contractors/subcontractors may compensate fringe benefits to their employees in any one of three methods. The fringe benefits may be paid into a union trust fund, into an approved benefit plan, or paid directly on the paycheck as gross wages.

Where fringe benefits are paid into approved plans, funds, or programs including union trust funds, the payments must be contributed at least monthly. If contractors submit their own payroll forms and are paying fringe benefits into approved plans, funds, or programs, the employer's certification must include, in addition to those requirements of 8 AAC 30.020(c), a statement that fringe benefit payments have been or will be paid at least monthly. Contractors who pay fringe benefits to a plan must ensure the plan is one approved by the Internal Revenue Service and that the plan meets the requirements of 8 AAC 30.025 (eff. 3/2/08) in order for payments to be credited toward the prevailing wage obligation.

SPECIAL PREVAILING WAGE RATE DETERMINATION

Special prevailing wage rate determinations may be requested for special projects or a special worker classification if the work to be performed does not conform to traditional public construction for which a prevailing wage rate has been established under <u>8 AAC 30.050(a)</u> of this section. Requests for special wage rate determinations must be in writing and filed with the Commissioner <u>at least 30 days before the award of the contract</u>. An applicant for a special wage rate determination shall have the responsibility to support the necessity for the special rate. An application for a special wage rate determination filed under this section must contain:

- (1) a specification of the contract or project on which the special rates will apply and a description of the work to be performed;
- (2) a brief narrative explaining why special wage rates are necessary;
- (3) the job class or classes involved;
- (4) the special wage rates the applicant is requesting, including survey or other relevant wage data to support the requested rates;
- (5) the approximate number of employees who would be affected; and
- (6) any other information which might be helpful in determining if special wage rates are appropriate.

Requests made pursuant to the above should be addressed to:

Director
Alaska Department of Labor and Workforce Development
Labor Standards & Safety Division
Wage and Hour Administration
P.O. Box 111149
Juneau, AK 99811-1149

-or-

Email: anchorage.lss-wh@alaska.gov

LABOR STANDARDS REGULATIONS

NOTICE REQUEST

If you would like to receive *notices of proposed changes to regulations* for Wage and Hour or Mechanical Inspection, please indicate below the programs for which you are interested in receiving such notices, print your name and email or mailing address in the space provided, and send this page to:

Alaska Department of Labor and Workforce Development Labor Standards & Safety Division Wage and Hour Administration 1251 Muldoon Road, Suite 113 Anchorage, AK 99504-2098 Email: anchorage.lss-wh@alaska.gov

For REGULATIONS information relating to any of the following:

□ Wage and Hour Title 2 □ Wage and Hour Title 3 □ Employment Agencies □ Child Labor □ Employment Preference □ Plumbing Code □ Electrical Code □ Boiler/Pressure Vessel □ Elevator Code □ Certificates of Fitness □ Recreational Devices	6 Public Works re (Local Hire) Construction Code		
Request any of the follow	wing <i>PUBLICATIONS</i> by ch	ecking below:	
☐ Wage and Hour Title 2☐ Minimum Wage & Ove☐ Child Labor Poster	¥ •	☐ Public Construction Pamphlet ☐ Public Construction Wage Rate ☐ Child Labor Pamphlet	es
PUBLICATION REQUI	ESTED WILL BE MAILED	NG AND PRINTING COSTS, O TO YOU. IF YOU WISH TO RE ASE CONTACT OUR OFFICE AT	ECEIVE ADDITIONAL
Name:			
Mailing Address:			
-			
-			
Email Address:			

DEPARTMENT OF LABOR & WORKFORCE DEVELOPMENT ALASKA EMPLOYMENT PREFERENCE INFORMATION

By authority of AS 36.10.150 and 8 AAC 30.064, the Commissioner of Labor and Workforce Development has determined the State of Alaska to be a Zone of Underemployment. A Zone of Underemployment requires that Alaska residents who are eligible under AS 36.10.140 be given a minimum of 90 percent employment preference on public works contracts throughout the state in certain job classifications. This 90 percent Alaska resident hiring preference applies on a project-by-project, craft-by-craft or occupational basis and must be met each workweek by each contractor/subcontractor in each of the following classifications:

Boilermakers Electricians Laborers Roofers

Bricklayers Engineers & Architects Mechanics Sheet Metal Workers

CarpentersEquipment OperatorsMillwrightsSurveyorsCement MasonsForemen & SupervisorsPaintersTruck DriversCulinary WorkersInsulation WorkersPiledriving OccupationsTug Boat Workers

Ironworkers Plumbers & Pipefitters Welders

This determination became effective July 1, 2015, and remains in effect through June 30, 2017. This determination will be applied to projects with a bid submission deadline on or after July 1, 2015 and to projects previously covered by the 2013 Alaska employment preference determination. This will afford contractors an opportunity to consider the impacts of Alaska resident hire in their bids.

The first person on a certified payroll in any classification is called the "first worker" and is not required to be an Alaskan resident. However, once the contractor adds any more workers in the classification, then all workers in the classification are counted, and the 90 percent calculation is applied to compute the number of required Alaskans to be in compliance. To compute the number of Alaskan residents required in a workweek in a particular classification, multiply the total number of workers in the classification by 90 percent. The result is then rounded down to the nearest whole number to determine the number of Alaskans that must be employed in that classification.

If a worker works in more than one classification during a week, the classification in which they spent the most time would be counted for employment preference purposes. If the time is split evenly between two classifications, the worker is counted in both classifications.

If you have difficulty meeting the 90 percent requirement, an approved waiver must be obtained <u>before</u> a non-Alaska resident is hired who would put the contractor/subcontractor out of compliance (<u>8 AAC 30.081 (e) (f)</u>). The waiver process requires proof of an adequate search for qualified Alaskan workers. Qualified Alaska residents identified through the search must be hired before waivers for non-resident workers may be granted. To apply for a waiver, contact the nearest Wage and Hour Office for instructions.

Here is an example to apply the 90 percent requirement to four boilermaker workers. Multiply four workers by 90% and drop the fraction ($.90 \times 4 = 3.6 - .6 = 3$). The remaining number is the number of Alaskan resident boilermakers required to be in compliance in that particular classification for that week.

The penalties for being out of compliance are serious. <u>AS 36.10.100</u> (a) states "A contractor who violates a provision of this chapter shall have deducted from amounts due to the contractor under the contract the prevailing wages which should have been paid to a displaced resident and these amounts shall be retained by the contracting agency." If a contractor/subcontractor is found to be out of compliance, penalties accumulate until they come into compliance.

Contractors are responsible for determining residency status. If you have difficulty determining whether a worker is an Alaska resident, you should contact the nearest Wage and Hour Office. Contact Wage and Hour in Anchorage at (907) 269-4900, in Fairbanks at (907) 451-2886, or in Juneau at (907) 465-4842.

Alaska Department of Labor and Workforce Development Labor Standards & Safety Division Wage and Hour Administration

Wage and Hour Administration
Web site: http://labor.state.ak.us/lss/pamp600.htm

Anchorage	Impan	Fairbanks
Anchorage	Juneau	rairdanks

1251 Muldoon Road, Suite 113

Anchorage, Alaska 99504-2098

Phone: (907) 269-4900

1111 W. 8th Street, Suite 302

Juneau, Alaska 99801

Phone: (907) 465-4842

Fairbanks, Alaska 99701-4593

Phone: (907) 451-2886

Email: Email: Email:

anchorage.lss-wh@alaska.gov juneau.lss-wh@alaska.gov fairbanks.lss@alaska.gov

DEBARMENT LIST

AS 36.05.090(b) states that "the state disbursing officer or the local fiscal officer shall distribute to all departments of the state government and to all political subdivisions of the state a list giving the names of persons who have disregarded their obligations to employees."

A person appearing on the following debarment list and a firm, corporation, partnership, or association in which the person has an interest may not work as a contractor or subcontractor on a public construction contract for the state or a political subdivision of the state for three years from the date of debarment.

Company Name Debarment Expires

Bengal Groups, LLC
Mohammed Ali, Individual
November 3, 2017
November 3, 2017
Fry's Services, LLC
November 16, 2017
John Paul Freie, Individual
November 16, 2017
Pyramid Audio & Video, Ltd.
June 19, 2018
June 19, 2018

Laborers' & Mechanics' Minimum Rates of Pay

Class Code	Classification of Laborers & Mechanics	BHR	H&W	PEN	TRN	Other 1	Benefits	THR
Boiler	makers							
A0101	Boilermaker (journeyman)	44.01	8.57	15.34	1.60	VAC 3.00	SAF 0.34	72.86
<mark>Brickl</mark>	ayers & Blocklayers							
;	**See note on last page if remote site							
A0201	Blocklayer	39.81	9.53	8.50	0.55	L&M 0.15	0.43	58.97
	Bricklayer Marble or Stone Mason Refractory Worker (Firebrick, Plastic, Castable, and Gunite Refractory Applications) Terrazzo Worker Tile Setter							
A0202	Tuck Pointer Caulker	39.81	9.53	8.50	0.55	L&M 0.15	0.43	58.97
A0203	Cleaner (PCC) Marble & Tile Finisher	33.94	9.53	8.50	0.55	L&M 0.15	0.43	53.10
110200	Terrazzo Finisher		,					
<u>A0204</u>	Torginal Applicator	37.88	9.53	8.50	0.55	L&M 0.15	0.43	57.04
Carpe	nters, Statewide							
;	**See note on last page if remote site							
A0301	Carpenter (journeyman)	38.09	9.78	13.61	0.70	L&M 0.10	SAF 0.15	62.43
	Lather/Drywall/Acoustical							
	**See note on last page if remote site							
N0401	Group I, including:	37.50	7.43	11.80	1.18	L&M 0.10		58.01
	Application of Sealing Compound Application of Underlayment Building, General							

Cement Mason (journeyman)

Concrete

Wage benefits key: BHR=basic hourly rate; H&W=health and welfare; IAF=industry advancement fund; LEG=legal fund; L&M=labor/management fund; PEN=pension fund; SAF=safety; SUI=supplemental unemployment insurance; S&L=SUI & LEG combined; TRN=training; THR=total hourly rate; VAC=vacation

Class Code	Classification of Laborers & Mechanics	BHR H&W PEN TRN Other Benefit	ts THR
Cemer	nt Masons, Region I (North of N63 latitude)		
	**See note on last page if remote site		
		L&M	
N0401	Group I, including:	37.50 7.43 11.80 1.18 0.10	58.01
	Concrete Paving		
	Curb & Gutter, Sidewalk		
	Curing of All Concrete		
	Grouting & Caulking of Tilt-Up Panels		
	Grouting of All Plates		
	Patching Concrete		
	Screed Pin Setter		
	Spackling/Skim Coating		
370.400		L&M	# 0.04
N0402	Group II, including:	37.50 7.43 11.80 1.18 0.10	58.01
	Form Setter		
		L&M	
N0403	Group III, including:	37.50 7.43 11.80 1.18 0.10	58.01
	Concrete Saw (self-powered)		
	Curb & Gutter Machine		
	Floor Grinder		
	Pneumatic Power Tools		
	Power Chipping & Bushing		
	Sand Blasting Architectural Finish		
	Screed & Rodding Machine Operator		
	Troweling Machine Operator		
	Trowning Machine Operator	L&M	
N0404	Group IV, including:	37.50 7.43 11.80 1.18 0.10	58.01
	Application of All Composition Mastic		
	Application of All Epoxy Material		
	Application of All Plastic Material		
	Finish Colored Concrete		
	Gunite Nozzleman		
	Hand Powered Grinder		
	Tunnel Worker		
		L&M	
N0405	Group V, including:	37.75 7.43 11.80 1.18 0.10	58.26
	Plasterer		
Cemer	nt Masons, Region II (South of N63 latitude)		
:	**See note on last page if remote site		
		L&M	
S0401	Group I, including:	37.25 7.43 11.80 1.18 0.10	57.76
-			-

Wage benefits key: BHR=basic hourly rate; H&W=health and welfare; IAF=industry advancement fund; LEG=legal fund; L&M=labor/management fund; PEN=pension fund; SAF=safety; SUI=supplemental unemployment insurance; S&L=SUI & LEG combined; TRN=training; THR=total hourly rate; VAC=vacation

Class Code	Classification of Laborers & Mechanics	BHR H&W PEN TRN Other Ber	nefits THR
Cemer	nt Masons, Region II (South of N63 latitude)		
;	**See note on last page if remote site		
		L&M	
<u>S0401</u>	Group I, including:	37.25 7.43 11.80 1.18 0.10	57.76
	Application of Sealing Compound		
	Application of Underlayment		
	Building, General		
	Cement Mason (journeyman)		
	Concrete		
	Concrete Paving		
	Curb & Gutter, Sidewalk		
	Curing of All Concrete		
	Grouting & Caulking of Tilt-Up Panels		
	Grouting of All Plates		
	Patching Concrete		
	Screed Pin Setter		
	Spackling/Skim Coating		
		L&M	
<u>S0402</u>	Group II, including:	37.25 7.43 11.80 1.18 0.10	57.76
	Form Setter		
		L&M	
S0403	Group III, including:	37.25 7.43 11.80 1.18 0.10	57.76
	Concrete Saw (self-powered)		
	Curb & Gutter Machine		
	Floor Grinder		
	Pneumatic Power Tools		
	Power Chipping & Bushing		
	Sand Blasting Architectural Finish		
	Screed & Rodding Machine Operator		
	Troweling Machine Operator		
	Trowelling Machine Operator	L&M	
S0404	Group IV, including:	37.25 7.43 11.80 1.18 0.10	57.76
	Application of All Composition Mastic		
	Application of All Epoxy Material		
	Application of All Plastic Material		
	Finish Colored Concrete		
	Gunite Nozzleman		
	Hand Powered Grinder		
	Tunnel Worker	- ^	
S0405	Group V, including:	L&M 37.50 7.43 11.80 1.18 0.10	58.01
20403	Group 1, menualing.	37.30 7.43 11.00 1.10 0.10	30.01
	Plasterer		

Wage benefits key: BHR=basic hourly rate; H&W=health and welfare; IAF=industry advancement fund; LEG=legal fund; L&M=labor/management fund; PEN=pension fund; SAF=safety; SUI=supplemental unemployment insurance; S&L=SUI & LEG combined; TRN=training; THR=total hourly rate; VAC=vacation

Class Code	Classification of Laborers & Mechanics	BHR H&W PEN T	RN Other B	enefits THR
Culina	ry Workers * See note on last page			
A0501	Baker/Cook	25.67 6.53 6.37	LEG 0.07	38.64
A0503	General Helper	22.62 6.53 6.37	LEG 0.07	35.59
	Housekeeper Janitor			
A0504	Kitchen Helper Head Cook	26.22 6.53 6.37	LEG 0.07	39.19
<u>A0505</u>	Head Housekeeper	23.04 6.53 6.37	LEG 0.07	36.01
Dredge	Head Kitchen Help			
	*See note on last page if remote site			
A0601	Assistant Engineer	39.26 9.95 11.05 1	L&M .00 0.10	61.36
	Craneman Electrical Generator Operator (primary pump/power barge/dredge) Engineer Welder			
A0602	Assistant Mate (deckhand)	38.10 9.95 11.05 1	.00 0.10	60.20
A0603	Fireman	38.54 9.95 11.05 1	L&M .00 0.10	60.64
<u>A0605</u>	Leverman Clamshell	41.79 9.95 11.05 1	L&M .00 0.10	63.89
<u>A0606</u>	Leverman Hydraulic	40.03 9.95 11.05 1	.00 0.10	62.13
<u>A0607</u>	Mate & Boatman	39.26 9.95 11.05 1	.00 0.10	61.36
<u>A0608</u>	Oiler (dredge)	38.54 9.95 11.05 1	.00 0.10	60.64
Electri	cians			
A0701	Inside Cable Splicer	39.82 12.19 13.01 0	L&M .95 0.20	LEG 0.15 66.32

Wage benefits key: BHR=basic hourly rate; H&W=health and welfare; IAF=industry advancement fund; LEG=legal fund; L&M=labor/management fund; PEN=pension fund; SAF=safety; SUI=supplemental unemployment insurance; S&L=SUI & LEG combined; TRN=training; THR=total hourly rate; VAC=vacation

Class Code	Classification of Laborers & Mechanics	BHR H&W PEN	TRN	Other I	Benefits	THR
Electri	cians					
A0702	Inside Journeyman Wireman, including:	39.49 12.19 13.25	0.95	L&M 0.20	LEG 0.15	66.23
	Technicians					
A0703	Power Cable Splicer	52.27 12.19 18.76	0.95	L&M 0.20	LEG 0.15	84.52
<u>A0704</u>	Tele Com Cable Splicer	47.45 12.19 15.44	0.95	L&M 0.20	LEG 0.15	76.38
A0705	Power Journeyman Lineman, including:	50.52 12.19 18.71	0.95	L&M 0.20	LEG 0.15	82.72
	Power Equipment Operator Technician					
A0706	Tele Com Journeyman Lineman, including:	45.70 12.19 18.56	0.95	L&M 0.20	LEG 0.15	77.75
	Technician Tele Com Equipment Operator					
<u>A0707</u>	Straight Line Installer - Repairman	45.70 12.19 15.39	0.95	L&M 0.20	LEG 0.15	74.58
A0708	Powderman	48.52 12.19 18.65	0.95	L&M 0.20	LEG 0.15	80.66
<u>A0710</u>	Material Handler	26.15 11.64 4.78	0.15	L&M 0.15	LEG 0.15	43.02
A0712	Tree Trimmer Groundman	27.17 12.19 11.04	0.15	L&M 0.15	LEG 0.15	50.85
<u>A0713</u>	Journeyman Tree Trimmer	35.84 12.19 11.30	0.15	L&M 0.15		59.78
<u>A0714</u>	Vegetation Control Sprayer	39.29 12.19 11.40	0.15	L&M 0.15	LEG 0.15	63.33
<u>A0715</u>	Inside Journeyman Communications CO/PBX	38.07 12.19 12.96	0.95	L&M 0.20	LEG 0.15	64.52
Elevate	or Workers					
A0802	Elevator Constructor	36.75 14.43 14.96	0.60	L&M 0.30		70.39
	Elevator Constructor Mechanic	52.50 14.43 14.96		L&M 0.30		88.62

Class Code	Classification of Laborers & Mechanics	BHR I	H&W	PEN	TRN	Other I	Benefits	THR
Heat &	& Frost Insulators/Asbestos Workers							
k	**See note on last page if remote site							
A0902	Asbestos Abatement-Mechanical Systems	37.38	8.84	9.51	1.20	SAF 0.12		57.05
A0903	Asbestos Abatement/General Demolition All Systems	37.38	8.84	9.51	1.20	SAF 0.12		57.05
A0904	Insulator, Group II	37.38	8.84	9.51	1.20	SAF 0.12		57.05
A0905	Fire Stop	37.38	8.84	9.51	1.20	SAF 0.12		57.05
IronW	Vorkers Vorkers							
k	**See note on last page if remote site							
A1101	Ironworkers, including:	36.25	7.83	19.25	0.97	L&M 0.46	IAF 0.10	64.86
	Bender Operators							
	Bridge & Structural							
	Machinery Mover							
	Ornamental Reinforging							
	Reinforcing Rigger							
	Sheeter							
	Signalman							
	Stage Rigger							
	Toxic Haz-Mat Work							
	Welder							
						L&M	IAF	
A1102	Helicopter	37.25	7.83	19.25	0.97	0.46	0.10	65.86
	Tower (energy producing windmill type towers to include nacelle and blades)							
A1103	Fence/Barrier Installer	32.75	7.83	19.00	0.97	L&M 0.46	IAF 0.10	61.11
	Guard Rail Installer							
						L&M	IAF	
A1104	Guard Rail Layout Man	33.49	7.83	19.00	0.97	0.46	0.10	61.85
Labor	ers (The Alaska areas north of N63 latitude and east of W138 lor	ngitude))					
×	**See note on last page if remote site							
N1201	Group Lincluding	30.00	771	17.06	1.20	L&M		56 27
111201	Group I, including:	30.00	/./1	17.00	1.20	0.20	0.20	56.37

Asphalt Worker (shovelman, plant crew)

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Laborers (The Alaska areas north of N63 latitude and east of W138 longitude)

**See note on last page if remote site

L&M LEG

N1201 Group I, including:

30.00 7.71 17.06 1.20 0.20 0.20 56.37

Brush Cutter

Camp Maintenance Laborer

Carpenter Tender or Helper

Choke Setter, Hook Tender, Rigger, Signalman

Concrete Labor (curb & gutter, chute handler, grouting, curing, screeding)

Crusher Plant Laborer

Demolition Laborer

Ditch Digger

Dumpman

Environmental Laborer (hazard/toxic waste, oil spill)

Fence Installer

Fire Watch Laborer

Flagman

Form Stripper

General Laborer

Guardrail Laborer, Bridge Rail Installer

Hydro-seeder Nozzleman

Laborer, Building

Landscaper or Planter

Laying of Mortarless Decorative Block (retaining walls, flowered

decorative block 4 feet or less - highway or landscape work)

Material Handler

Pneumatic or Power Tools

Portable or Chemical Toilet Serviceman

Pump Man or Mixer Man

Railroad Track Laborer

Sandblast, Pot Tender

Saw Tender

Slurry Work

Steam Cleaner Operator

Steam Point or Water Jet Operator

Storm Water Pollution Protection Plan Worker (SWPPP Worker -

erosion and sediment control Laborer)

Tank Cleaning

Utiliwalk & Utilidor Laborer

Burning & Cutting Torch

Watchman (construction projects)

Window Cleaner

L&M LEG

31.00 7.71 17.06 1.20 0.20 0.20 57.37

N1202 Group II, including:

Cement or Lime Dumper or Handler (sack or bulk)

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Laborers (The Alaska areas north of N63 latitude and east of W138 longitude)

**See note on last page if remote site

L&M LEG

N1202 Group II, including:

31.00 7.71 17.06 1.20 0.20 0.20 57.37

Certified Erosion Sediment Control Lead (CESCL Laborer)

Choker Splicer

Chucktender (wagon, air-track & hydraulic drills)

Concrete Laborer (power buggy, concrete saws, pumpcrete nozzleman,

vibratorman)

Culvert Pipe Laborer

Cured Inplace Pipelayer

Environmental Laborer (asbestos, marine work)

Foam Gun or Foam Machine Operator

Green Cutter (dam work)

Gunite Operator

Hod Carrier

Jackhammer or Pavement Breaker (more than 45 pounds)

Laser Instrument Operator

Laying of Mortarless Decorative Block (retaining walls, flowered

decorative block over 4 feet - highway or landscape work)

Mason Tender & Mud Mixer (sewer work)

Pilot Car

Pipelayer Helper

Plasterer, Bricklayer & Cement Finisher Tender

Powderman Helper

Power Saw Operator

Railroad Switch Layout Laborer

Sandblaster

Scaffold Building & Erecting

Sewer Caulker

Sewer Plant Maintenance Man

Thermal Plastic Applicator

Timber Faller, Chainsaw Operator, Filer

Timberman

L&M LEG

N1203 Group III, including:

31.90 7.71 17.06 1.20 0.20 0.20 58.27

Bit Grinder

Camera/Tool/Video Operator

Guardrail Machine Operator

High Rigger & Tree Topper

High Scaler

Multiplate

Plastic Welding

Slurry Seal Squeegee Man

Traffic Control Supervisor

Class	
Code	

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Laborers (The Alaska areas north of N63 latitude and east of W138 longitude)

**See note on last page if remote site

L&M LEG N1203 Group III, including: 31.90 7.71 17.06 1.20 0.20 58.27

Welding Certified (in connection with laborer's work)

L&M LEG N1204 Group IIIA 35.18 7.71 17.06 1.20 0.20 0.20 61.55

Asphalt Raker, Asphalt Belly Dump Lay Down

Drill Doctor (in the field)

Driller (including, but not limited to, wagon drills, air-track drills,

hydraulic drills)

Licensed Powderman

Pioneer Drilling & Drilling Off Tugger (all type drills)

Pipelayers

Storm Water Pollution Protection Plan Specialist (SWPPP Specialist)

L&M LEG N1205 Group IV 19.57 7.71 17.06 1.20 0.20 0.20 45.94

Final Building Cleanup

Permanent Yard Worker

L&M LEG N1206 Group IIIB 38.72 5.00 17.06 1.20 0.20 0.20 62.38

Federally Licensed Powderman (Responsible Person in Charge)

Grade Checking (setting or transferring of grade marks, line and grade,

Stake Hopper)

Laborers (The area that is south of N63 latitude and west of W138 longitude)

**See note on last page if remote site

L&M LEG S1201 Group I, including: 30.00 7.71 17.06 1.20 0.20 56.37

Asphalt Worker (shovelman, plant crew)

Brush Cutter

Camp Maintenance Laborer

Carpenter Tender or Helper

Choke Setter, Hook Tender, Rigger, Signalman

Concrete Labor (curb & gutter, chute handler, grouting, curing, screeding)

Crusher Plant Laborer

Demolition Laborer

Ditch Digger

Dumpman

Environmental Laborer (hazard/toxic waste, oil spill)

Fence Installer

Fire Watch Laborer

Flagman

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Laborers (The area that is south of N63 latitude and west of W138 longitude)

**See note on last page if remote site

L&M LEG

S1201 Group I, including:

30.00 7.71 17.06 1.20 0.20 0.20 56.37

Form Stripper

General Laborer

Guardrail Laborer, Bridge Rail Installer

Hydro-seeder Nozzleman

Laborer, Building

Landscaper or Planter

Laying of Mortarless Decorative Block (retaining walls, flowered

decorative block 4 feet or less - highway or landscape work)

Material Handler

Pneumatic or Power Tools

Portable or Chemical Toilet Serviceman

Pump Man or Mixer Man

Railroad Track Laborer

Sandblast, Pot Tender

Saw Tender

Slurry Work

Steam Cleaner Operator

Steam Point or Water Jet Operator

Storm Water Pollution Protection Plan Worker (SWPPP Worker -

erosion and sediment control Laborer)

Tank Cleaning

Utiliwalk & Utilidor Laborer

Burning & Cutting Torch

Watchman (construction projects)

Window Cleaner

L&M LEG

0.20 57.37

0.20

31.00 7.71 17.06 1.20

S1202 Group II, including:

Cement or Lime Dumper or Handler (sack or bulk)

Certified Erosion Sediment Control Lead (CESCL Laborer)

Choker Splicer

Chucktender (wagon, air-track & hydraulic drills)

Concrete Laborer (power buggy, concrete saws, pumpcrete nozzleman,

vibratorman)

Culvert Pipe Laborer

Cured Inplace Pipelayer

Environmental Laborer (asbestos, marine work)

Foam Gun or Foam Machine Operator

Green Cutter (dam work)

Gunite Operator

Hod Carrier

Jackhammer or Pavement Breaker (more than 45 pounds)

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Laborers (The area that is south of N63 latitude and west of W138 longitude)

**See note on last page if remote site

L&M LEG

S1202 Group II, including:

31.00 7.71 17.06 1.20 0.20 0.20 57.37

Laser Instrument Operator

Laying of Mortarless Decorative Block (retaining walls, flowered

decorative block over 4 feet - highway or landscape work)

Mason Tender & Mud Mixer (sewer work)

Pilot Car

Pipelayer Helper

Plasterer, Bricklayer & Cement Finisher Tender

Powderman Helper

Power Saw Operator

Railroad Switch Layout Laborer

Sandblaster

Scaffold Building & Erecting

Sewer Caulker

Sewer Plant Maintenance Man

Thermal Plastic Applicator

Timber Faller, Chainsaw Operator, Filer

Timberman

L&M LEG S1203 Group III, including: 31.90 7.71 17.06 1.20 0.20 58.27

Bit Grinder

Camera/Tool/Video Operator

Guardrail Machine Operator

High Rigger & Tree Topper

High Scaler

Multiplate

Plastic Welding

Slurry Seal Squeegee Man

Traffic Control Supervisor

Welding Certified (in connection with laborer's work)

S1204 Group IIIA 35.18 7.71 17.06 1.20 0.20 0.20 61.55

Asphalt Raker, Asphalt Belly Dump Lay Down

Drill Doctor (in the field)

Driller (including, but not limited to, wagon drills, air-track drills,

hydraulic drills)

Licensed Powderman

Pioneer Drilling & Drilling Off Tugger (all type drills)

Pipelayers

Storm Water Pollution Protection Plan Specialist (SWPPP Specialist)

Wage benefits key: BHR=basic hourly rate; H&W=health and welfare; IAF=industry advancement fund; LEG=legal fund; L&M=labor/management fund; PEN=pension fund; SAF=safety; SUI=supplemental unemployment insurance; S&L=SUI & LEG combined; TRN=training; THR=total hourly rate; VAC=vacation

L&M

LEG

Class Code	Classification of Laborers & Mechanics	BHR H&W PEN	TRN	Other E	Benefits	THR
Labor	vers (The area that is south of N63 latitude and west of W138 long	gitude)				
:	**See note on last page if remote site					
S1205	Group IV	19.57 7.71 17.06	1.20	L&M 0.20	LEG 0.20	45.94
	Final Building Cleanup Permanent Yard Worker					
S1206	Group IIIB	38.72 5.00 17.06	1.20	L&M 0.20	LEG 0.20	62.38
	Federally Licensed Powderman (Responsible Person in Charge) Grade Checking (setting or transferring of grade marks, line and grade, Stake Hopper)					
Millw	rights					
A1251	Millwright (journeyman)	36.49 9.78 11.26	1.00	L&M 0.40	0.05	58.98
<u>A1252</u>	Millwright Welder	37.49 9.78 11.26	1.00	L&M 0.40	0.05	59.98
	ers, Region I (North of N63 latitude) **See note on last page if remote site					
N1301	Group I, including:	32.07 7.83 11.10	1.08	L&M 0.07		52.15
	Brush General Painter Hand Taping Hazardous Material Handler Lead-Based Paint Abatement Roll					
N1302	Group II, including:	32.59 7.83 11.10	1.08	L&M 0.07		52.67
	Bridge Painter Epoxy Applicator General Drywall Finisher Hand/Spray Texturing Industrial Coatings Specialist Machine/Automatic Taping Pot Tender Sandblasting					

Sandblasting

Specialty Painter

Spray

Structural Steel Painter

Class Code	Classification of Laborers & Mechanics	BHR H&	W PEN	TRN	Other Benefits	THR
Painte	rs, Region I (North of N63 latitude)					
*	**See note on last page if remote site					
N1302	Group II, including:	32.59 7.8	33 11.10	1.08	L&M 0.07	52.67
	Wallpaper/Vinyl Hanger					
N1304	Group IV, including:	37.88 7.8	33 11.86	1.05	0.05	58.67
	Glazier Storefront/Automatic Door Mechanic					
N1305	Group V, including:	29.51 7.8	3 5.02	0.83	0.07	43.26
	Carpet Installer Floor Coverer Heat Weld/Cove Base Linoleum/Soft Tile Installer					
Painte	rs, Region II (South of N63 latitude)					
*	**See note on last page if remote site					
S1301	Group I, including:	30.31 7.8	33 10.85	1.08	L&M 0.07	50.14
	Brush General Painter Hand Taping Hazardous Material Handler Lead-Based Paint Abatement Roll Spray					
S1302	Group II, including:	31.56 7.8	33 10.85	1.08	L&M 0.07	51.39
	General Drywall Finisher Hand/Spray Texturing Machine/Automatic Taping Wallpaper/Vinyl Hanger				T 035	
S1303	Group III, including:	31.66 7.8	3 10.85	1.08	L&M 0.07	51.49
	Bridge Painter Epoxy Applicator Industrial Coatings Specialist Pot Tender Sandblasting Specialty Painter Structural Steel Painter					

Class Code	Classification of Laborers & Mechanics	BHR I	H&W	PEN	TRN	Other Be	enefits	THR
Painte	rs, Region II (South of N63 latitude)							
k	*See note on last page if remote site							
S1304	Group IV, including:	37.88	7.83	11.11	1.08	L&M 0.07		57.97
	Glazier Storefront/Automatic Door Mechanic					I O.M		
S1305	Group V, including:	29.51	7.83	5.02	0.83	L&M 0.07		43.26
	Carpet Installer Floor Coverer Heat Weld/Cove Base Linoleum/Soft Tile Installer							
Piledri	ivers							
k	**See note on last page if remote site							
A1401	Piledriver	38.09	9.78	13.61	0.70	L&M 0.10	IAF 0.15	62.43
	Assistant Dive Tender Carpenter/Piledriver Rigger Sheet Stabber Skiff Operator							
A1402	Piledriver-Welder/Toxic Worker	39.09	9.78	13.61	0.70	L&M 0.10	IAF 0.15	63.43
<u>A1403</u>	Remotely Operated Vehicle Pilot/Technician	42.40	9.78	13.61	0.70	L&M 0.10	IAF 0.15	66.74
	Single Atmosphere Suit, Bell or Submersible Pilot							
<u>A1404</u>	Diver (working) ***See note on last page	82.20	9.78	13.61	0.70	L&M 0.10	IAF 0.15	106.54
<u>A1405</u>	Diver (standby) ***See note on last page	42.40	9.78	13.61	0.70	L&M 0.10	IAF 0.15	66.74
A1406	Dive Tender ***See note on last page	41.40	9.78	13.61	0.70	L&M 0.10	IAF 0.15	65.74
<u>A1407</u>	Welder (American Welding Society, Certified Welding Inspector)	43.65	9.78	13.61	0.70	L&M 0.10	IAF 0.15	67.99
Plumb	ers, Region I (North of N63 latitude)							
N1501	Journeyman Pipefitter	41.21	7.75	13.45	1.25	L&M 1.10	S&L	64.76

Plumber

Class Code Classification of Laborers & Mechanics	BHR H&W PEN TRN Other Benefits THR
Plumbers, Region I (North of N63 latitude)	
N1501 Journeyman Pipefitter	L&M S&L 41.21 7.75 13.45 1.25 1.10 64.76
Welder	
Plumbers, Region II (South of N63 latitude)	
S1501 Journeyman Pipefitter	L&M 40.00 8.88 11.57 1.25 0.20 61.90
Plumber Welder	
Plumbers, Region IIA (1st Judicial District)	
X1501 Journeyman Pipefitter	L&M 37.27 12.72 11.25 2.50 0.24 63.98
Plumber Welder	

Power Equipment Operators

**See note on last page if remote site

 A1601 Group I, including:
 40.03 9.95 11.05 1.00

Asphalt Roller: Breakdown, Intermediate, and Finish

Back Filler

Barrier Machine (Zipper)

Beltcrete with Power Pack & similar conveyors

Bending Machine

Boat Coxswain

Bulldozer

Cableways, Highlines & Cablecars

Cleaning Machine

Coating Machine

Concrete Hydro Blaster

Cranes (45 tons & under or 150 feet of boom & under (including jib & attachments))

- (a) Hydralifts or Transporters, (all track or truck type)
- (b) Derricks
- (c) Overhead

Crushers

Deck Winches, Double Drum

Ditching or Trenching Machine (16 inch or over)

Wage benefits key: BHR=basic hourly rate; H&W=health and welfare; IAF=industry advancement fund; LEG=legal fund; L&M=labor/management fund; PEN=pension fund; SAF=safety; SUI=supplemental unemployment insurance; S&L=SUI & LEG combined; TRN=training; THR=total hourly rate; VAC=vacation

62.13

L&M

0.10

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Power Equipment Operators

**See note on last page if remote site

L&M

A1601 Group I, including:

40.03 9.95 11.05 1.00 0.10

62.13

Drag Scraper, Yarder, and similar types

Drilling Machines, Core, Cable, Rotary and Exploration

Finishing Machine Operator, Concrete Paving, Laser Screed, Sidewalk,

Curb & Gutter Machine

Helicopters

Hover Craft, Flex Craft, Loadmaster, Air Cushion, All-Terrain Vehicle,

Rollagon, Bargecable, Nodwell, & Snow Cat

Hydro Ax, Feller Buncher & similar

Hydro Excavation (Vac-Truck and Similar)

Licensed Line & Grade

Loaders (2 1/2 yards through 5 yards, including all attachments):

- (a) Forklifts (with telescopic boom & swing attachment)
- (b) Front End & Overhead, (2-1/2 yards through 5 yards)
- (c) Loaders, (with forks or pipe clamp)
- (d) Loaders, (elevating belt type, Euclid & similar types)

Material Transfer Vehicle (Elevating Grader, Pickup Machine, and similar types)

Mechanic, Welder, Bodyman, Electrical, Camp & Maintenance Engineer

Micro Tunneling Machine

Mixers: Mobile type with hoist combination

Motor Patrol Grader

Mucking Machine: Mole, Tunnel Drill, Horizontal/Directional Drill

Operator and/or Shield Operator on Dredges

Piledriver Engineer, L.B. Foster, Puller or similar paving breaker

Plant Operator (Asphalt & Concrete)

Power Plant, Turbine Operator 200 k.w & over (power plants or

combination of power units over 300 k.w.)

Remote Controlled Equipment

Scraper (through 40 yards)

Service Oiler/Service Engineer

Shot Blast Machine

Shovels, Backhoes, Excavators with all attachments, and Gradealls (3

yards & under)

Sideboom (under 45 tons)

Spreaders Topside (Asphalt Paver, Slurry machine, and similar types)

Sub Grader (Gurries, Reclaimer & similar types)

Tack Tractor

Truck Mounted Concrete Pump, Conveyor/Tele-belt, & Creter

Unlicensed Off-Road Hauler

Wate Kote Machine

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Power Equipment Operators

**See note on last page if remote site

L&M

A1602 Group IA, including:

41.79 9.95 11.05 1.00 0.10

63.89

Camera/Tool/Video Operator (Slipline)

Certified Welder, Electrical Mechanic, Camp Maintenance Engineer,

Mechanic (over 10,000 hours)

Cranes (over 45 tons or 150 feet including jib & attachments)

- (a) Clamshells & Draglines (over 3 yards)
- (b) Tower Cranes

Licensed Water/Waste Water Treatment Operator

Loaders (over 5 yards)

Motor Patrol Grader, Dozer, Grade Tractor, Roto-Mill/Profiler (finish:

when finishing to final grade and/or to hubs, or for asphalt)

Power Plants (1000 k.w. & over)

Quad

Scrapers (over 40 yards)

Screed

Shovels, Backhoes, Excavators with all attachments (over 3 yards)

Sidebooms (over 45 tons)

Slip Form Paver, C.M.I. & similar types

L&M

A1603 Group II, including:

07.20 7.70 11

39.26 9.95 11.05 1.00 0.10

61.36

Boiler - Fireman

Cement Hogs & Concrete Pump Operator

Conveyors (except those listed in Group I)

Grade Checker

Hoists on Steel Erection, Towermobiles & Air Tuggers

Horizontal/Directional Drill Locator

Licensed Grade Technician

Locomotives, Rod & Geared Engines

Mixers

Screening, Washing Plant

Sideboom (cradling rock drill, regardless of size)

Skidder

Trenching Machines (under 16 inches)

Water/Waste Water Treatment Operator

L&M

A1604 Group III, including:

38.54 9.95 11.05 1.00 0.10

60.64

"A" Frame Trucks, Deck Winches

Bombardier (tack or tow rig)

Boring Machine

Brooms, Power

Bump Cutter

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Power Equipment Operators

**See note on last page if remote site

L&M

A1604 Group III, including:

38.54 9.95 11.05 1.00 0.10

60.64

Compressor

Farm Tractor

Forklift, Industrial Type

Gin Truck or Winch Truck (with poles when used for hoisting)

Hoists, Air Tuggers, Elevators

Loaders:

- (a) Elevating-Athey, Barber Greene & similar types
- (b) Forklifts or Lumber Carrier (on construction job sites)
- (c) Forklifts, (with tower)
- (d) Overhead & Front End, (under 2-1/2 yards)

Locomotives: Dinkey (air, steam, gas & electric) Speeders

Mechanics, Light Duty

Oil, Blower Distribution

Posthole Digger, Mechanical

Pot Fireman (power agitated)

Power Plant, Turbine Operator, (under 200 k.w.)

Pumps, Water

Roller (other than Asphalt)

Saws, Concrete

Skid Hustler

Skid Steer (with all attachments)

Stake Hopper

Straightening Machine

Tow Tractor

L&M

T 0 1/1

0.10

0.81

29.83 11.75 2.91

45.43

0.03

A1605 Group IV, including:

32.33 9.95 11.05 1.00 0.10 54.43

Crane Assistant Engineer/Rig Oiler

Drill Helper

Parts & Equipment Coordinator

Spotter

Steam Cleaner

A1702 Roofer Material Handler

Swamper (on trenching machines or shovel type equipment)

Roofers

**See note on last page if remote site

	L&M
A1701 Roofer & Waterproofer	42.62 11.75 2.91 0.81 0.10 0.03 58.22
	L&M

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Sheet Metal Workers, Region I (North of N63 latitude)

L&M

N1801 Sheet Metal Journeyman

45.93 9.50 10.64 1.32 0.25

67.64

Air Balancing and duct cleaning of HVAC systems

Brazing, soldering or welding of metals

Demolition of sheet metal HVAC systems

Fabrication and installation of exterior wall sheathing, siding, metal

roofing, flashing, decking and architectural sheet metal work

Fabrication and installation of heating, ventilation and air conditioning

ducts and equipment

Fabrication and installation of louvers and hoods

Fabrication and installation of sheet metal lagging

Fabrication and installation of stainless steel commercial or industrial

food service equipment

Manufacture, fabrication assembly, installation and alteration of all

ferrous and nonferrous metal work

Metal lavatory partitions

Preparation of drawings taken from architectural and engineering plans

required for fabrication and erection of sheet metal work

Sheet Metal shelving

Sheet Metal venting, chimneys and breaching

Skylight installation

Sheet Metal Workers, Region II (South of N63 latitude)

L&M

S1801 Sheet Metal Journeyman

40.79 9.50 11.72 1.18 0.33

63.52

Air Balancing and duct cleaning of HVAC systems

Brazing, soldering or welding of metals

Demolition of sheet metal HVAC systems

Fabrication and installation of exterior wall sheathing, siding, metal

roofing, flashing, decking and architectural sheet metal work

Fabrication and installation of heating, ventilation and air conditioning

ducts and equipment

Fabrication and installation of louvers and hoods

Fabrication and installation of sheet metal lagging

Fabrication and installation of stainless steel commercial or industrial

food service equipment

Manufacture, fabrication assembly, installation and alteration of all

ferrous and nonferrous metal work

Metal lavatory partitions

Preparation of drawings taken from architectural and engineering plans

required for fabrication and erection of sheet metal work

Sheet Metal shelving

Sheet Metal venting, chimneys and breaching

Class Code	Classification of Laborers & Mechanics	BHR	H&W	PEN	TRN	Other Benefits	THR
Sheet	Metal Workers, Region II (South of N63 latitude)						
C1001	Shoot Motal Jayrnayman	40.70	0.50	11.70	1 10	L&M	62.50
S1801	Sheet Metal Journeyman	40.79	9.50	11.72	1.18	0.33	63.52
	Skylight installation						
Sprink	der Fitters						
A 1901	Sprinkler Fitter	43.75	8.77	13.35	0.45	L&M 0.25	66.57
111701	Sprinker Titler	13.75	0.77	10.00	0.15	0.25	00.57
Surve							
;	*See note on last page if remote site						
A 2001	Chief of Parties	42.51	9.98	0.00	1.20	L&M 0.10	63.88
A2001	Ciliei of ratties	42.31	7.70	7.77	1.50		03.00
A2002	Party Chief	40.92	9.98	9.99	1.30	L&M 0.10	62.29
						L&M	
A2003	Line & Grade Technician/Office Technician	40.32	9.98	9.99	1.30	0.10	61.69
						L&M	
A2004	Associate Party Chief (including Instrument Person & Head Chain Person)	38.20	9.98	9.99	1.30	0.10	59.57
A 2005	Stake Hop/Grademan	35 27	9.98	9.99	1.30	L&M 0.10	56.64
A2003	Stake Hop, Grademan	33.21	7.70	7.77	1.50		30.04
A2006	Chain Person (for crews with more than 2 people)	33.86	9.98	9.99	1.30	L&M 0.10	55.23
.							
	Drivers						
,	*See note on last page if remote site						
A2101	Group I, including:	39 29	9.98	9.99	1.30	L&M 0.10	60.66
.14101	croup i, morading.	27.47	7.70	1.11	1.50	J.10	00.00

Air/Sea Traffic Controllers

Ambulance/Fire Truck Driver (EMT certified)

Boat Coxswain

Captains & Pilots (air & water)

Deltas, Commanders, Rollagons, & similar equipment (when pulling

sleds, trailers or similar equipment)

Dump Trucks (including rockbuggy & trucks with pups) over 40 yards up

to & including 60 yards

Helicopter Transporter

Lowboys, including attached trailers & jeeps, up to & including 12 axles

(over 12 axles or 150 tons to be negotiated)

Class	
Code	

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Truck Drivers

**See note on last page if remote site

L&M

A2101 Group I, including:

39.29 9.98 9.99 1.30 0.10

60.66

Material Coordinator and Purchasing Agent

Ready-mix (over 12 yards up to & including 15 yards) (over 15 yards to

be negotiated)

Semi with Double Box Mixer

Tireman, Heavy Duty/Fueler

Water Wagon (250 Bbls and above)

L&M 0.10

A2102 Group 1A including:

40.56 9.98 9

9.99 1.30

61.93

Dump Trucks (including rockbuggy & trucks with pups) over 60 yards up

to & including 100 yards (over 100 yards to be negotiated)

Jeeps (driver under load)

L&M

A2103 Group II, including:

38.03 9.98 9.99 1.30 0.10

59.40

All Deltas, Commanders, Rollagons, & similar equipment

Boom Truck/Knuckle Truck (over 5 tons)

Construction and Material Safety Technician

Dump Trucks (including rockbuggy & trucks with pups) over 20 yards up

to & including 40 yards

Gin Pole Truck, Winch Truck, Wrecker (truck mounted "A" frame

manufactured rating over 5 tons)

Lowboys (including attached trailers & jeeps up to & including 8 axles)

Mechanics

Partsman

Ready-mix (over 7 yards up to & including 12 yards)

Stringing Truck

Super Vac Truck/Cacasco Truck/Heat Stress Truck

Turn-O-Wagon or DW-10 (not self loading)

L&M 0.10

A2104 Group III, including:

37.21 9.98

9.99

1.30

58.58

Batch Trucks (8 yards & up)

Boom Truck/Knuckle Truck (up to & including 5 tons)

Dump Trucks (including rockbuggy & trucks with pups) over 10 yards up

to & including 20 yards

Expeditor (electrical & pipefitting materials)

Gin Pole Truck, Winch Truck, Wrecker (truck mounted "A" frame

manufactured rating 5 tons & under)

Greaser - Shop

Oil Distributor Driver

Thermal Plastic Layout Technician

Traffic Control Technician

Class Code Classification of Laborers & Mechanics	BHR H&W PEN TRN Other Benefits THR
Truck Drivers	
**See note on last page if remote site	
A2104 Group III, including:	L&M 37.21 9.98 9.99 1.30 0.10 58.58

Trucks/Jeeps (push or pull)

A2105 Group IV, including: **L&M A2105** Group IV, including: 36.63 9.98 9.99 1.30 0.10 58.00

Air Cushion or similar type vehicle

All Terrain Vehicle

Buggymobile

Bull Lift & Fork Lift, Fork Lift with Power Boom & Swing Attachment

(over 5 tons)

Bus Operator (over 30 passengers)

Combination Truck-Fuel & Grease

Compactor (when pulled by rubber tired equipment)

Dump Trucks (including Rockbuggy & trucks with pups up to &

including 10 yards)

Dumpster

Expeditor (general)

Fire Truck/Ambulance Driver

Flat Beds, Dual Rear Axle

Foam Distributor Truck Dual Axle

Front End Loader with Fork

Grease Truck

Hydro Seeder, Dual Axle

Hyster Operators (handling bulk aggregate)

Loadmaster (air & water operations)

Lumber Carrier

Ready-mix, (up to & including 7 yards)

Rigger (air/water/oilfield)

Semi or Truck & Trailer

Tireman, Light Duty

Track Truck Equipment

Vacuum Truck, Truck Vacuum Sweeper

Warehouseperson

Water Truck (Below 250 Bbls)

Water Truck, Dual Axle

Water Wagon, Semi

35.87 9.98 9.99 1.30 0.10 57.24

L&M

Batch Truck (up to & including 7 yards)

Buffer Truck

A2106 Group V, including:

Bull Lifts & Fork Lifts, Fork Lifts with Power Boom & Swing

Attachments (up to & including 5 tons)

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Truck Drivers

**See note on last page if remote site

L&M

57.24

A2106 Group V, including:

35.87 9.98 9.99 1.30 0.10

Bus Operator (up to 30 passengers)

Farm Type Rubber Tired Tractor (when material handling or pulling

wagons on a construction project)

Flat Beds, Single Rear Axle

Foam Distributor Truck Single Axle

Fuel Handler (station/bulk attendant)

Gear/Supply Truck

Gravel Spreader Box Operator on Truck

Hydro Seeders, Single axle

Pickups (pilot cars & all light-duty vehicles)

Rigger/Swamper

Tack Truck

Team Drivers (horses, mules, & similar equipment)

Tunnel Workers, Laborers (The Alaska areas north of N63 latitude and east of W138 longitude)

**See note on last page if remote site

L&M LEG

N2201 Group I, including:

33.00 7.71 17.06 1.20 0.20 0.20 59.37

Brakeman

Mucker

Nipper

Storm Water Pollution Protection Plan Worker (SWPPP Worker -

erosion and sediment control Laborer)

Topman & Bull Gang

Tunnel Track Laborer

L&M LEG

N2202 Group II, including:

34.10 7.71 17.06 1.20 0.20 0.20 60.47

Burning & Cutting Torch

Certified Erosion Sediment Control Lead (CESCL Laborer)

Concrete Laborer

Jackhammer

Laser Instrument Operator

Nozzlemen, Pumpcrete or Shotcrete

Pipelayer Helper

L&M LEG

N2203 Group III, including:

35.09 7.71 17.06 1.20 0.20 0.20 61.46

Miner

Retimberman

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Tunnel Workers, Laborers (The Alaska areas north of N63 latitude and east of W138 longitude)

**See note on last page if remote site

L&M LEG

N2204 Group IIIA, including:

38.70 7.71 17.06 1.20 0.20 0.20 65.07

Asphalt Raker, Asphalt Belly Dump Lay Down

Drill Doctor (in the field)

Driller (including, but not limited to wagon drills, air-track drills,

hydraulic drills)

Licensed Powderman

Pioneer Drilling & Drilling Off Tugger (all type drills)

Pipelayer

Storm Water Pollution Protection Plan Specialist (SWPPP Specialist)

L&M LEG

N2206 Group IIIB, including:

42.59 5.00 17.06 1.20 0.20 0.20 66.25

Federally Licensed Powderman (Responsible Person in Charge)

Grade Checking (setting or transferring of grade marks, line and grade,

Stake Hopper)

Tunnel Workers, Laborers (The area that is south of N63 latitude and west of W138 longitude)

**See note on last page if remote site

L&M LEG

S2201 Group I, including:

33.00 7.71 17.06 1.20 0.20 0.20 59.37

Brakeman

Mucker

Nipper

Storm Water Pollution Protection Plan Worker (SWPPP Worker -

erosion and sediment control Laborer)

Topman & Bull Gang

Tunnel Track Laborer

L&M LEG

S2202 Group II, including:

34.10 7.71 17.06 1.20 0.20 0.20 60.47

Burning & Cutting Torch

Certified Erosion Sediment Control Lead (CESCL Laborer)

Concrete Laborer

Jackhammer

Laser Instrument Operator

Nozzlemen, Pumpcrete or Shotcrete

Pipelayer Helper

L&M LEG

S2203 Group III, including:

35.09 7.71 17.06 1.20 0.20 0.20 61.46

Miner

Retimberman

Class
Code

Classification of Laborers & Mechanics

BHR H&W PEN TRN Other Benefits THR

Tunnel Workers, Laborers (The area that is south of N63 latitude and west of W138 longitude)

**See note on last page if remote site

L&M LEG 38.70 7.71 17.06 1.20 0.20 0.20 65.07

Asphalt Raker, Asphalt Belly Dump Lay Down

Drill Doctor (in the field)

Driller (including, but not limited to wagon drills, air-track drills,

hydraulic drills)

S2204 Group IIIA, including:

Licensed Powderman

Pioneer Drilling & Drilling Off Tugger (all type drills)

Pipelayer

Storm Water Pollution Protection Plan Specialist (SWPPP Specialist)

S2206 Group IIIB, including: L&M LEG 42.59 5.00 17.06 1.20 0.20 66.25

Federally Licensed Powderman (Responsible Person in Charge)

Grade Checking (setting or transferring of grade marks, line and grade,

Stake Hopper)

Tunnel Workers, Power Equipment Operators

**See note on last page if remote site

A 2205	C	44.02.04	05 1	11.05	1.00	L&M	66.12
A2207	Group	44.03 9.9	95 .	11.05	1.00	0.10	66.13
						L&M	
A2208	Group	A 45.97 9.9	95	11.05	1.00	0.10	68.07
	-						
						L&M	
A2209	Group	I 43.19 9.9	95	11.05	1.00	0.10	65.29
	-						
						L&M	
A2210	Group	II 42.39 9.9	95 1	11.05	1.00	0.10	64.49
							_
						L&M	
A2211	Group	V 35.56 9.9	95 1	11.05	1.00	0.10	57.66

^{*} A remote site is isolated and relatively distant from the amenities of civilization, and usually far from the employee's home. As a condition of employment, the workers must eat, sleep, and socialize at the worksite and remain there for extended periods.

^{**} This classification must receive board and lodging under certain conditions. A per diem option of \$75 is an alternative to providing meals and lodging. See Page v for an explanation.

^{***} Work in combination of classifications: Employees working in any combination of classifications within the diving crew (working diver, standby diver, and tender) in a shift are paid in the classification with the highest rate for a minimum of 8 hours per shift.